

ON THE LIMIT OF THE MODULUS OF A BOUNDED REGULAR FUNCTION

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1. Introduction

M. L. Cartwright has given ((2), 180-181) the following theorem, together with a neat proof of it.

Theorem C. *Suppose that $f(z)$ is regular and*

$$|f(z)| < 1 \dots\dots\dots(1)$$

in the half-strip S

$$\alpha < x < \beta, \quad y > 1 \quad (z = x + iy) \dots\dots\dots(2)$$

of the complex plane.

Suppose also that for some constant a in $\alpha < a < \beta$

$$|f(a + iy)| \rightarrow 1 \dots\dots\dots(3)$$

as $y \rightarrow \infty$. Then for every $\delta > 0$

$$|f(x + iy)| \rightarrow 1 \dots\dots\dots(4)$$

uniformly as $y \rightarrow \infty$ for $\alpha + \delta \leq x \leq \beta - \delta$.

The object of this note is to give a new proof of Theorem C, with reduced hypotheses. Observing the strong resemblance between Theorem C and Montel's limit theorem, a standard proof of which follows from Vitali's convergence theorem, one wonders whether a result of Vitali type but involving the moduli of a sequence of regular and bounded functions instead of the sequence of functions itself, exists, and, if so, whether Theorem C can be obtained from it. Theorems 1 and 2 below show that this is in fact the case.

2. Theorem 1

Let $f_n(z)$ be a sequence of functions regular and satisfying

$$|f_n(z)| < 1 \quad (n = 1, 2, 3, \dots) \dots\dots\dots(5)$$

for every z in the circle γ ,

$$|z| < 1. \dots\dots\dots(6)$$

Let z_n be a sequence of points in (6) such that

$$z_n \rightarrow 0 \text{ as } n \rightarrow \infty, \dots\dots\dots(7)$$

and suppose that

$$|f_n(z_n)| \rightarrow 1 \text{ as } n \rightarrow \infty. \dots\dots\dots(8)$$

Then for every $\delta > 0$

$$|f_n(z)| \rightarrow 1 \dots\dots\dots(9)$$

uniformly in γ_δ ,

$$|z| \leq 1 - \delta$$

as $n \rightarrow \infty$.

Remarks on Theorem 1

(i) It is convenient in (7) to take 0 for the limit point of the z_n , but it will be seen that the method with slight elaboration applies if any limit point b satisfying $|b| < 1$ be given. Further, it is clear, by conformal transformation or otherwise, that the circle γ may be replaced by any simply connected bounded region, γ_δ being transformed into an interior region.

(ii) It is of course tempting to try to replace the upper bound 1 in (5) by $M(>1)$ as in Vitali's Theorem. This however cannot be done, since it would imply by the method given in Section 4 below, that the upper bound 1 of $|f(z)|$ in Theorem C above could likewise be replaced by $M(>1)$. The function $\dagger F(z) = e^{\sinh z}$ considered in the half strip $-1 < x < 1, y > 1$ shows that Theorem C thus modified is false; $|F(z)|$, though bounded, tends to unity as $y \rightarrow \infty$ along one line only, viz. $x = 0$.

3. Proof of Theorem 1

Applying Schwarz' Lemma ‡ to the function $f_n(z) - f_n(0)$ in the circle γ ($|z| < 1$) and using (5), we get

$$|f_n(z) - f_n(0)| < 2\omega \quad (|z| \leq \omega, 0 < \omega < 1) \dots\dots\dots(10)$$

and hence

$$|f_n(0)| > |f_n(z)| - 2\omega.$$

Thus, given $\epsilon > 0$, we find that

$$|f_n(0)| > 1 - \epsilon \quad (n > n_0(\epsilon)) \dots\dots\dots(11)$$

by setting $\omega = \frac{1}{3}\epsilon, z = z_n$, and taking n_0 large enough to ensure that $|z_n| \leq \frac{1}{3}\epsilon$ and $|f_n(z_n)| > 1 - \frac{1}{3}\epsilon$, inequalities that follow from (7) and (8) respectively.

Again, for all n sufficiently large, $f_n(z)$ can have no zero in the circle $\gamma_{\frac{1}{2}\delta}$, viz. $|z| \leq 1 - \frac{1}{2}\delta, (0 < \delta < 1)$. For if we suppose on the contrary that $f_n(z)$ has a zero at $z = c$, where $|c| \leq 1 - \frac{1}{2}\delta$, then, applying the maximum modulus theorem to the function $f_n(z)(1 - \bar{c}z)/(z - c)$ which is regular in $|z| < 1$, we get, by (5),

$$|f_n(z)| \leq \left| \frac{z - c}{1 - \bar{c}z} \right| \quad (|z| < 1)$$

and hence

$$|f_n(0)| \leq |c| \leq 1 - \frac{1}{2}\delta,$$

which contradicts (11) if ϵ be chosen less than $\frac{1}{2}\delta$, that is, for all sufficiently large n . Thus, for all n sufficiently large, each $f_n(z)$ has no zeros in $\gamma_{\frac{1}{2}\delta}$.

† Given in (3), 401, where, incidentally, it is shown that $M > 1$ may be taken if the line $x = a$ of Theorem C be replaced by two lines $x = a, x = b$ and, in some cases, $f(z) \neq 0$ in the half-strip is assumed.

‡ (4), 168.

We may therefore apply Carathéodory's inequality † to $\log \phi(z)$ in $\gamma_{\frac{1}{2}\delta}$, where $\phi(z) = \{f_n(z)/\{f_n(0)\}$. This gives ‡

$$\log \{m(f_{n,r})/|f_n(0)|\} \geq -\frac{2r}{w'-r} \log \{M(f_{n,r})/|f_n(0)|\}, \quad (0 \leq r < w' = 1 - \frac{1}{2}\delta).$$

Whence, over $0 \leq r \leq 1 - \delta$, using (5) and (11),

$$\begin{aligned} \log m(f_{n,r}) &\geq -\frac{2r}{w'-r} \log M(f_{n,r}) + \left(\frac{w'+r}{w'-r}\right) \log |f_n(0)| \\ &\geq -8\delta^{-1}\varepsilon \quad (0 < \varepsilon < \frac{1}{2}\delta, n > n_0(\varepsilon)), \end{aligned}$$

and, remembering that $\delta(>0)$ is fixed, we have *a fortiori*

$$\log |f_n(z)| > -\varepsilon' \quad (n > N_0(\varepsilon')), \dots\dots\dots(12)$$

where $\varepsilon'(>0)$ is arbitrary, uniformly over the circle γ_δ ,

$$|z| \leq 1 - \delta. \dots\dots\dots(13)$$

The inequalities (12) and (5) give the result (9) uniformly over the circle γ_δ defined by (13).

4. Theorem 2

The conclusion of Theorem C remains valid if the hypothesis (3) is replaced by

$$|f(x_n + iy_n)| \rightarrow 1 \text{ as } n \rightarrow \infty \dots\dots\dots(14)$$

where the points $z_n = x_n + iy_n$ include a sequence U having the following properties,

$$\alpha + \delta' \leq x_n \leq \beta - \delta', \quad (\delta' \geq \delta) \dots\dots\dots(15)$$

the x_n have only one limit point x_0 say,

$$2 < y_n \uparrow \infty, \dots\dots\dots(16)$$

and

$$\overline{\lim}_{n \rightarrow \infty} (y_{n+1} - y_n) < \infty. \dots\dots\dots(17)$$

Proof of Theorem 2

Let $z_n \in U$. By (16) and (17)

$$0 < y_{n+1} - y_n < \frac{1}{2}\lambda, \quad (n > n_0) \dots\dots\dots(18)$$

where λ is a constant in (say) $2 < \lambda < \infty$.

For all such n consider the sequence

$$f_n(z) = f\{z + i(y_n - 1)\} \dots\dots\dots(19)$$

in the rectangle R :

$$\alpha < x < \beta, \quad 0 < y < \lambda.$$

Clearly

$$|f_n(z)| < 1$$

† (1), 3; (4) 174-5.

‡ $m(f_{n,r})$, $M(f_{n,r})$ denote respectively $\min |f(z)|$, $\max |f(z)|$ on $|z| = r$.

in R , by (1), and

$$|f_n(x_n + i)| = |f(x_n + iy_n)| \rightarrow 1 \text{ as } n \rightarrow \infty.$$

Also, we have assumed that the x_n concerned have only one limit point x_0 say, which by (15) satisfies the inequality

$$\alpha + \delta \leq \alpha + \delta' \leq x_0 \leq \beta - \delta' \leq \beta - \delta.$$

It follows by Theorem 1, applied for a rectangular region (see remarks on Theorem 1 in Section 2), that the sequence $|f_n(z)|$ converges uniformly to 1 in the rectangle R_δ :

$$\alpha + \delta \leq x \leq \beta - \delta, \quad \delta \leq y \leq \lambda - \delta$$

and hence, by (18) and (19), remembering also that $\delta > 0$ is small, we have

$$|f(z)| \rightarrow 1$$

uniformly as $y \rightarrow \infty$ in $\alpha + \delta \leq x \leq \beta - \delta$.

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