

ADDITION OF AN IDENTITY TO AN ORDERED BANACH SPACE

DEREK W. ROBINSON and SADAYUKI YAMAMURO

(Received 11 June 1982)

Communicated by W. Moran

Abstract

Given an ordered Banach space \mathfrak{B} equipped with an order-norm we construct a larger space $\tilde{\mathfrak{B}}$ with an order-norm and order-identity such that \mathfrak{B} is isometrically order-isomorphic to a Banach subspace of $\tilde{\mathfrak{B}}$. We also discuss the extension of positive operators from \mathfrak{B} to $\tilde{\mathfrak{B}}$.

1980 *Mathematics subject classification* (*Amer. Math. Soc.*): 46 B 20.

0. Introduction

The addition of an identity is a standard technique in the theory of C^* -algebras. In this note we examine a similar construction for ordered Banach spaces. Given a Banach space \mathfrak{B} ordered by a positive cone \mathfrak{B}_+ we construct a larger space $\tilde{\mathfrak{B}} = (\mathfrak{B}, \mathbf{R})$ ordered by a positive cone $\tilde{\mathfrak{B}}_+$ and equipped with an order-norm $\|\cdot\|_+$ which ensures that $e = (0, 1)$ is an (order-) identity of $\tilde{\mathfrak{B}}$, that is, e is maximal in the unit ball of $(\tilde{\mathfrak{B}}, \tilde{\mathfrak{B}}_+, \|\cdot\|_+)$. The embeddings $(\mathfrak{B}, 0) \subset \tilde{\mathfrak{B}}$ and $(\tilde{\mathfrak{B}}_+, 0) \subset \tilde{\mathfrak{B}}_+$ are however isometric order isomorphisms if, and only if, the norm $\|\cdot\|$ on \mathfrak{B} coincides with the order-norm. This is the case for C^* -algebras.

1. The order-norm and order-identity

Let $(\mathfrak{B}, \|\cdot\|)$ be a real Banach space ordered by a *positive cone* \mathfrak{B}_+ , that is, \mathfrak{B}_+ is a norm-closed convex cone in \mathfrak{B} satisfying

$$\mathfrak{B}_+ \cap -\mathfrak{B}_+ = \{0\}$$

and the relation $a \geq b$ is defined by $a - b \in \mathfrak{B}_+$. We define the *order half-norm* N_+ , as a positive function over \mathfrak{B} , by

$$N_+(a) = \inf\{\lambda \geq 0; a \leq \lambda u \text{ for some } u \in \mathfrak{B}_1\},$$

and the *order-norm* $\|\cdot\|_+$ by

$$\begin{aligned} \|a\|_+ &= N_+(a) \vee N_+(-a) \\ &= \inf\{\lambda \geq 0; -\lambda u \leq a \leq \lambda v \text{ for some } u, v \in \mathfrak{B}_1\}, \end{aligned}$$

where \mathfrak{B}_1 denotes the unit ball of \mathfrak{B} . Since $a = \|a\|u$ with $u = a/\|a\| \in \mathfrak{B}_1$ one has $N_+(a) \leq \|a\|$ and hence

$$\|a\|_+ \leq \|a\|$$

for all $a \in \mathfrak{B}$. But in general the two norms are inequivalent. Before further comparison of the norms we mention an alternative characterization of the order half-norm and hence the order-norm.

LEMMA 1.1. *Let \mathfrak{B} be a Banach space with positive cone \mathfrak{B}_+ and order half-norm N_+ . It follows that*

$$N_+(a) = \inf\{\|a + b\|; b \in \mathfrak{B}_+\}.$$

PROOF. If $a \leq \lambda u$ for some $u \in \mathfrak{B}_1$ then $\lambda u = a + b$ for some $b \in \mathfrak{B}_+$ and $|\lambda| \geq \|a + b\|$. Thus

$$N_+(a) \geq \inf\{\|a + b\|; b \in \mathfrak{B}_+\}.$$

But the converse inequality follows because one has $a \leq \|a + b\|u$, with $u = (a + b)/\|a + b\| \in \mathfrak{B}_1$, for each $b \in \mathfrak{B}_+$.

REMARK. The order half-norm is implicit in the work of Grosberg and Krein [6] and occurs explicitly in the work of Kadison [7]. It is basic to the introduction of the order-norm on an ‘order-unit’ space [1], [3]. More recently Arendt, Chernoff and Kato [2] defined the order half-norm by the criterion of Lemma 1 and called it the canonical half-norm. Note that N_+ is determined by \mathfrak{B}_+ and conversely

$$\mathfrak{B}_+ = \{a; N_+(-a) = 0\}.$$

Equivalence of the norm and order-norm is basically a property of the positive cone \mathfrak{B}_+ . Krein [8] was the first to introduce the appropriate notion of a normal cone.

The cone \mathfrak{B}_+ is defined to be α -normal if there is an $\alpha \geq 1$ such that $a \leq b \leq c$ always implies

$$\|b\| \leq \alpha(\|a\| \vee \|c\|).$$

There are various alternative definitions of normality (see, for example, [9] Chapter 2). In particular it can be characterized in terms of positive functions.

An element of the dual \mathfrak{B}^* of \mathfrak{B} is defined to be positive, $f \geq 0$, if

$$f(a) \geq 0$$

for all $a \in \mathfrak{B}_+$. The set of positive functionals $f \in \mathfrak{B}^*$ forms a norm-closed convex cone \mathfrak{B}_+^* which is called the *dual cone*. The cone is said to be α -generated if each $f \in \mathfrak{B}^*$ has a decomposition $f = f_+ - f_-$ with $f_{\pm} \in \mathfrak{B}_+^*$ and

$$\alpha \|f\| \geq \|f_+\| + \|f_-\|.$$

Note that if \mathfrak{B}_+^* is 1-generated then it follows from the triangle inequality that each $f \in \mathfrak{B}^*$ has a *Jordan decomposition*, that is, $f = f_+ - f_-$ with $f_{\pm} \in \mathfrak{B}_+^*$ and

$$\|f\| = \|f_+\| + \|f_-\|.$$

The following proposition gives criteria for equivalence, and equality, of the norm $\|\cdot\|$ and order-norm $\|\cdot\|_+$ on \mathfrak{B} . In particular it restates Grosberg and Krein’s result on the equivalence of α -normality of \mathfrak{B}_+ and α -generation of \mathfrak{B}_+^* .

PROPOSITION 1.2. *Let $(\mathfrak{B}, \mathfrak{B}_+, \|\cdot\|)$ be an ordered Banach space with corresponding order-norm $\|\cdot\|_+$. The following conditions are equivalent:*

1. $\|\cdot\|$ and $\|\cdot\|_+$ are equivalent norms,
2. \mathfrak{B}_+ is α -normal, for $\alpha \geq 1$,
3. \mathfrak{B}_+^* is α -generated, for $\alpha \geq 1$.

Moreover the following conditions are equivalent:

- 1'. $\|\cdot\| = \|\cdot\|_+$,
- 2'. \mathfrak{B}_+ is 1-normal,
- 3'. each $f \in \mathfrak{B}^*$ has a Jordan decomposition.

PROOF. The equivalence of Conditions 2 and 3, and hence of Conditions 2’ and 3’, was established by Grosberg and Krein [6] (see also [3] Chapter 2). We will prove $1 \Leftrightarrow 2$ and simultaneously $1' \Leftrightarrow 2'$.

$1 \Rightarrow 2$. Assume $\|b\| \leq \alpha \|b\|_+$ for all $b \in \mathfrak{B}$. But $a \leq b \leq c$ implies directly that $\|b\|_+ \leq \|a\| \vee \|c\|$ and hence

$$\|b\| \leq \alpha(\|a\| \vee \|c\|).$$

Setting $\alpha = 1$ one deduces that $1' \Rightarrow 2'$.

$2 \Rightarrow 1$. Since \mathfrak{B}_+ is α -normal the relations $-\lambda u \leq a \leq \lambda v$ with $u, v \in \mathfrak{B}_1$ imply that $\|a\| \leq \alpha\lambda$ and hence

$$\|a\| \leq \alpha \|a\|_+.$$

But $\|a\|_+ \leq \|a\|$ and hence the norms are equivalent.

Again setting $\alpha = 1$ one concludes that $2' \Rightarrow 1'$.

EXAMPLE 1.3. If $\mathfrak{B}_+ = \{0\}$ then $\|\cdot\|_+ = \|\cdot\|$ and $\mathfrak{B}_+^* = \mathfrak{B}^*$.

EXAMPLE 1.4. If \mathfrak{B} is the hermitian part of a C^* -algebra \mathfrak{A} ordered by the positive elements \mathfrak{A}_+ of the algebra then $\|\cdot\| = \|\cdot\|_+$ because each $f \in \mathfrak{A}^*$ has a Jordan decomposition [5], that is, the C^* -norm and order-norm coincide. This equality of norms can also be established by direct calculation.

EXAMPLE 1.5. Let \mathfrak{B} be an order complete Banach lattice (see, for example, [10]). Then $\|\cdot\| = \|\cdot\|_+$ if, and only if, \mathfrak{B} is an AM -space, that is, $\|a \vee b\| = \|a\| \vee \|b\|$ for all $a, b \in \mathfrak{B}_+$. This is established by first remarking that the dual of an AM -space is an AL -space [10] and each element of an AL -space has a Jordan decomposition, that is, Condition 3 of Proposition 1.2 is valid. Conversely each $a \in \mathfrak{B}$ has a canonical decomposition [10] $a = a_+ - a_-$ with $a_{\pm} \in \mathfrak{B}_+$ and $a_+ \wedge a_- = 0$. But $N_+(a) = \|a_+\|$ [2] and hence if $\|\cdot\| = \|\cdot\|_+$ then

$$\|a\| = \|a\|_+ = \|a_+ \vee \|a_-\|.$$

Since $a_+ \wedge a_- = 0$ this is also equivalent to

$$\|a \vee b\| = \|a\| \vee \|b\|$$

for all $a, b \in \mathfrak{B}_+$ with $a \wedge b = 0$. To remove this last restriction take $a, b \in \mathfrak{B}_+$ and define $a_1, b_1 \in \mathfrak{B}_+$ by

$$a_1 = a \vee b - b, \quad b_1 = a \vee b - a.$$

One then readily checks that $a_1 \wedge b_1 = 0$.

Next define \mathcal{Q} and \mathcal{Q}^\perp by

$$\mathcal{Q} = \{a; a \wedge b_1 = 0\}, \quad \mathcal{Q}^\perp = \{b; b \wedge a = 0, a \in \mathcal{Q}\}.$$

It follows from the assumed order completeness that $\mathfrak{B} = \mathcal{Q} \oplus \mathcal{Q}^\perp$ ([10] Chapter 2, Theorem 2.10) and the projection $P: \mathfrak{B} \mapsto \mathcal{Q}$ is positive and continuous with $\|P\| \leq 1$ ([10] Chapter 2, Propositions 2.7 and 5.2). Therefore

$$P(a \vee b) - Pa = Pb_1 = 0$$

because $b_1 \in \mathcal{Q}^\perp$ and

$$(1 - P)(a \vee b) - (1 - P)b = (1 - P)a_1 = 0$$

because $a_1 \in \mathcal{Q}$. Therefore if

$$a' = P(a \vee b), \quad b' = (1 - P)(a \vee b)$$

one has $a' \wedge b' = 0$ and $a' + b' = a' \vee b' = a \vee b$. Moreover $0 \leq a' = Pa \leq a$, $0 \leq b' = (1 - P)b \leq b$. Consequently

$$\|a \vee b\| = \|a' \vee b'\| = \|a'\| \vee \|b'\| \leq \|a\| \vee \|b\|$$

where the second step uses $a' \wedge b' = 0$. But $a \vee b \geq a$, $a \vee b \geq b$. Hence

$$\|a \vee b\| \geq \|a\| \vee \|b\|$$

and this establishes that \mathfrak{B} is an AM -space.

Next we consider identity elements.

An element e of the ordered Banach space $(\mathfrak{B}, \mathfrak{B}_+, \|\cdot\|)$ is defined to be an (*order-*) *identity*, or *unit element*, if it is maximal, with respect to the order induced by \mathfrak{B}_+ , in the unit ball \mathfrak{B}_1 . There are alternative characterizations:

PROPOSITION 1.6. *Let e be an element of the unit ball of the ordered Banach space $(\mathfrak{B}, \mathfrak{B}_+, \|\cdot\|)$. The following conditions are equivalent:*

1. e is an identity of \mathfrak{B} ,
2. $\{a; \|a - e\| < 1\} \subset \mathfrak{B}_+$,
3. $N_+ = N_e$ where N_+ is the order half-norm and

$$N_e(a) = \inf\{\lambda \geq 0; a \leq \lambda e\}.$$

Hence if \mathfrak{B} has an identity e the order-norm is characterized by

$$\begin{aligned} \|a\|_+ &= \inf\{\lambda \geq 0; -\lambda u \leq a \leq \lambda v; u, v \in \mathfrak{B}_1 \cap \mathfrak{B}_+\} \\ &= \inf\{\lambda \geq 0; -\lambda e \leq a \leq \lambda e\} \end{aligned}$$

and, moreover, e is an identity of $(\mathfrak{B}, \mathfrak{B}_+, \|\cdot\|_+)$.

PROOF. $1 \Rightarrow 3$. Since $e \in \mathfrak{B}_1$ one has $N_e \geq N_+$. But conversely if $v \in \mathfrak{B}_1$ then $v \leq e$, by maximality, and hence

$$N_+(a) = \inf\{\lambda \geq 0; a \leq \lambda v, v \in \mathfrak{B}_1\} \geq \inf\{\lambda \geq 0; a \leq \lambda e\} = N_e(a).$$

Thus $N_+ = N_e$.

$3 \Rightarrow 2$. The order half-norm satisfies $N_+(a) \leq \|a\|$. Hence if $N_+ = N_e$ then $a \leq \|a\|e$ for all $a \in \mathfrak{B}$. Consequently the unit ball around e is contained in \mathfrak{B}_+ .

$2 \Rightarrow 1$. If $b = e - a/\|a\|(1 + \epsilon)$ then $\|e - b\| \leq 1/(1 + \epsilon)$ and hence $b \geq 0$ for $\epsilon > 0$, that is, $a \leq \|a\|(1 + \epsilon)e$. But since \mathfrak{B}_+ is norm-closed one then has

$$a \leq \|a\|e$$

and hence e is maximal in \mathfrak{B}_1 .

Now it follows from Condition 3 that

$$(*) \quad \|a\|_+ = \inf\{\lambda \geq 0; -\lambda e \leq a \leq \lambda e\}$$

and the other characterization results from the fact that $e \in \mathfrak{B}_1 \cap \mathfrak{B}_+$. Finally $(*)$ implies that $e \geq a/\|a\|_+$ and hence, by maximality, e is an identity of $(\mathfrak{B}, \mathfrak{B}_+, \|\cdot\|_+)$.

REMARK. A little care must be taken with the last statement of Proposition 1.6. If \mathfrak{B}_+ is not normal with respect to $\|\cdot\|$ the space \mathfrak{B} is not $\|\cdot\|_+$ -complete, and the cone \mathfrak{B}_+ is not $\|\cdot\|_+$ -closed. But \mathfrak{B} can be $\|\cdot\|_+$ -completed, and \mathfrak{B}_+ can be

$\|\cdot\|_+$ -closed. The identity e of $(\mathfrak{B}, \mathfrak{B}, \|\cdot\|)$ then remains an identity for the $\|\cdot\|_+$ -completed space $(\mathfrak{B}, \overline{\mathfrak{B}}_+, \|\cdot\|_+)$. The order-norm is the smallest norm with this property.

Note that if \mathfrak{B} has an identity then it is unique because maximality of both e_1 and e_2 in \mathfrak{B}_+ implies $\pm(e_1 - e_2) \in \mathfrak{B}_+$ and hence $e_1 = e_2$. But not all ordered Banach spaces have an identity e . In fact Condition 2 of Proposition 1.6 demonstrates that e is an interior point of \mathfrak{B}_+ and in many cases \mathfrak{B}_+ has an empty interior.

EXAMPLE 1.7. If \mathfrak{B} is the hermitian part of a C^* -algebra \mathfrak{A} ordered by the positive elements \mathfrak{A}_+ of the algebra then \mathfrak{B} has an (order-) identity if, and only if, \mathfrak{A} has an (algebraic-) identity and in this case the two coincide.

EXAMPLE 1.8. If $(\mathfrak{B}, \|\cdot\|)$ is a Banach lattice then \mathfrak{B}_+ has interior points if, and only if, \mathfrak{B} is lattice isomorphic to $C(X)$ for some compact Hausdorff space X . (See, for example [4].) Moreover if u is an interior point of \mathfrak{B}_+ then each $a \in \mathfrak{B}$ can be majorized by a multiple of u and hence one can introduce the norm

$$\|a\|_u = \inf\{\lambda \geq 0; -\lambda u \leq a \leq \lambda u\}.$$

It follows that $\|\cdot\|_u$ is equivalent to $\|\cdot\|$ and $(\mathfrak{B}, \|\cdot\|_u)$ is an AM -space with identity u (again see [4]).

2. Addition of an identity

Next we consider the embedding of an ordered Banach space $(\mathfrak{B}, \mathfrak{B}_+, \|\cdot\|)$ in a larger space $(\tilde{\mathfrak{B}}, \tilde{\mathfrak{B}}_+, \|\cdot\|_+)$ with an identity e and corresponding order-norm $\|\cdot\|_+$. This embedding is an order-isomorphism but is not necessarily isometric. But again we remark that \mathfrak{B} can be completed with respect to the order-norm $\|\cdot\|_+$, and \mathfrak{B}_+ can be closed. The embedding theorem then gives an isometric order-isomorphism of the completed space $(\overline{\mathfrak{B}}, \overline{\mathfrak{B}}_+, \|\cdot\|_+)$ in the space $(\tilde{\mathfrak{B}}, \tilde{\mathfrak{B}}_+, \|\cdot\|_+)$ with identity. For simplicity we will not distinguish between \mathfrak{B} and $\overline{\mathfrak{B}}, \mathfrak{B}_+$ and $\overline{\mathfrak{B}}_+$, in the sequel.

THEOREM 2.1. *Let $(\mathfrak{B}, \mathfrak{B}_+, \|\cdot\|_+)$ be an ordered Banach space equipped with the order norm $\|\cdot\|_+$. Consider the space $\tilde{\mathfrak{B}} = (\mathfrak{B}, \mathbf{R})$ of pairs (a, t) with $a \in \mathfrak{B}$, $t \in \mathbf{R}$, with the operations*

$$\lambda(a, t) = (\lambda a, \lambda t), \quad (a, t) + (b, s) = (a + b, s + t),$$

with the norm

$$\|(a, t)\|_+ = (N_+(a) + t) \vee (N_+(-a) - t),$$

and with the cone

$$\tilde{\mathfrak{B}}_+ = \{(a, t); t \geq N_+(-a)\}.$$

It follows that $(\tilde{\mathfrak{B}}, \tilde{\mathfrak{B}}_+, \|\cdot\|_+)$ is an ordered Banach space, $\mathfrak{B} = (\mathfrak{B}, 0)$ is a Banach subspace of $\tilde{\mathfrak{B}}$, $\mathfrak{B}_+ = (\mathfrak{B}_+, 0)$ is a positive subcone of $\tilde{\mathfrak{B}}_+$, $e = (0, 1)$ is an identity of $(\tilde{\mathfrak{B}}, \tilde{\mathfrak{B}}_+, \|\cdot\|_+)$ and $\|\cdot\|_+$ is the order-norm on $\tilde{\mathfrak{B}}$ defined by $\tilde{\mathfrak{B}}_+$.

PROOF. First consider the space $\tilde{\mathfrak{B}} = (\mathfrak{B}, \mathbf{R})$ with the norm

$$\|(a, t)\| = \|a\|_+ + |t|.$$

Since \mathfrak{B} is $\|\cdot\|_+$ -complete $\tilde{\mathfrak{B}}$ is automatically $\|\cdot\|$ -complete and one can identify $\mathfrak{B} = (\mathfrak{B}, 0)$ as a Banach subspace. Now since N_+ is a half-norm $\tilde{\mathfrak{B}}_+$ is a proper norm-closed convex cone. For example if $\pm(a, t) \in \tilde{\mathfrak{B}}_+$ then $\pm t \geq N_+(\pm a)$ and $t = 0 = N_+(a) = N_+(-a)$, because N_+ is positive. Hence $a = 0 = t$. Note that if $a \in \mathfrak{B}_+$ then $N_+(-a) = 0$ and hence \mathfrak{B}_+ can be identified as the norm-closed subcone $(\mathfrak{B}_+, 0)$ of $\tilde{\mathfrak{B}}_+$.

Next we prove 3-normality of $\tilde{\mathfrak{B}}_+$. If $(a, r) \leq (b, s) \leq (c, t)$ then

$$s - r \geq N_+(a - b), \quad t - s \geq N_+(b - c).$$

In particular $t \geq s \geq r$. There are two cases to consider.

Case 1. $s \geq 0$. If $s \geq 0$ then $|t| \geq |s|$. Hence

$$\begin{aligned} \|(b, s)\| &= N_+(b) \vee N_+(-b) + |s| \\ &\leq (N_+(c) + t - s) \vee (N_+(-a) + s - r) + |t| \\ &\leq (N_+(c) + |t|) \vee (N_+(-a) + |t| - r) + |t| \\ &= N_+(c) \vee (N_+(-a) - r) + 2|t| \\ &\leq \|(c, t)\| \vee \|(a, r)\| + 2\|(c, t)\| \\ &\leq 3\|(a, r)\| \vee \|(c, t)\|. \end{aligned}$$

Case 2. $s \leq 0$. If $s \leq 0$ then $|r| \geq |s|$. Hence

$$\begin{aligned} \|(b, s)\| &\leq (N_+(c) + t - s) \vee (N_+(-a) + s - r) + |r| \\ &\leq (N_+(c) + t + |r|) \vee (N_+(-a) + |r|) + |r| \\ &= (N_+(c) + t) \vee N_+(-a) + 2|r| \\ &\leq \|(c, t)\| \vee \|(a, r)\| + 2\|(a, r)\| \\ &\leq 3\|(a, r)\| \vee \|(c, t)\|. \end{aligned}$$

Since $\tilde{\mathfrak{B}}_+$ is 3-normal the norm $\|\cdot\|$ and order-norm $\|\cdot\|_+$ on $\tilde{\mathfrak{B}}$ are equivalent, by Proposition 1.2. Thus $\tilde{\mathfrak{B}}$ is $\|\cdot\|_+$ -complete and $\tilde{\mathfrak{B}}_+$ is $\|\cdot\|_+$ -closed.

Next if $e = (0, 1)$ then

$$e\|(a, t)\| - (a, t) = (-a, \|a\|_+ + |t| - t) \in \tilde{\mathfrak{B}}_+$$

because $\|a\|_+ + |t| - t \geq N_+(a)$. Therefore e is maximal in the unit ball of $(\mathfrak{B}, \mathfrak{B}_+, \|\cdot\|)$, that is, e is an identity of $(\mathfrak{B}, \mathfrak{B}_+, \|\cdot\|)$. It now follows from Proposition 1.6 that e is an identity of $(\tilde{\mathfrak{B}}, \tilde{\mathfrak{B}}_+, \|\cdot\|_+)$ where $\|\cdot\|_+$ denotes the order-norm on $\tilde{\mathfrak{B}}$. Moreover this norm is given by

$$\|(a, t)\|_+ = \inf\{\lambda \geq 0; -\lambda e \leq (a, t) \leq \lambda e\}.$$

Since $(a, t) \leq \lambda e$ is equivalent to $(-a, \lambda - t) \in \tilde{\mathfrak{B}}_+$ one must have

$$\lambda \geq N_+(a) + t.$$

Similarly $-\lambda e \leq (a, t)$ gives $\lambda \geq N_+(-a) - t$. Therefore the order half-norm and order-norm on $\tilde{\mathfrak{B}}$ are given by

$$N_+((a, t)) = (N_+(a) + t) \vee 0$$

and

$$\|(a, t)\|_+ = (N_+(a) + t) \vee (N_+(-a) - t).$$

This verifies the last statement of the theorem.

EXAMPLE 2.2. If $\mathfrak{B}_+ = \{0\}$ then $N_+(a) = \|a\|$ and $\|a\|_+ = \|a\|$. Thus $\tilde{\mathfrak{B}}_+ = \{(a, t); t \geq \|a\|\}$ and $\|(a, t)\| = \|a\| + |t| = (\|a\| + t) \vee (\|a\| - t) = \|(a, t)\|_+$. Therefore the norm and order-norm on $\tilde{\mathfrak{B}}$ coincide. Note that $\tilde{\mathfrak{B}}_+$ is non-trivial despite the triviality of \mathfrak{B}_+ .

EXAMPLE 2.3. If \mathfrak{B} is the hermitian part of a C^* -algebra \mathfrak{A} , ordered by the positive elements \mathfrak{A}_+ of \mathfrak{A} , then the construction of Theorem 2.1 coincides with the addition of an algebraic identity [5]. Since $N_+(a) = \|a_+\|$, where a_+ is the positive part of a , and since the C^* -norm and order-norm coincide (Example 1.4) one has the connection

$$\|(a, t)\| = (\|a_+\| + t) \vee (\|a_-\| - t)$$

between the C^* -norms on $\tilde{\mathfrak{B}}$ and \mathfrak{B} .

3. Positive operators

In this section we examine the extension of operators from the ordered Banach space $(\mathfrak{B}, \mathfrak{B}_+, \|\cdot\|)$ to the space $(\tilde{\mathfrak{B}}, \tilde{\mathfrak{B}}_+, \|\cdot\|_+)$ with identity e constructed in Theorem 2.1. If \mathfrak{B}_+ is normal $\|\cdot\|$ and $\|\cdot\|_+$ are equivalent norms by Proposition 1.2, \mathfrak{B} is $\|\cdot\|_+$ -complete, \mathfrak{B}_+ is $\|\cdot\|_+$ -closed, and each bounded linear operator A on $(\mathfrak{B}, \mathfrak{B}_+, \|\cdot\|)$ defines a bounded operator on the renormed space $(\mathfrak{B}, \mathfrak{B}_+, \|\cdot\|_+)$. Therefore we can unambiguously consider the extension of A from $(\mathfrak{B}, \mathfrak{B}_+, \|\cdot\|)$ to $(\tilde{\mathfrak{B}}, \tilde{\mathfrak{B}}_+, \|\cdot\|_+)$.

First we give a characterization of positive operators, that is, operators A with the property that $A\mathfrak{B}_+ \subseteq \mathfrak{B}_+$.

LEMMA 3.1. *Let A be a bounded linear operator on the ordered Banach space $(\mathfrak{B}, \mathfrak{B}_+, \|\cdot\|)$. The following conditions are equivalent:*

1. $A\mathfrak{B}_+ \subseteq \mathfrak{B}_+$,
2. $N_+(Aa) \leq \|A\|N_+(a), a \in \mathfrak{B}$,
3. $N_+(Aa) \leq \alpha N_+(a), a \in \mathfrak{B}$, for some $\alpha \geq \|A\|$.

PROOF. $1 \Rightarrow 2$. One has

$$\begin{aligned} N_+(Aa) &= \inf\{\|Aa + b\|; b \in \mathfrak{B}_+\} \\ &\leq \inf\{\|Aa + Ab\|; b \in \mathfrak{B}_+\} \\ &\leq \|A\|N_+(a). \end{aligned}$$

$2 \Rightarrow 3$. This is trivial.

$3 \Rightarrow 1$. If $a \in \mathfrak{B}_+$ then $N_+(-a) = 0$ and hence $N_+(-Aa) = 0$. But this is equivalent to $Aa \in \mathfrak{B}_+$.

Now suppose that \mathfrak{B}_+ is normal and hence the bounded linear operator A on \mathfrak{B} is a bounded operator on the renormed space $(\mathfrak{B}, \|\cdot\|_+)$. The simplest form of extension of A from \mathfrak{B} to $\tilde{\mathfrak{B}}$ is defined by

$$A_\alpha(a, t) = (Aa, \alpha t)$$

where $\alpha \in \mathbf{R}$. Note that A_α is automatically linear and we next examine criteria for it to be positive.

THEOREM 3.2. *Let A be a bounded linear operator on the ordered Banach space $(\mathfrak{B}, \mathfrak{B}_+, \|\cdot\|)$. Assume \mathfrak{B}_+ is normal and consider the extension A_α of A to the extended space $(\tilde{\mathfrak{B}}, \tilde{\mathfrak{B}}_+, \|\cdot\|_+)$ with identity e . If $\alpha \geq \|A\|$ the following conditions are equivalent:*

1. $A\mathfrak{B}_+ \subseteq \mathfrak{B}_+$,
2. $A_\alpha\tilde{\mathfrak{B}}_+ \subseteq \tilde{\mathfrak{B}}_+$,
3. $\|A_\alpha\| = \alpha$,
4. $\|A_\alpha\| \leq \alpha$.

PROOF. $2 \Rightarrow 1$. If $a \in \mathfrak{B}_+$ then $(a, 0) \in \tilde{\mathfrak{B}}_+$ and $A_\alpha(a, 0) = (Aa, 0) \in \tilde{\mathfrak{B}}_+$ by assumption. Thus $N_+(-Aa) = 0$ and $Aa \in \mathfrak{B}_+$.

1 \Rightarrow 3. Because $\alpha \geq \|A\|$ Condition 1 is equivalent to $N_+(Aa) \leq \alpha N_+(a)$ for all $a \in \mathfrak{B}$ by Lemma 3.1. Therefore

$$\begin{aligned} N_+(A_\alpha(a, t)) &= (N_+(Aa) + \alpha t) \vee 0 \\ &\leq \alpha(N_+(a) + t) \vee 0 \\ &= \alpha N_+((a, t)). \end{aligned}$$

Consequently

$$\|A_\alpha(a, t)\|_+ \leq \alpha\|(a, t)\|_+.$$

But one also has $\|A_\alpha e\|_+ = \alpha\|e\|_+ = \alpha$ and hence $\|A_\alpha\| = \alpha$.

3 \Rightarrow 4. This is evident.

To conclude the proof we recall that since e is an identity of $(\tilde{\mathfrak{B}}, \tilde{\mathfrak{B}}_+, \|\cdot\|_+)$

$$\|e - (a, t)\|_+ \leq 1$$

implies $(a, t) \in \tilde{\mathfrak{B}}_+$. Conversely, if $(a, t) \in \tilde{\mathfrak{B}}_+$ and $\|(a, t)\|_+ \leq 1$ then $N_+(-a) \leq t$ and $N_+(a) + t \leq 1$. Therefore

$$\|e - (a, t)\|_+ = (N_+(-a) + 1 - t) \vee (N_+(a) - 1 + t) \leq 1.$$

4 \Rightarrow 2. Assume $(a, t) \in \tilde{\mathfrak{B}}_+$ and $\|(a, t)\|_+ \leq 1$. Then setting $B = A_\alpha/\alpha$ one has $Be = e$ and hence

$$\begin{aligned} \|e - B(a, t)\|_+ &= \|A_\alpha(e - (a, t))\|_+/\alpha \\ &= (\|A_\alpha\|/\alpha)\|(e - (a, t))\|_+ \leq 1 \end{aligned}$$

by Condition 4 and the above. Therefore $B(a, t) \in \tilde{\mathfrak{B}}_+$ and consequently $A_\alpha\tilde{\mathfrak{B}}_+ \subseteq \tilde{\mathfrak{B}}_+$.

REMARK. The equivalence of Conditions 2 and 3 is an analogue of the C^* -algebraic result that an operator which leaves the identity fixed is positive if, and only if, it has norm one (see, for example, [5] Corollary 3.2.6).

References

- [1] E. M. Alfsen, *Compact convex sets and boundary integrals* (Springer-Verlag, 1971).
- [2] W. Arendt, P. R. Chernoff and T. Kato, 'A generalization of dissipativity and positive semigroups,' *J. Operator Theory* **8** (1982), 167–180.
- [3] L. Asimov and A. J. Ellis, *Convexity theory and its application in functional analysis* (Academic Press, 1980).
- [4] O. Bratteli, T. Digernes and D. W. Robinson, 'Positive semigroups on ordered Banach spaces,' *J. Operator Theory*, to appear.
- [5] O. Bratteli and D. W. Robinson, *Operator algebras and quantum statistical mechanics*, Vol. I (Springer-Verlag, 1979).

- [6] J. Grosberg and M. Krein, 'Sur la décomposition des fonctionnelles en composantes positives,' *C. R. Acad. Sci. URSS* **25** (1939), 723–726.
- [7] R. V. Kadison, 'A representation theory for commutative topological algebras,' *Mem. Amer. Math. Soc.* No. 7 (1951).
- [8] M. Krein, 'Propriétés fondamentales des ensembles coniques normaux dans l'espace de Banach,' *C. R. Acad. Sci. URSS* **28** (1940), 13–17.
- [9] A. L. Peressini, *Ordered topological vector spaces* (Harper and Rowe, 1967).
- [10] H. H. Schaefer, *Banach lattices and positive operators* (Springer-Verlag, 1974).

Department of Mathematics
Institute of Advanced Studies
The Australian National University
P.O. Box 4
Canberra, A.C.T. 2600
Australia