

THE CENTERS OF SEMI-SIMPLE ALGEBRAS OVER A COMMUTATIVE RING

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Recently A. Hattori introduced in [7], [8], [9] the notion of simple algebras over a commutative ring. Especially, in [9], he examined, as a fundamental problem on simple algebras, whether a directly indecomposable semi-simple algebra is simple or not and gave affirmative answers to this in some particular cases. In this note we shall first prove, as a complete answer to this, that any directly indecomposable semi-simple algebra over a Noetherian ring is simple.

Hattori proposed in [8] several problems on semi-simple algebras. Secondly we shall give some informations on the problem ([8], Problem 4) whether a central semi-simple algebra is separable or not. Furthermore we shall show a class of p -trivial simple algebras which is different from that in [11] ([8], Problem 11), and finally we shall give the commutator theory of simple subalgebras in a central separable algebra in the complete form.

Throughout this note we denote by R a commutative ring and by A a not always commutative ring.

A semi-simple R -algebra A is said to be a *simple R -algebra* (cf. [9], [11]), if there exists a left A -module E satisfying the following conditions:

- i) E is a finitely generated projective A -module.
- ii) E is A -indecomposable.
- iii) E is A -completely faithful.

By [5], (6. 1), if A is finitely generated over its center, we can replace iii) by the following

- iii') E is A -faithful.

1. Decomposability of a semi-simple algebra to simple algebras.

We begin with

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LEMMA 1.1. *Let A be an R -algebra which is a finitely generated R -module and P a finitely generated projective left (right) A -module, Then*

- (1) $\mathfrak{T}_A(P)$ is a finitely generated R -module.^(*)
- (2) For any multiplicative system $S (\neq 0)$ of R , we have

$$\mathfrak{T}_{A_s}(P_s) = \{\mathfrak{T}_A(P)\}_s.$$

Proof. As it is easy, we omit it.

PROPOSITION 1.2. *Let A be a ring with center C . Suppose that A is a finitely generated C -module and that, for any maximal ideal \mathfrak{m} of C , $A/\mathfrak{m}A$ is a primary ring. Then the following statements are equivalent:*

- (1) C is directly indecomposable.
- (2) Any finitely generated projective non-zero left (right) A -module is A -completely faithful.
- (3) There exists a finitely generated, projective, completely faithful, indecomposable left (right) A -module.

Proof. The implication (3) \implies (1) is obvious. Suppose that C is directly indecomposable. Let P be a finitely generated projective non-zero left (right) A -module. By (1.1) and [5], (1.2), $\mathfrak{T}_A(P)$ is an idempotent two-sided ideal of A which is a finitely generated C -module. Let \mathfrak{M} be a maximal two-sided ideal of A , and put $\mathfrak{m} = \mathfrak{M} \cap C$. Then \mathfrak{m} is a maximal ideal of C and we have $\mathfrak{M}^l \subseteq \mathfrak{m}A$ for some positive integer l . If $\mathfrak{T}_A(P) \subseteq \mathfrak{M}$, then we have $\mathfrak{T}_A(P) \subseteq \mathfrak{m}A$ (since $\mathfrak{T}_A(P)$ is an idempotent ideal of A). According to (1.1), we have $\mathfrak{T}_{A/\mathfrak{m}}(P/\mathfrak{m}P) = \{\mathfrak{T}_A(P)\}_{\mathfrak{m}} \subseteq \mathfrak{m}A/\mathfrak{m}$. Hence we have $\mathfrak{m}P/\mathfrak{m}P = P/\mathfrak{m}P$ and so we obtain $P/\mathfrak{m}P = 0$. As $\mathfrak{T}_A(P)$ is C -finitely generated, we have $s\mathfrak{T}_A(P) = 0$ for some s in $C - \mathfrak{m}$. Now we put $\mathfrak{c} = \text{Ann}_C \mathfrak{T}_A(P)$. Then, if $\mathfrak{T}_A(P) \subseteq \mathfrak{m}A$, we have $\mathfrak{c} \not\subseteq \mathfrak{m}A$. Hence a two-sided ideal $\mathfrak{c}A + \mathfrak{T}_A(P)$ is not contained in any maximal two-sided ideal of A . Therefore we have $A = \mathfrak{c}A + \mathfrak{T}_A(P)$. As $\mathfrak{c}\mathfrak{T}_A(P) = 0$, A is the direct sum of two-sided ideals $\mathfrak{c}A$ and $\mathfrak{T}_A(P)$. Since C is directly indecomposable and $\mathfrak{T}_A(P) \neq 0$, we have $\mathfrak{T}_A(P) = A$. This proves (1) \implies (2).

Suppose (2), and let e be a non-trivial idempotent of A . Then Ae is A -completely faithful and therefore we have $AeA = A$. Hence e is not contained in any $\mathfrak{m}A$. Therefore the image of e in $A/\mathfrak{m}A$ is also a non-trivial idempotent of $A/\mathfrak{m}A$. Since $A/\mathfrak{m}A$ is an Artinian primary ring, there are a finite number of

(*) $\mathfrak{T}_A(P)$ denotes the trace ideal of P (cf. [1]).

orthogonal primitive idempotents in $A/\mathfrak{m}A$. Then there are also only a finite number of orthogonal primitive idempotents in A . This implies (2) \implies (3).

The implications (1) \iff (3) in the following theorem were proved in [9], Theorem 4 in case C is Noetherian.

THEOREM 1.3. *Let A be a separable R -algebra and C the center of A . Then the following conditions are equivalent:*

- (1) C is directly indecomposable
- (2) Any finitely generated projective non-zero left (right) A -module is A -completely faithful.
- (3) A is a simple algebra.

Proof. It suffices to show that A satisfies the assumptions in (1.2). However, as A is a separable R -algebra, A is a finitely generated C -module by [2], (1.2) and $A/\mathfrak{m}A$ is a central separable $C/\mathfrak{m}C$ -algebra for any maximal ideal \mathfrak{m} of C by [2], (1.4). This completes our proof.

LEMMA 1.4. *Let R be a complete local ring with a maximal ideal \mathfrak{m} and A be an R -algebra which is a finitely generated R -module. Then A is directly indecomposable if and only if $A/\mathfrak{m}A$ is so.*

Proof. We have only to prove the only if part. Let \bar{e} be a central idempotent of $A/\mathfrak{m}A$. As R is complete, there exists an idempotent e of A whose image in $A/\mathfrak{m}A$ coincides with \bar{e} . Now it suffices to show that e is central. Since e is central in $A/\mathfrak{m}A$, we have $\lambda e - e\lambda \in \mathfrak{m}A$ for any λ of A . Then we have $e\lambda e - e\lambda, \lambda e - e\lambda e \in \mathfrak{m}A$. If we put $e\lambda e - e\lambda = \sum_{i=1}^t m_i \lambda_i$, $m_i \in \mathfrak{m}$, $\lambda_i \in A$, then we have $e\lambda e - e\lambda = e(e\lambda e - e\lambda) - (e\lambda e - e\lambda)e = \sum_{i=1}^t m_i (e\lambda_i - \lambda_i e) \in \mathfrak{m}^2 A$. By repeating the same procedure, we see $e\lambda e - e\lambda \in \mathfrak{m}^l A$, for any $l > 0$. As $\bigcap_{l=1}^{\infty} \mathfrak{m}^l A = 0$, we obtain $e\lambda e = e\lambda$. Similarly we can show $e\lambda e = \lambda e$. So $\lambda e = e\lambda$ for any λ of A , which completes our proof.

LEMMA 1.5. *Let A be a central R -algebra which is a finitely generated R -module, and S be a commutative R -algebra which is a flat R -module. Then $S \otimes_R A$ is a central S -algebra.*

Proof. See [6], Chap. V, 6, Lemma 3.

Now we give, as our main result, the following

THEOREM 1. 6. *Let R be a Noetherian ring and A a semi-simple R -algebra which is a finitely generated R -module. Let C be the center of A .*

Then the following conditions are equivalent:

- (1) *C is directly indecomposable.*
- (2) *Any finitely generated projective non-zero left (right) A -module is A -completely faithful.*
- (3) *A is a simple algebra.*

So, a semi-simple algebra over a Noetherian ring R , which is a finitely generated R -module, is expressible as the direct sum of a finite number of simple R -algebras.

Proof. It suffices to show that A satisfies the assumptions in (1. 2). As A is a central semi-simple C -algebra, $A_{\mathfrak{m}}$ is also a central semi-simple $C_{\mathfrak{m}}$ -algebra for any maximal ideal \mathfrak{m} of C by (1. 5). Let $\hat{C}_{\mathfrak{m}}$ be the completion of $C_{\mathfrak{m}}$ and put $\hat{A}_{\mathfrak{m}} = \hat{C}_{\mathfrak{m}} \otimes_{C_{\mathfrak{m}}} A$. Then, again by (1.5), $\hat{A}_{\mathfrak{m}}$ has no non-trivial central idempotent, and then, by (1. 4), $\hat{A}_{\mathfrak{m}}/\mathfrak{m}\hat{A}_{\mathfrak{m}}$ also has no non-trivial central idempotent.

Since $A/\mathfrak{m}A$ is semi-simple and we have $A/\mathfrak{m}A \cong \hat{A}_{\mathfrak{m}}/\hat{A}_{\mathfrak{m}} \cong A_{\mathfrak{m}}/\mathfrak{m}A_{\mathfrak{m}}$, $A/\mathfrak{m}A$ must be simple. This completes our proof.

2. Separability of a central semi-simple algebra.

We first give

PROPOSITION 2. 1. *Let R be an Artinian ring and A be a central semi-simple algebra over R which is a finitely generated R -module. Then the following conditions are equivalent:*

- (1) *A is a separable algebra.*
- (2) *A is a projective R -module.*
- (3) *A is a completely faithful R -module.*

Proof. The implications (1) \implies (2) \implies (3) of our theorem follow from [2], (1. 2) and [1], (A. 3). Hence we have only to prove (3) \implies (1). Without loss of generality we may assume, according to (1. 6), that R is a local ring with a maximal ideal \mathfrak{m} and that A is a central simple R -algebra with a unique maximal two-sided ideal $\mathfrak{m}A$. Suppose that A is a completely faithful R -module. Then we have $A = R \oplus M$ for an R -module M . Let $\bar{\alpha}$ be an element of the center of $A/\mathfrak{m}A$ and α a representative of $\bar{\alpha}$ in A . Then we have $\alpha\lambda - \lambda\alpha \in \mathfrak{m}A$ for any λ of A . Let l be a non-negative integer such that $\mathfrak{m}^l \neq 0$

but $m^{l+1}=0$.

Then we have $m^l(\alpha\lambda-\lambda\alpha)=0$ for any λ of A . As R is the center of A , we have $m^l\alpha \subseteq R$. Now put $\alpha=r+u$, $r \in R$, $u \in M$. Then, as $A=R \oplus M$ as R -modules, we have $m^lu=0$. Since mA is a unique maximal two-sided ideal of A , we have $u=\alpha-r \in mA$, and so we have $\bar{\alpha}=\bar{r} \in R/m \subseteq A/mA$. This proves that A/mA is a central simple R/m -algebra. By virtue of the classical result, A/mA is a separable R/m -algebra. According to [2], (4.7), A is also a separable R -algebra. This proves the implication (3) \implies (1).

COROLLARY 2.2. *Let R be a (quasi-) Frobenius ring and A a central semi-simple R -algebra which is a finitely generated R -module. Then A is a separable R -algebra and A is also a Frobenius ring.*

Proof. As R is Frobenius, A is R -completely faithful, as is well known. By (2.1) A is a separable R -algebra, and is a projective R -module. Then, according to [5], (3.6), A is a Frobenius R -algebra, and so A is a Frobenius ring.

This corollary is an affirmative answer, in case R is Frobenius, to the following

PROBLEM H. *Is any central semi-simple R -algebra (which is a finitely generated R -module) a separable R -algebra?*

THEOREM 2.3. *If the answer to Problem H is affirmative for any Artinian ring R , then it is also affirmative for any Noetherian ring R .*

Proof. Let R be a Noetherian ring and A a central semi-simple R -algebra. Now it suffices, by [2], (4.7), to show that, for any maximal ideal m of R , A/mA is a central simple R/m -algebra. Hence, by (1.6) we may suppose that R is a complete local ring with a maximal ideal m and that A is a central simple R -algebra with a unique maximal two-sided ideal mA . Therefore we have only to prove that A/mA is a central R/m -algebra.

Let \bar{C}_l be the center of A/m^lA for any positive integer l . Since A/m^lA is a simple R/m^l -algebra, A/m^lA is a central simple \bar{C}_l -algebra. As R/m^l is Artinian, \bar{C}_l is also an Artinian local ring. If the answer to Problem H is affirmative for Artinian rings, then A/m^lA is a central separable \bar{C}_l -algebra. Then $m\bar{C}_l$ is a maximal ideal of \bar{C}_l and we have $m^l\bar{C}_{l+1}=m^l(A/m^{l+1}A) \cap \bar{C}_{l+1}$. By [2], (1.4), we have $\bar{C}_l=\bar{C}_{l+1}/m^l\bar{C}_{l+1}$. If we put $C=\varprojlim \bar{C}_l$, we have $C \subseteq A$ as R is complete. Let α be an element of C . Then, for any positive integer l we have $\alpha\lambda-\lambda\alpha \in$

$m^l C$ for any λ of A , and so we have $\alpha\lambda - \lambda\alpha = 0$ as $\bigcap_{l=1}^{\infty} m^l A = 0$. Hence we have $\alpha \in R$, which proves $C \subseteq R$. Since for any $l, C \rightarrow \bar{C}_l$ is an epimorphism induced by $A \rightarrow A/m^l A$, we have $\bar{C}_l = C/m^l A \cap C$, and, especially we have $\bar{C}_1 = C/mA \cap C \subseteq R/mA \cap R = R/m$. Thus we see $\bar{C}_1 = R/m$. This shows that A/mA has R/m as its center, which completes our proof.

3. p -trivial simple algebras.

We see that a simple algebra A over a Noetherian ring R is p -trivial ([4]) if and only if there is only one division algebra to which A belongs. In [11] it was shown that any simple algebra over a complete local ring is p -trivial (In [11], p -trivial algebras are called ‘strongly simple’ algebras). However, this can not be generalized to simple algebras over a non-complete local ring without further assumptions. In this section we shall give another class of p -trivial simple algebras.

PROPOSITION 3. 1. Let R be a Noetherian integrally closed integral domain and A a simple R -algebra which is a finitely generated projective R -module. Then the center of A is also a Noetherian integrally closed integral domain.

Proof. Let C be the center of A . Then C is an indecomposable Noetherian ring. Let K be the quotient field of R and put $\Sigma = K \otimes_R A$. Then Σ is a semi-simple K -algebra. Let e be a central idempotent of Σ . As A is a simple R -algebra, $A_{\mathfrak{p}}$ is a semi-simple $R_{\mathfrak{p}}$ -algebra for any prime ideal \mathfrak{p} of height 1 in R . Since $R_{\mathfrak{p}}$ is a discrete valuation ring, $A_{\mathfrak{p}}$ is a maximal $R_{\mathfrak{p}}$ -order in Σ and $C_{\mathfrak{p}}$ is a hereditary ring (cf. [7], [9]). Therefore we have $e \in C_{\mathfrak{p}}$. As A is a finitely generated projective R -module, we have $A = \bigcap_{ht \mathfrak{p}=1} A_{\mathfrak{p}}$, and, from this we obtain $C = \bigcap_{ht \mathfrak{p}=1} C_{\mathfrak{p}}$. Hence we must have $e \in C$. Since C is directly indecomposable, this shows that Σ is a simple K -algebra. Consequently C must be an integral domain. Since each $C_{\mathfrak{p}}$ is hereditary, C is also integrally closed.

LEMMA 3. 2. Let R be a Noetherian local integral domain and K the quotient field of R . Let A be a simple R -algebra which is a finitely generated projective R -module such that $K \otimes_R A$ is a division K -algebra. Then any finitely generated projective A -module is free.

Proof. This follows immediately from [3], Theorem 2, since A/mA is a semi-simple R/m -algebra for a maximal ideal m of R .

THEOREM 3.3. *Let R be a semi-local regular domain with Krull dimension ≤ 2 . Then any simple R -algebra A , which is a finitely generated projective R -module, is p -trivial.*

Proof. Let K be the quotient field of R . Then, by (3.1), $K \otimes_R A$ is a simple K -algebra. Let e be a primitive idempotent of $K \otimes A$ and put $\mathfrak{I} = (K \otimes_R A)e \cap A$. Then A/\mathfrak{I} is a torsion-free R -module and we have $K \otimes_R \mathfrak{I} = (K \otimes_R A)e$. Since $\text{gl. dim } A \leq \text{gl. dim } R \leq 2$, we have $\text{dh}_A A/\mathfrak{I} \leq 1$, and so \mathfrak{I} is projective. \mathfrak{I} is obviously A -faithful, so it is also A -completely faithful. Now put $\Omega = \text{End}_A(\mathfrak{I})$. Then $K \otimes_R \Omega$ is a division K -algebra and Ω is a simple (division) R -algebra which is Morita-equivalent to A . According to (3.2), any finitely generated projective $\Omega_{\mathfrak{m}}$ -module is free for any maximal ideal \mathfrak{m} of R . As R is semi-local, any finitely generated projective Ω -module is free (cf. [3], Theorem 1), and therefore Ω is p -trivial. Since Ω is Morita equivalent to A , A is also p -trivial ([4], [11]).

Remark. There exists a simple algebra over a non-semi-local principal ideal domain which is not p -trivial. Accordingly, Theorem 3.3 can not be generalized to a non-semi-local principal ideal domain.

4. Tensor products and Commutator theory.

This section is concerned with the commutator theory of a central separable algebra and its simple subalgebras.

First we give

PROPOSITION 4.1. *Let Γ be an R -algebra which is a finitely generated projective R -module and A be a semi-simple R -subalgebra of Γ . Then A is a A -direct summand of Γ .*

Proof. By the semi-simplicity of A and R -projectivity of Γ , Γ is a A -projective and (clearly) A -faithful A -module, so a completely faithful A -module by [5], (6.1). Then A is a A -direct summand of Γ .

A semi-simple subalgebras of a central separable algebra splits by the above proposition. So, the coherent condition of the Hattori's commutator theory ([7]) is always satisfied. Then we can give, as a supplement to a Hattori's theorem, the following

THEOREM 4.2 ([7]). *Let Γ be a central separable algebra over a commutative ring R , and A be a semi-simple R -subalgebra. Then:*

- (1) $V_{\Gamma}(A)$ is semi-simple.^(*)
- (2) $V_{\Gamma}(V_{\Gamma}(A))=A$.
- (3) $V_{\Gamma}(A)$ is Morita-equivalent to $\Gamma \otimes_R A^0$ where A^0 denotes the opposite algebra of A .

LEMMA 4. 3. *Let Γ be a central R -algebra having R as an R -direct summand, and A be an R -projective algebra. Then the center of $A \otimes_R \Gamma$ coincides with the center of A .*

Proof. At first, we assume that R is a local ring. Since A is free, any element of $A \otimes_R \Gamma$ is uniquely expressed by the form $\sum u_i \otimes \gamma_i$, where $\{u_i\}$ is a basis of A and $\gamma_i \in \Gamma$. If $z = \sum u_i \otimes \gamma_i$ lies in the center of $A \otimes_R \Gamma$, z commutes with all $1 \otimes \gamma$, so $\sum u_i \otimes (\gamma_i \gamma - \gamma \gamma_i) = 0$. Then we get $\gamma_i \in$ the center of $\Gamma = R$ (i.e. $z = \sum \gamma_i u_i \otimes 1$). Therefore we get $\sum ((\gamma_i u_i) \lambda - \lambda (\gamma_i u_i)) \otimes 1 = 0$ for any $\lambda \in A$, so $\sum \gamma_i u_i \lambda = \lambda \sum \gamma_i u_i$ by the assumption of Lemma. Hence z is in the center of A . The converse inclusion is trivial.

In the case that R is global, for any maximal ideal \mathfrak{m} of R , $A_{\mathfrak{m}}$ is $R_{\mathfrak{m}}$ -projective. $\Gamma_{\mathfrak{m}}$ is a central $R_{\mathfrak{m}}$ -algebra and $R_{\mathfrak{m}}$ is an $R_{\mathfrak{m}}$ -direct summand of $\Gamma_{\mathfrak{m}}$.

Let z be in the center of $A \otimes_R \Gamma$. Then Z is in the center of $A_{\mathfrak{m}}$. So there exists $s \in \mathfrak{m}$ (depending on λ, \mathfrak{m}) such that sz is contained in the center of A . Put $\mathfrak{c} = \{r \in R \mid rz \text{ is contained in the center of } A\}$. Then \mathfrak{c} is an ideal of R which is not contained in any maximal ideal. So $1 \in \mathfrak{c}$. Hence z is in the center of A .

PROPOSITION 4. 4. *Let R be a commutative Noetherian ring. If Γ is a central separable R -algebra and A is a simple R -algebra which is a finitely generated R -projective module, then $A \otimes_R \Gamma$ is a simple R -algebra.*

Proof. $A \otimes_R \Gamma$ is semi-simple by [7], (2. 4). Since R is Noetherian, by virtue of (1. 6), we have only to show the indecomposability of the center of $A \otimes_R \Gamma$. But it is an immediate consequence of (4.3).

Let A be a simple subalgebra of a central separable algebra over a commutative Noetherian ring R . Since A is a A -direct summand of Γ , $V_{\Gamma}(A)$ is Morita-equivalent to $A^0 \otimes_R \Gamma$. An algebra which is Morita-equivalent to a simple algebra is also simple ([11]), so we get the following

THEOREM 4. 5. *Let Γ be a central separable algebra over a commutative Noetherian ring R , and A be a simple subalgebra of Γ .*

(*) $V_{\Gamma}(A) = \{\gamma \in \Gamma \mid \lambda \gamma = \gamma \lambda, \text{ for any } \lambda \in A\}$.

Then,

- (1) $V_R(A)$ is a simple algebra.
- (2) $V_R(V_R(A)) = A$.
- (3) $A^0 \otimes_R \Gamma$ is simple and is Morita-equivalent to $V_R(A)$.

Remark. In Theorem 4.5, the simplicity of $V_R(A)$ can be proved by a more simple argument. That is: the center of $V_R(A)$ contains the center of A , and the center of $V_R(V_R(A)) (= A)$ contains the center of $V_R(A)$, then the center of $V_R(A)$ coincides with the center of A . Hence $V_R(A)$ is simple whenever A is so.

By virtue of this remark, Proposition 4.4 in the case that A is a simple subalgebra of Γ is proved without help of Lemma 4.3.

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Added in proof. Lemma 1.4 holds for a Henselian local ring R . So we can prove the first part of Theorem 1.6 without the assumption that R is Noetherian, by using the Henselization instead of the completion, Then Theorem 1.3 is a special case of Theorem 1.6. Also we can omit this assumption from Proposition 4.4 and Theorem 4.5. (For Henselian rings see M. Nagata, ‘Local rings’, Interscience, 1962).

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