

BLOW UP SEQUENCES AND THE MODULE OF *n*th ORDER DIFFERENTIALS

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Introduction. Let C denote an irreducible, algebraic curve defined over an algebraically closed field k . Let P be a singular point of C . We shall employ the following notation throughout the rest of this paper: R will denote the local ring at P , K the quotient field of R , \bar{R} the integral closure of R in K , A the completion of R with respect to its radical topology, and \bar{A} the integral closure of A in its total quotient ring.

We wish to study the relationships between the \bar{A} -module $D^n(\bar{A}/A)$ of n th order differentials over A and the multiplicities of the blow up sequence $B: R = R_0 < R_1 < \dots < \bar{R}$ of R .

The module $D^n(\bar{A}/A)$ of n th order differentials is defined as follows: Let $\sigma: \bar{A} \otimes_A \bar{A} \rightarrow \bar{A}$ be the multiplication mapping given by $\sigma(\sum a_i \otimes b_i) = \sum a_i b_i$. Let $I(\bar{A}/A)$ denote the kernel of σ . Then

$$D^n(\bar{A}/A) = I(\bar{A}/A)/I^{n+1}(\bar{A}/A).$$

The module $D^n(\bar{A}/A)$ is the universal object for n th order A -derivations and satisfies many functorial properties. We refer the reader to [5] or [7] for all pertinent properties of $D^n(\bar{A}/A)$ used in this paper.

The blow up sequence $B: R = R_0 < R_1 < \dots < \bar{R}$ is defined as in [4, p. 669]. Each R_{i+1} is obtained from R_i by blowing up the Jacobson radical of R_i . By the multiplicity $\mu(R_i)$ of R_i , we shall mean the multiplicity of the Jacobson radical of R_i . By the multiplicities of B , we shall mean the sequence $\{\mu(R_i)\}$. We similarly define the blow up sequence $B: A = A_0 < A_1 < \dots < \bar{A}$ and multiplicities $\mu(A_i)$. It is easy to show (see Proposition 1) that for each i , $A_i = R_i \otimes_R A$, and $\mu(R_i) = \mu(A_i)$. Thus, the multiplicities of B are given by \bar{B} .

We note that since \bar{R} is a finitely generated R -module, the sequence of R -modules in B stabilizes at some point, i.e. $R_n = R_{n+1} = \dots$ for some $n \gg 1$. Thus, there are only a finite number of different $\mu(R_i)$ for B . The problem is to characterize the $\mu(R_i)$ in terms of some suitably defined invariants of $D^n(\bar{A}/A)$ for $n \gg 1$.

Since $\bar{A} = \bar{R} \otimes_R A$, we see that \bar{A} is a finitely generated A -module. It then follows from [3, Lemma 1.1] that $I(\bar{A}/A)$ is a finitely generated left \bar{A} -module. Since \bar{R} is a finitely generated R -module, \bar{R} is a semilocal ring. Let $\{m_1, \dots, m_t\}$ be the maximal ideals of \bar{R} . Set $V_i = \bar{R}_{m_i}$ (\bar{R} localized at m_i) for $i = 1, \dots, t$.

Received January 14, 1976 and in revised form, June 22, 1976.

Then each V_i is a discrete rank one valuation ring dominating R , and $\bar{A} = \hat{V}_1 \oplus \dots \oplus \hat{V}_t$. Here \hat{V}_i of course denotes the completion of V_i . Thus, \bar{A} is always a finite direct sum of principal ideal domains.

Now suppose C is unbranched at P , i.e. \bar{R} is a local ring. Then $t = 1$ in the above discussion, and $\bar{A} = \hat{V}_1$ is a principal ideal domain. In this case, $I(\bar{A}/A)$ (being a finitely generated module over \bar{A}) has a set of invariant factors $\delta_1, \delta_2, \dots, \delta_r$ associated with it. These δ_i are elements of \bar{A} given by $\delta_1 = \Delta_1, \delta_2 = \Delta_2 \Delta_1^{-1}$, etc. Here Δ_i is the greatest common divisor of all $i \times i$ subdeterminates of the relations matrix of $I(\bar{A}/A)$. These δ_i are unique up to units in \bar{A} . We next note that since k is algebraically closed, $\bar{A} = k[[\beta]]$ for some element β analytically independent over k . Thus, each δ_i can be written in the following form $\delta_i = \beta^{e_i}$, for some integer e_i .

Now consider the blow up sequence \hat{B} . We can write \hat{B} as $\hat{B}: A = A_0 < A_1 < \dots < A_N < A_{N+1} = A_{N+2} = \dots = \bar{A}$. Since \bar{A} is a local ring, each A_i is local. It was shown in [3, Lemmas 3.4 and 4.2]† that the decomposition of the module $I(\bar{A}/A)$ over the P.I.D. \bar{A} uniquely determines the multiplicities $\mu(A_i)$ of \hat{B} . For, if the decomposition of $I(\bar{A}/A)$ is known, then we can compute the nontrivial invariant factors $\beta^{e_1}, \dots, \beta^{e_r}$ of $I(\bar{A}/A)$. Then $r = \mu(A) - 1$, and it follows from [3, Lemma 3.4] that $\beta^{e_1 - \mu(A)}, \dots, \beta^{e_r - \mu(A)}$ is a set of invariant factors of $I(\bar{A}/A_1)$. Thus, the decomposition of $I(\bar{A}/A)$ determines the decomposition of $I(\bar{A}/A_1)$. From [3, Lemma 4.2], $\mu(A_1) = \dim_k \{I(\bar{A}/A_1)/\beta I(\bar{A}/A_1)\}$. So, $I(\bar{A}/A)$ determines $\mu(A_1)$. If we now eliminate the β 's appearing in $\beta^{e_1 - \mu(A)}, \dots, \beta^{e_r - \mu(A)}$, we obtain the nontrivial invariant factors of $I(\bar{A}/A_1)$. There are exactly $\mu(A_1) - 1$ of them, and we may repeat the above process to compute $\mu(A_2)$. Continuing in this fashion, we see that the decomposition of $I(\bar{A}/A)$ determines the multiplicities $\mu(A_i)$ of \hat{B} . Conversely, if the multiplicities $\mu(A_i)$ of \hat{B} are known, then it follows from [3, Theorem 3.5] that

$$(1) \quad \underbrace{\beta^{\mu(A_0)}, \dots, \beta^{\mu(A_0)}}_{\mu(A_0) - \mu(A_1)}, \underbrace{\beta^{\mu(A_0) + \mu(A_1)}, \beta^{\mu(A_0) + \mu(A_1)}, \dots, \beta^{\mu(A_0) + \dots + \mu(A_N)}, \beta^{\mu(A_0) + \dots + \mu(A_N)}}_{\mu(A_1) - \mu(A_2)}, \dots, \underbrace{\beta^{\mu(A_0) + \dots + \mu(A_N)}}_{\mu(A_N) - 1}$$

is a set of invariant factors of $I(\bar{A}/A)$. Thus, the decomposition of the module $I(\bar{A}/A)$ uniquely determines the multiplicities of the blow up sequence \hat{B} , and, therefore, the multiplicities of B as well. It was also shown in [3, Theorem 1.1] that for n sufficiently large, $D^n(\bar{A}/A) = I(\bar{A}/A)$. Thus, if C is unbranched at P , the decomposition of the module $D^n(\bar{A}/A)$ ($n \gg 1$) uniquely determines the multiplicities $\mu(R_i)$ of the blow up sequence B at P .

†The proofs of the main results in [3] are not quite complete if k has characteristic $p \neq 0$. However, slight modifications of the techniques in [3] will give complete proofs in the characteristic p case.

The purpose of this paper is to study how much of this theory remains intact if we remove the assumption that C is unbranched at P . Surprisingly, most of the theory survives. We shall show that for n sufficiently large, $D^n(\bar{A}/A) = \bigoplus_{i=1}^t I(\hat{V}_i/A)$, and each $I(\hat{V}_i/A)$ is nilpotent. We shall examine two cases at this point. Either \bar{R} is unramified over R or \bar{R} is ramified over R .

We shall show that \bar{R} is unramified over R if and only if $D^n(\bar{A}/A) = 0$ for n sufficiently large. In this case, the multiplicity sequence for B is particularly simple. We have $\mu(R_i) = \mu(\bar{R}) = t$ for all i . In other words, the number of branches of C centered at P gives the multiplicities of the blow up sequence B when C is unramified at P .

If \bar{R} is ramified over R , then $D^n(\bar{A}/A) \neq 0$ for any n . In this case, B is considerably more complicated. For example, the unbranched case considered in [3] is a subcase of this case.

In general, we shall be able to attach a set of invariant factors to $D^n(\bar{A}/A)$ which in either case (ramified or unramified) uniquely determine the multiplicities in the blow up sequence B . The general theory developed in this paper will include and actually come from the unibranch theory discussed in [3].

1. Some preliminary results. We use the same notation as in the introduction. Thus, R denotes the local ring at a singular point P of some irreducible algebraic curve C defined over an algebraically closed field k . For the time being, we make no assumptions about the nature of the singularity at P . We shall let m denote the maximal ideal of R . All topological statements about R and related rings will be made relative to the m -adic topology on R .

Now let \bar{R} denote the integral closure of R in its quotient field K , and let $B: R < R_1 < R_2 < \dots < \bar{R}$ be the blow up sequence of R . Each R_{i+1} is obtained from R_i by blowing up the Jacobson radical J_i of R_i . Since k is infinite, any open ideal in R_i has a transversal element. In particular, J_i has a transversal element say $x(i)$. Then $R_{i+1} = R_i[x_1/x(i), \dots, x_r/x(i)]$ where $\{x_1, \dots, x_r\}$ are elements in R_i which generate J_i . Thus, each R_i in B is a semilocal ring which is finitely generated as an R -module. We note that since \bar{R} is a Noetherian R -module, there exists an integer n such that $R_n = R_{n+1} = \dots$. Now $R_n = \bar{R}$. For, R_{n+1} is the blow up of R_n along its Jacobson radical J_n . Thus, $R_{n+1} = R_n$ implies that J_n is principal. But this immediately implies that every localization of R_n (at maximal ideals) is a regular local ring. Thus, R_n is normal and hence $R_n = \bar{R}$. Therefore, B always has the form

$$(2) \quad B: R = R_0 < R_1 < \dots < R_n = \bar{R} = \bar{R} = \bar{R} \dots$$

for some $n \gg 1$.

If A is the completion of R and \bar{A} the integral closure of A in its total quotient ring, then similar remarks can be made about the blow up sequence $\hat{B}: A < A_1 < \dots < \bar{A}$. For a detailed discussion of blow up sequences, we refer the reader to [4].

In the introduction, we mentioned that the multiplicities of B and \hat{B} are the same. This is part of the following proposition:

PROPOSITION 1. *Let B and \hat{B} denote the blow up sequences of R and A respectively. Let J_i denote the Jacobson radical of R_i . Then*

- (a) $J_i A_i$ is the Jacobson radical of A_i .
- (b) $A_i = R_i \otimes_R A \quad i = 0, 1, \dots$
- (c) $\mu(A_i) = \mu(R_i) \quad i = 0, 1, \dots$

Proof. This proposition follows from the proof of Proposition 2.8 in [4]. We proceed via induction on i . If $i = 0$, then clearly (a), (b) and (c) hold for $R_0 = R$ and $A_0 = A$, the completion of R . Thus, assume the proposition is proven for i and consider A_{i+1} . Since $A_i = R_i \otimes_R A$, and A is flat over R , we have A_i is flat over R_i . Denoting blow-ups with superscripts and using [4, Corollary 1.2], we have

$$(3) \quad A_{i+1} = A_i^{J_i A_i} = R_{i+1} \otimes_{R_i} A_i.$$

But, $R_{i+1} \otimes_{R_i} A_i = R_{i+1} \otimes_{R_i} (R_i \otimes_R A) = R_{i+1} \otimes_R A$. Thus, we have established (b) in the $i + 1$ case. As for (a), we first note that A_{i+1} is integral over A since $A_{i+1} \subset \bar{A}$. Thus, every maximal ideal of A_{i+1} contracts to $m A$ in A and consequently to m in R . Since R_{i+1} is integral over R , we see every maximal ideal in A_{i+1} contracts to a maximal idea in R_{i+1} . Therefore, if $J(A_{i+1})$ denotes the Jacobson radical of A_{i+1} , we have $J_{i+1} A_{i+1} \subset J(A_{i+1})$. But

$$(4) \quad A_{i+1}/J_{i+1} A_{i+1} \cong (A/mA) \otimes_{R/m} (R_{i+1}/J_{i+1}) \cong k \otimes \dots \otimes k.$$

Thus, $J_{i+1} A_{i+1} = J(A_{i+1})$ and the proof of (a) is complete. Since each A_i is just the completion of R_i with respect to its radical topology, (c) follows directly from [9, Lemma 1, p. 285].

Thus, to compute the multiplicities in the blow-up sequence B , we may use the sequence \hat{B} .

We now set up the notation for the main theorem of this section. As in the introduction, let $\{m_1, \dots, m_t\}$ be the maximal ideals of \bar{R} . Set $V_i = \bar{R}_{m_i}$, $i = 1, \dots, t$. Then each V_i is a discrete rank one valuation ring which dominates R . We shall let \hat{V}_i denote the completion of V_i with respect to its maximal ideal $m_i V_i$. Since k is algebraically closed, we have the integral closure \bar{A} of A in its total quotient ring is just the completion of \bar{R} [9, Theorem 33, p. 320]. Thus, $\bar{A} = \hat{V}_1 \oplus \dots \oplus \hat{V}_t$. Let π_i denote the natural projection of \bar{A} onto \hat{V}_i . Set $p_i = \ker \pi_i \cap A$ for $i = 1, \dots, t$. Then p_1, \dots, p_t are exactly the minimal primes of A , and we have $(0) = p_1 \cap \dots \cap p_t$. Thus, the image of A in \hat{V}_i is just A/p_i . When we write $\hat{V}_i \otimes_A \hat{V}_i, I(\hat{V}_i/A)$ etc., we shall mean $\hat{V}_i \otimes_{A/p_i} \hat{V}_i, I(\hat{V}_i/A/p_i)$, etc.

As in the introduction, $I(\hat{V}_i/A)$ will denote the kernel of the multiplication mapping $\sigma_i: \hat{V}_i \otimes_A \hat{V}_i \rightarrow \hat{V}_i$. Since \bar{A} is a finitely generated A -module, each \hat{V}_i is a finitely generated A/p_i -module. Consequently, $I(\hat{V}_i/A)$ is a finitely generated left \hat{V}_i -module as well as a finitely generated left \hat{V}_i -algebra.

We can now prove the main result of this section.

THEOREM 1. *Let A be the complete local ring at a singular point P of an irreducible algebraic curve C defined over an algebraically closed field k . Let $\bar{A} = \hat{V}_1 \oplus \dots \oplus \hat{V}_t$ be the integral closure of A where $\{V_1, \dots, V_t\}$ are the discrete rank one valuation rings in K which dominate the local ring R at P . Then for all n sufficiently large, $D^n(\bar{A}/A) = I(\hat{V}_1/A) \oplus \dots \oplus I(\hat{V}_t/A)$ and each $I(\hat{V}_i/A)$ is nilpotent.*

Proof. We first note that for any natural number n , $D^n(\bar{A}/A) = D^n(\hat{V}_1/A) \oplus \dots \oplus D^n(\hat{V}_t/A)$. For, let $\sigma: \bar{A} \otimes_A \bar{A} \rightarrow \bar{A}$ be the multiplication map. Since the \hat{V}_i are pairwise orthogonal in \bar{A} , we have $\bar{A} \otimes_A \bar{A} = \bigoplus_{i,j=1}^t (\hat{V}_i \otimes_A \hat{V}_j)$. Thus, $I(\bar{A}/A)$, which is the kernel of σ , is given by

$$(5) \quad I(\bar{A}/A) = I(\hat{V}_1/A) \oplus \dots \oplus I(\hat{V}_t/A) \oplus \{ \bigoplus_{i \neq j} (\hat{V}_i \otimes_A \hat{V}_j) \}$$

Thus,

$$D^n(\bar{A}/A) = I(\bar{A}/A)/I^{n+1}(\bar{A}/A) = I(\hat{V}_1/A)/I^{n+1}(\hat{V}_1/A) \oplus \dots \oplus I(\hat{V}_t/A)/I^{n+1}(\hat{V}_t/A) = D^n(\hat{V}_1/A) \oplus \dots \oplus D^n(\hat{V}_t/A).$$

Thus, to prove the theorem, it suffices to show that each $I(\hat{V}_i/A)$ is nilpotent.

Let \bar{R} denote the integral closure of R in K . Since \bar{R} is a Dedekind domain with finitely many maximal ideals, \bar{R} is a principal ideal domain. Thus, the Jacobson radical $J = m_1 \dots m_t$ of \bar{R} is principal. Let $\beta \in \bar{R}$ such that $\beta\bar{R} = J$. Then β generates the maximal ideal $m_i V_i$ in each valuation ring V_i . Hence, β is a common uniformizing parameter for the V_i $i = 1, \dots, t$. Since k is algebraically closed, we conclude that $\hat{V}_i \cong k[[\beta]]$ for each $i = 1, \dots, t$.

Now let c denote the conductor of R in \bar{R} . Since P is a singular point of C , $R \neq \bar{R}$. Thus, c is a proper ideal in R . Since R is Noetherian with m as its only proper prime, we see that $\sqrt{c} = m$. Thus, some power, say n_0 , of m falls in c , i.e., $m^{n_0} \subset c$. Now consider $m\bar{R}$. Since every m_i is an associated prime of $m\bar{R}$, we have $J = \sqrt{m\bar{R}}$. Thus, some power of β falls inside of $m\bar{R}$, and, consequently, some possibly larger power falls in c . Suppose $\beta^n \in c$.

We note that $\beta^{n+l} \in c \subset R \subset A$ for $l = 0, 1, \dots$. Let p_1, \dots, p_t be the minimal primes of (0) in A . Since β^n is not a zero-divisor in R , β^n is not a zero-divisor in A . Thus, $\beta^n \notin \bigcup_{i=1}^t p_i$. Therefore, $\pi_i(\beta^n) = (\pi_i(\beta))^n$ is a nonzero element of A/p_i . For simplicity of notation, we shall identify β with $\pi_i(\beta)$. Then since $\hat{V}_i = k[[\beta]]$, we see \hat{V}_i is a finitely generated module over A/p_i with generators $1, \beta, \dots, \beta^{n-1}$.

Let $\delta_i: \hat{V}_i \rightarrow I(\hat{V}_i/A)$ be the canonical Taylor series given by $\delta_i(x) = 1 \otimes_A x - x \otimes_A 1$. It now follows from [5, Lemma 1.1] that $I(\hat{V}_i/A)$ is a left \hat{V}_i -algebra generated by $\{\delta_i(\beta), \delta_i(\beta^2), \dots, \delta_i(\beta^{n-1})\}$. Since $\beta^n \in c \subset A$, we

have $\delta_i(\beta^n) = 0$. But, then

$$(6) \quad 0 = \delta_i(\beta^n) = \binom{n}{1} \beta^{n-1} \delta_i(\beta) + \dots + [\delta_i(\beta)]^n.$$

Solving (6) for $[\delta_i(\beta)]^n$, we get

$$(7) \quad [\delta_i(\beta)]^n = -\beta \left\{ \binom{n}{1} \beta^{n-2} \delta_i(\beta) + \dots + \binom{n}{n-1} [\delta_i(\beta)]^{n-1} \right\}.$$

Now any element of c annihilates $I(\hat{V}_i/A)$. Consequently, raising Equation (7) to the n th power gives $[\delta_i(\beta)]^{n^2} = 0$. Thus, $\delta_i(\beta)$ is nilpotent. If we apply the same argument to $\beta^2, \beta^3, \dots, \beta^{n-1}$, we see that each generator $\delta_i(\beta^j), j = 1, \dots, n - 1$ of $I(\hat{V}_i/A)$ is nilpotent. Thus, $I(V_i/A)$ is nilpotent and the proof of Theorem 1 is complete.

We conclude this section with a proposition which will be useful in both the ramified and unramified case.

For each $j = 1, \dots, t$, we can consider the blow up sequence \hat{B}_j of A/p_j in \hat{V}_j . Thus,

$$(8) \quad \hat{B}_j: A/p_j = (A/p_j)_0 < (A/p_j)_1 < \dots < \hat{V}_j.$$

One can easily check that \hat{V}_j is the integral closure of A/p_j in its quotient field. Since \hat{V}_j is a local ring, each term in the chain \hat{B}_j is a local ring. We note that if $A/p_j = \hat{V}_j$, then \hat{B}_j is just the trivial sequence $\hat{B}_j: V_j = \hat{V}_j = \dots$.

Now let $B: R < R_1 < R_2 < \dots < \bar{R}$ denote the blow up sequence of R . We wish to relate the multiplicities occurring in B with the multiplicities of the \hat{B}_j . Since the multiplicities of B are the same as the multiplicities of $\hat{B}: A < A_1 < A_2 < \dots < \bar{A}$, the following proposition gives us the relationship.

PROPOSITION 2. *Let A be the completion of the local ring of a singular point P of an irreducible algebraic curve C defined over an algebraically closed field k . Let $\bar{A} = \hat{V}_1 \oplus \dots \oplus \hat{V}_t$ be the integral closure of A in its total quotient ring, and let $\{p_1, \dots, p_t\}$ be the minimal primes of A . Let $\hat{B}: A < A_1 < \dots < \bar{A}$ and $B_j: A/p_j < (A/p_j)_1 < \dots < \hat{V}_j, j = 1, \dots, t$ be the blow up sequences for A and A/p_j respectively. Then $\mu(A_i) = \sum_{j=1}^t \mu((A/p_j)_i)$ for each $i = 0, 1, \dots$.*

Proof. Consider a fixed ring A_i in the blow up sequence \hat{B} . Then $A_i \subset \bar{A}$, and we can consider the kernel of the projection map π_j of \bar{A} onto \hat{V}_j when restricted to A_i . Set $p_j^{(i)} = \ker \pi_j \cap A_i$. Then a simple argument shows that $p_1^{(i)}, \dots, p_t^{(i)}$ are exactly the minimal primes of A_i . Since A_i is reduced, $(A_i)_{p_j^{(i)}}$ (the localization of A_i at $p_j^{(i)}$) is a reduced, Noetherian local ring of dimension zero. Thus, $(A_i)_{p_j^{(i)}}$ is a field. Consequently, the length of the Artinian local ring $(A_i)_{p_j^{(i)}}$ is one. We also note that if \hat{J}_i is the Jacobson radical of A_i , then for each $j = 1, \dots, t, \hat{J}_i(A_i/p_j^{(i)})$ is the Jacobson radical of $A_i/p_j^{(i)}$. It now follows from the projection formula [6, (23.5)] that $\mu(A_i) = \sum_{j=1}^t \mu(A_i/p_j^{(i)})$. Thus, the proposition will be proven if we can show that

$$(9) \quad A_i/p_j^{(i)} \cong (A/p_j)_i \quad j = 1, \dots, t.$$

If $i = 0$, then (9) certainly holds. Now consider A_1 and $(A/\mathfrak{p}_j)_1$. If x is a regular element of A , then $x \notin \bigcup_{i=1}^t \mathfrak{p}_i$. In particular $x \notin \mathfrak{p}_j$. Therefore, $\pi_j(x)$ is a regular element of A/\mathfrak{p}_j . Thus, π_j has a natural extension to a map $\theta_j: A^m \rightarrow (A/\mathfrak{p}_j)^{\pi_j(m)}$. Now $A^m = A_1$, $(A/\mathfrak{p}_j)^{\pi_j(m)} = (A/\mathfrak{p}_j)_1$ and θ_j is just π_j restricted to A_1 . Since $\pi_j: A \rightarrow A/\mathfrak{p}_j$ is surjective, we have $\theta_j: A_1 \rightarrow (A/\mathfrak{p}_j)_1$ is also surjective. Finally, since θ_j is just π_j restricted to A_1 , the kernel of θ_j is exactly $\mathfrak{p}_j^{(1)}$. Thus, $A_1/\mathfrak{p}_j^{(1)} \cong (A/\mathfrak{p}_j)_1$.

We now proceed by induction on i . Thus, we may assume that π_j when restricted to A_{i-1} maps A_{i-1} onto $(A/\mathfrak{p}_j)_{i-1}$ and has kernel $\mathfrak{p}_j^{(i-1)}$. If x is a regular element in A_{i-1} , then, $x \notin \bigcup_{i=1}^t \mathfrak{p}_i^{(i-1)}$. In particular, $\pi_j(x)$ is a regular element in $(A/\mathfrak{p}_j)_{i-1}$. Thus, as in the case $i = 1$, π_j has a unique extension

$$\theta_j: A_{i-1}^{\hat{J}_{i-1}} \rightarrow (A/\mathfrak{p}_j)_{i-1}^{\pi_j(\hat{J}_{i-1})}.$$

Again we have

$$A_{i-1}^{\hat{J}_{i-1}} = A_i, \quad (A/\mathfrak{p}_j)_{i-1}^{\pi_j(\hat{J}_{i-1})} = (A/\mathfrak{p}_j)_i$$

and θ_j is just π_j restricted to A_i . Thus, θ_j is surjective and has kernel $\mathfrak{p}_j^{(i)}$. Hence, (9) is proven and the proof of Proposition 2 is complete.

2. The unramified case. In this section, we shall assume that C has no ramification at P . In other words, we shall assume that \bar{R} is unramified over R . Recall this means that \mathfrak{m} generates the maximal ideal in each $V_i, i = 1, \dots, t$, and that $V_i/\mathfrak{m}V_i$ is a separable field extension of R/\mathfrak{m} for every $i = 1, \dots, t$. Since $k = R/\mathfrak{m} = V_i/\mathfrak{m}V_i$, the last part of the definition is always satisfied. The following theorem completely characterizes when \bar{R} is unramified over R .

THEOREM 2. *Let R be the local ring at a singular point P of an irreducible algebraic curve C defined over an algebraically closed field k . Let \bar{R} be the integral closure of R , A the completion of R and \bar{A} the integral closure of A . Then the following statements are equivalent:*

- (a) \bar{R} is unramified over R .
- (b) \bar{R} is a separable R -algebra, i.e. \bar{R} is projective as a left $\bar{R} \otimes_R \bar{R}$ -module.
- (c) The Jacobson radical of \bar{R} is generated by an element of R .
- (d) For all n sufficiently large, $D^n(\bar{A}/A) = 0$.

Proof: The fact that (a) and (b) are equivalent is well known. A proof can be found in [1, Theorem 2.5]. We show (c) and (b) are equivalent. First, assume \bar{R} is separable over R . Then by [2, Theorem 7.1], $\bar{R}/\mathfrak{m}\bar{R}$ must be separable over $R/\mathfrak{m} = k$. Thus, $\mathfrak{m}\bar{R}$ must be the Jacobson radical of \bar{R} . Since k is infinite, \mathfrak{m} has a transversal element, say x . Then letting R^m denote the blow up of R by \mathfrak{m} , we have $\mathfrak{m}\bar{R} = \mathfrak{m}R^m\bar{R} = xR^m\bar{R} = x\bar{R}$. Thus, the Jacobson radical of \bar{R} is generated by an element $x \in \mathfrak{m}$. Conversely, assume $\mathfrak{m}_1 \dots \mathfrak{m}_t$ (the Jacobson radical of \bar{R}) is generated by some element $x \in R$. Then necessarily $x \in \mathfrak{m}$, and we have $xV_i = x\bar{R}_{\mathfrak{m}_i} = (\mathfrak{m}_1 \dots \mathfrak{m}_t)\bar{R}_{\mathfrak{m}_i} = \mathfrak{m}_i\bar{R}_{\mathfrak{m}_i} = \mathfrak{m}_iV_i$. Thus, $\mathfrak{m}V_i = \mathfrak{m}_iV_i$. So, \bar{R} is unramified over R and therefore separable over R .

Finally, we argue that (d) is equivalent to the rest. Suppose first that \bar{R} is unramified over R . Then by (c), the Jacobson radical of \bar{R} is generated by some element of R . Thus, in the proof of Theorem 1, we can take β to lie in R . But then $\pi_i(\beta)$ is a nonzero element in A/p_i . This implies that $A/p_i = \hat{V}_i, i = 1, \dots, t$. Therefore, $I(\hat{V}_i/A) = 0$ for all $i = 1, \dots, t$. So, by Theorem 1, $D^n(\bar{A}/A) = 0$ for all n sufficiently large.

Conversely, assume (d) holds. Then by Theorem 1 $I(\hat{V}_i/A) = 0, i = 1, \dots, t$. Thus, $\sigma_i : \hat{V}_i \otimes_{A/p_i} \hat{V}_i \rightarrow \hat{V}_i$ is an isomorphism. It now follows from [8; Theorem 1.1] that the inclusion map $A/p_i \rightarrow \hat{V}_i$ is an epimorphism in the category or rings. Since \hat{V}_i is a finitely generated A/p_i -module, [8, Proposition 1.6] implies that $A/p_i = \hat{V}_i$. Thus, Proposition 2 implies that $\mu(R) = \mu(A) = t$.

Now let x be a transversal for m . By the remarks in [4, p. 657], we have $t = \mu(R) = \lambda_R(\bar{R}/x\bar{R})$. Here $\lambda_R(M)$ denotes the length of the R -module M . But, $\lambda_R(\bar{R}/m_1 \dots m_t) = \lambda_R(k^t) = t$. Since $x\bar{R} \subset m_1 \dots m_t$, we have $\lambda_R(m_1 \dots m_t/x\bar{R}) = 0$. So $x\bar{R} = m_1 \dots m_t$. Thus, the Jacobson radical of \bar{R} is generated by x . Therefore, (d) implies (c), and the proof of Theorem 2 is complete.

In the introduction of this paper, we claimed that if \bar{R} is unramified over R then the multiplicities of the blow-up sequence B are particularly simple. It is clear from Theorem 2 and Proposition 2 that if \bar{R} is unramified over R , then the multiplicities of B are given by the constant sequence $\{t\}$.

3. The general case. As usual, we shall assume R is the local ring at a singular point P of C . We shall let A denote the completion of R , and \bar{A} the integral closure of A in its total quotient ring. Throughout this section, we shall make no assumptions about the nature of the singularity at P . Thus, \bar{R} could be ramified or unramified over R .

By Theorem 1, $D^n(\bar{A}/A) = I(\hat{V}_1/A) \oplus \dots \oplus I(\hat{V}_t/A)$ for $n \gg 1$. Recall that $I(\hat{V}_i/A)$ means $I(\hat{V}_i/A/p_i)$ where $\{p_1, \dots, p_t\}$ are the minimal primes of A .

Now for any $i = 1, \dots, t, I(\hat{V}_i/A)$ is a finitely generated module over the principal ideal domain \hat{V}_i . Thus, the decomposition of the \hat{V}_i -module $I(\hat{V}_i/A)$ is uniquely determined by a set of invariant factors $\{\delta_1^i, \dots, \delta_{r(i)}^i\}$ which are unique up to units in \hat{V}_i . By the invariant factors of $D^n(\bar{A}/A)$, we shall mean the set $\cup_{i=1}^t \{\delta_1^i, \dots, \delta_{r(i)}^i\}$. Note, that if $I(\hat{V}_i/A) = 0$ for some i , then we can and do take for $\{\delta_1^i \dots \delta_{r(i)}^i\}$, the set $\{1_{\hat{V}_i}\}$. Here $1_{\hat{V}_i}$ denotes the identity of \hat{V}_i .

We can now state the general result.

THEOREM 3. *Let A be the completion of the local ring R at a singular point P of an irreducible algebraic curve C defined over an algebraically closed field k . Let \bar{A} be the integral closure of A in its total quotient ring. Then the decomposition of*

the module $D^n(\bar{A}/A)$ for $n \gg 1$ uniquely determines the multiplicities of the blow up sequence B of R .

Proof. Theorem 3 follows easily from Proposition 2 and [3, Theorem 3.5]. Let $B : R < R_1 < \dots < \bar{R}$ be the blow up sequence of R . By Proposition 1, $\mu(R_i) = \mu(A_i)$ where $\hat{B} : A < A_1 < \dots < \bar{A}$ is the blow up sequence of A . Thus, by Proposition 2 the multiplicities of B are uniquely determined by the multiplicities of $\hat{B}_j, j = 1, \dots, t$.

Now for n sufficiently large, Theorem 1 implies that $D^n(\bar{A}/A) = I(\hat{V}_1/A) \oplus \dots \oplus I(\hat{V}_t/A)$. If $D^n(\bar{A}/A) = 0$, then the invariant factors of $D^n(\bar{A}/A)$ are just $F = \{1_{V_1}, \dots, 1_{V_t}\}$. Then as shown in Theorem 2, for each $i = 1, \dots, t, A/\mathfrak{p}_i = \hat{V}_i$. Consequently, \hat{B}_i has the form $\hat{B}_i : V_i = \hat{V}_i = \dots$. So, the multiplicities of \hat{B}_i are identically one, and Proposition 2 implies that the multiplicities of B are identically t . Thus, if the invariant factors F of $D^n(\bar{A}/A)$ for $n \gg 1$ are trivial, i.e., $F = \{1_{V_1}, \dots, 1_{V_t}\}$, then the multiplicities of B are identically t .

Let us suppose $D^n(\bar{A}/A) \neq 0$. Then after suitably relabeling, we may suppose $I(\hat{V}_i/A) \neq 0$ for $i = 1, \dots, h$, and $I(\hat{V}_i/A) = 0$ for $i > h$. Here, of course, $1 \leq h \leq t$. Thus, the invariant factors of $D^n(\bar{A}/A)$ can be written a

$$F = \{\delta_1^1, \dots, \delta_{r(1)}^1, \dots, \delta_1^h, \dots, \delta_{r(h)}^h, 1_{\hat{V}_{h+1}}, \dots, 1_{\hat{V}_t}\}.$$

Now the multiplicities of the local rings in $\hat{B}_i, i = 1, \dots, h$, are by [3, Lemmas 3.4 and 4.2] uniquely determined by the invariants $\{\delta_1^i, \dots, \delta_{r(i)}^i\}$. The exact relationship was discussed in the introduction of this paper. The multiplicities of the local rings in $\hat{B}_i, i > h$, are identically one. Thus, the multiplicities of the $\hat{B}_i, i = 1, \dots, t$, are uniquely determined by the decomposition of $D^n(\bar{A}/A)$. Consequently, by Proposition 2, the module $D^n(\bar{A}/A)$ uniquely determines the multiplicities of the blow up sequence B .

We note that Theorem 3 gives the correct result if C is unbranched at P . In this case, $t = 1, D^n(\bar{A}/A) = I(\hat{V}_1/A)$ for $n \gg 1$, and we return to the setting in [3].

The reader may be wondering why we don't consider $I(\bar{R}/R)$ and its invariants when studying the multiplicities of the blow up sequence B of R . Note that \bar{R} is a principal ideal domain, and thus, $I(\bar{R}/R)$ has a natural set of invariant factors associated with it.

One reason we don't study $I(\bar{R}/R)$ is that when we pass to the completion, the branches of C at P get separated, and the computations for $I(\hat{V}_i/A), i = 1, \dots, t$ are a bit easier to make. For example, if \bar{R} is unramified over R , then $I(\hat{V}_i/A) = 0$ for every $i = 1, \dots, t$. On the other hand, since $R \neq \bar{R}$, [8, Theorem 1.1 and Proposition 1.6] implies that $I(\bar{R}/R)$ is never zero for any singular point P . Thus, $I(\bar{R}/R)$ always has associated with it a set of non-trivial invariant factors. A second reason we avoid $I(\bar{R}/R)$ is that its invariants don't seem to give us the multiplicities of the blow up sequence B in any natural

way as in Theorem 3. We conclude this section with an example which illustrates this last point.

Example. Consider the curve $C: Y^2 = X^2 + X^3$ defined over the complex numbers \mathbf{C} . Let R denote the local ring at the origin $(0, 0)$. If we let x and y denote the images of X and Y in the coordinate ring of C , then we can write $R = \mathbf{C}[x, y]_{(x, y)}$ where $y^2 = x^2(x + 1)$. If we set $z = y/x$, then we can easily check that $R[z]$ is the integral closure \bar{R} of R in $\mathbf{C}(x, y)$. $\bar{R} = R[z]$ has exactly two maximal ideals $M_1 = (z - 1)$ and $M_2 = (z + 1)$ which lie over $m = (x, y)$ in R . Since $M_1 M_2 = (z^2 - 1) = (x) = m\bar{R}$, we see \bar{R} is unramified over R . Thus, the blow up sequence B for R is trivial, i.e., $B: R < \bar{R} = \bar{R} = \dots$, and the multiplicities of B are identically 2.

Let us now investigate $I(\bar{R}/R)$. Since \bar{R} is a separable R -algebra, $I(\bar{R}/R)$ is generated by an idempotent. By pulling back the separability idempotent from $(\bar{R}/m\bar{R}) \otimes_{\mathbf{C}} (\bar{R}/m\bar{R})$ to $\bar{R} \otimes_R \bar{R}$, the reader can easily verify that the idempotent e which generates $I(\bar{R}/R)$ is exactly $e = (-z/2)(1 \otimes_R z - z \otimes_R 1)$. Since $I(\bar{R}/R)$ is a cyclic \bar{R} -module generated by $1 \otimes_R z - z \otimes_R 1$, we see $I(\bar{R}/R) = \bar{R}e$. One can easily check that $x\bar{R}$ is the annihilator of $I(\bar{R}/R)$. Thus, the set of invariant factors for $I(\bar{R}/R)$ is just $\{x\}$.

How we are to decide that the multiplicities of B are $\{2, 2, \dots\}$ by looking at the set $\{x\}$ is unclear. However, since the invariants of $D^n(\bar{A}/A)$ (for $n \gg 1$) are just $\{1\hat{v}_1, 1\hat{v}_2\}$, we would know immediately from the discussion in Theorem 3 that B is trivial with constant multiplicity 2.

4. $D^n(\bar{A}/A)$ and isomorphism classes of A . Let C as usual denote an irreducible algebraic curve defined over an algebraically closed ground field k . Let A denote the completion of the local ring at a singular point P of C . Then as we have seen, \bar{A} always has the form $k[[\beta]] \oplus \dots \oplus k[[\beta]]$. The number of summands present here is equal to the number of branches of C centered at P . Now suppose that \mathcal{D} is another irreducible algebraic curve defined over k , and let Q be a singular point of \mathcal{D} . Let E denote the completion of the local ring at Q . Then if the number of branches of C centered at P is the same as the number of branches of \mathcal{D} centered at Q , then $\bar{A} \cong \bar{E}$. In this case, it makes sense to inquire when $D^n(\bar{A}/A) \cong D^n(\bar{E}/E)$ for $n \gg 1$.

Let Γ_t denote the collection of complete local rings A such that A is the completion of the local ring at a singular point P of some irreducible algebraic curve C (defined over k) which has exactly t branches at P . Thus, if A and E are members of Γ_t , then their integral closures \bar{A} and \bar{E} are isomorphic to $k[[\beta]] \oplus \dots \oplus k[[\beta]]$ (t summands). We wish to briefly discuss when $D^n(\bar{A}/A) \cong D^n(\bar{E}/E)$ for $A, E \in \Gamma_t$.

It would be nice if $D^n(\bar{A}/A) \cong D^n(\bar{E}/E)$ as $k[[\beta]] \oplus \dots \oplus k[[\beta]]$ -modules implies that A and E are isomorphic. Unfortunately, it is well known that this is false even in the unibranch case $t = 1$. For example, if $A \in \Gamma_1$, and A' denotes the Arf closure of A in \bar{A} , then $A' \in \Gamma_1$, and $D^n(\bar{A}/A) = D^n(\bar{A}/A')$

for all n . Since every $A \in \Gamma_1$ is not necessarily an Arf ring, we cannot hope that $D^n(\bar{A}/A)$ determines A up to isomorphism. The reader is urged to consult [4] for the pertinent facts about Arf rings used in this section.

If A and $E \in \Gamma_1$ satisfy some order relationship such as $A \subset E$ or $E \subset A$, then we do have a positive result concerning A' and E' , the Arf closures of A and E . Namely:

PROPOSITION 3. *Suppose $A, E \in \Gamma_1$ such that $A \subset E$. Then $D^n(\bar{A}/A) \cong D^n(\bar{E}/E)$ as $k[[\beta]]$ -modules if and only if the Arf closures A' and E' of A and E in $k[[\beta]]$ are equal.*

Proof. This proposition is the main content of [3, Theorem 4.7]. In the unibranch case, $D^n(\bar{A}/A) = I(k[[\beta]]/A)$ for $n \gg 1$. Thus, by Theorem 3, if $D^n(\bar{A}/A)$ is isomorphic to $D^n(\bar{E}/E)$, then the multiplicities of the branch sequences for A and E are identical. Since the multiplicities of the branch sequences for A and A' are the same, and $A' \subset E' \subset \bar{A}$, it follows from [4, Corollary 3.10] that $A' = E'$.

Conversely, suppose $A' = E'$. Since A contains the field k , the Arf closure A' of A is the same as the strict closure of A in \bar{A} . Thus, for all n , $D^n(\bar{A}/A) = D^n(\bar{A}/A') = D^n(\bar{A}/E') = D^n(\bar{A}/E)$.

We cannot hope for such a nice result in the general situation $t \geq 1$. This is because the module $D^n(\bar{A}/A)$ cannot distinguish between unramified extensions. For suppose, $A \in \Gamma_t$ ($t > 1$) is unramified. Then by Theorem 2, \bar{A} is a separable algebra over A . If E is any ring such that $A \subset E \subset \bar{A}$, then \bar{A} is also separable over E . Thus, $D^n(\bar{A}/A) = D^n(\bar{A}/E) = 0$ for $n \gg 1$. Since A' need not be equal to E' , we see that Proposition 3 is false if $t > 1$.

However, if $A, E \in \Gamma_t$ are special enough, we can state a generalization of Proposition 3. Let A_i as usual denote the i th blow up of A . Let us say that the local rings $A \subseteq E$ in Γ_t are *compatible* if

- (a) $A_i \subseteq E_i$ for all $i = 0, 1, \dots$, and
- (b) For all maximal ideals $M \subset \bar{A}$ and for all i , the number of minimal primes in A_i which are contained in $M \cap A_i$ is exactly the same as the number of minimal primes of E_i contained in $M \cap E_i$.

From the remarks made above, it is clear that in order to state any analog of Proposition 3, we must avoid the unramified situation. Since $D^n(\bar{A}/A) \cong I(\hat{V}_1/A) \oplus \dots \oplus I(\hat{V}_t/A)$ ($n \gg 1$), due care must also be made to match up proper components of $D^n(\bar{A}/A)$ and $D^n(\bar{E}/E)$. Thus, a correct analog of Proposition 3 is as follows:

PROPOSITION 4. *Let $A \in \Gamma_t$ have minimal primes $\{p_1, \dots, p_t\}$ and assume $A/p_i \subsetneq \hat{V}_i$ for all $i = 1, \dots, t$. Let $E \in \Gamma_t$ such that $A \subset E$, and A and E are compatible. Assume that we have labeled the minimal primes $\{q_1, \dots, q_t\}$ of E so that $A/p_i \subset E/q_i \subset \hat{V}_i$ $i = 1, \dots, t$. If there exists a $k[[\beta]] \oplus \dots \oplus k[[\beta]]$ -*

isomorphism $T: D^n(\bar{A}/A) \rightarrow D^n(\bar{E}/E)$ (for $n \gg 1$) such that $T(I(\hat{V}_i/A)) = I(\hat{V}_i/E)$ for all $i = 1, \dots, t$, then the Arf closures of A and E in \bar{A} coincide.

Proof. Since $A/p_i \neq \hat{V}_i$, $I(\hat{V}_i/A) \neq 0$. Therefore, $I(\hat{V}_i/E) \neq 0$, and $E/q_i \neq \hat{V}_i$. Since $I(\hat{V}_i/A) \cong I(\hat{V}_i/E)$, the multiplicity sequences of A/p_i and E/q_i are identical. Thus, using the notation of Proposition 2, we have $\mu\{(A/p_i)_j\} = \mu\{(E/q_i)_j\}$ for all i and j .

Now let M be a maximal ideal of \bar{A} . We wish to compute the multiplicity of the local ring $(E_1)_{M \cap E_1}$. We proceed as in the proof of Proposition 2. Let $\{q_1^{(1)}, \dots, q_t^{(1)}\}$ denote the minimal primes of E_1 . We can assume that $q_1^{(1)}, \dots, q_l^{(1)} \subset M \cap E_1$, and $q_{l+1}^{(1)}, \dots, q_t^{(1)} \not\subset M \cap E_1$. Here $1 \leq l \leq t$. Then the minimal primes in $(E_1)_{M \cap E_1}$ are just $\{q_1^{(1)}(E_1)_{M \cap E_1}, \dots, q_l^{(1)}(E_1)_{M \cap E_1}\}$. A simple calculation shows that each localization $\{(E_1)_{M \cap E_1}\}_{q_i^{(1)}(E_1)_{M \cap E_1}}$, $i = 1, \dots, l$, is a field, and that

$$(E_1)_{M \cap E_1}/q_i^{(1)}(E_1)_{M \cap E_1} \cong E_1/q_i^{(1)} \cong (E/q_i)_1.$$

Thus by the projection formula, $\mu\{(E_1)_{M \cap E_1}\} = \sum_{i=1}^l \mu\{(E/q_i)_1\}$.

Since A and E are compatible, $A_1 \subset E_1$. Thus, $q_i^{(1)}$ contracts to $p_i^{(1)}$ in A_1 . Since the number of minimal primes of E_1 contained in $M \cap E_1$ is exactly the same as the number of minimal primes of A_1 in $M \cap A_1$, we see that $\{p_1^{(1)}, \dots, p_l^{(1)}\}$ are exactly the minimal primes of A_1 contained in $M \cap A_1$. Thus, a similar computation as in the preceding paragraph gives $\mu\{(A_1)_{M \cap A_1}\} = \sum_{i=1}^l \mu\{(A/p_i)_1\}$. Therefore, $\mu\{(A_1)_{M \cap A_1}\} = \mu\{(E_1)_{M \cap E_1}\}$. Continuing in this fashion, we can show that for all $i = 0, 1, \dots$, $\mu\{(A_i)_{M \cap A_i}\} = \mu\{(E_i)_{M \cap E_i}\}$. Since M was arbitrary, we conclude that A and E have the same multiplicity sequence along each maximal ideal of \bar{A} . It now follows from [4, Corollary 3.10] that the Arf closures of A and E in \bar{A} coincide.

Finally, we note that Proposition 4 is a true generalization of Proposition 3. For suppose $A, E \in \Gamma_1$ with $A \subset E$, and $D^n(\bar{A}/A) \cong D^n(\bar{E}/E)$. Then $\mu(A_i) = \mu(E_i)$ for every $i = 0, 1, \dots$. Each ring A_i or E_i is local, and a transversal for either is just an element of minimum positive order (relative to the canonical valuation of $k[[\beta]]$). Since $\mu(A_i) = \mu(E_i)$, a common transversal for both A_i and E_i can be chosen out of A_i . But this immediately implies that $A_{i+1} \subset E_{i+1}$. Thus, A and E satisfy condition (a) in the definition of compatibility. Since condition (b) is trivial, we see that A and E are compatible. Thus, Proposition 4 implies that the Arf closures of A and E in \bar{A} are the same.

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