

## DISTALITY OF CERTAIN ACTIONS ON $p$ -ADIC SPHERES

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### Abstract

Consider the action of  $GL(n, \mathbb{Q}_p)$  on the  $p$ -adic unit sphere  $S_n$  arising from the linear action on  $\mathbb{Q}_p^n \setminus \{0\}$ . We show that for the action of a semigroup  $\mathfrak{S}$  of  $GL(n, \mathbb{Q}_p)$  on  $S_n$ , the following are equivalent: (1)  $\mathfrak{S}$  acts distally on  $S_n$ ; (2) the closure of the image of  $\mathfrak{S}$  in  $PGL(n, \mathbb{Q}_p)$  is a compact group. On  $S_n$ , we consider the ‘affine’ maps  $\bar{T}_a$  corresponding to  $T$  in  $GL(n, \mathbb{Q}_p)$  and a nonzero  $a$  in  $\mathbb{Q}_p^n$  satisfying  $\|T^{-1}(a)\|_p < 1$ . We show that there exists a compact open subgroup  $V$ , which depends on  $T$ , such that  $\bar{T}_a$  is distal for every nonzero  $a \in V$  if and only if  $T$  acts distally on  $S_n$ . The dynamics of ‘affine’ maps on  $p$ -adic unit spheres is quite different from that on the real unit spheres.

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### 1. Introduction

Let  $X$  be a (Hausdorff) topological space. Let  $\mathfrak{S}$  be a semigroup of homeomorphisms of  $X$ . The action of  $\mathfrak{S}$  is said to be *distal* if, for any pair of distinct elements  $x, y \in X$ , the closure of  $\{(T(x), T(y)) \mid T \in \mathfrak{S}\}$  does not intersect the diagonal  $\{(d, d) \mid d \in X\}$ ; (equivalently, we say that the  $\mathfrak{S}$  acts *distally* on  $X$ ). Let  $T : X \rightarrow X$  be a homeomorphism. The map  $T$  is said to be *distal* if the group  $\{T^n\}_{n \in \mathbb{Z}}$  acts distally on  $X$ . If  $X$  is compact, then  $T$  is distal if and only if the semigroup  $\{T^n\}_{n \in \mathbb{N}}$  acts distally (cf. Berglund *et al.* [1]).

The notion of distality was introduced by Hilbert (cf. Moore [8]) and studied by many in different contexts (see Ellis [3], Furstenberg [4], Raja and Shah [10, 11] and Shah [12], and references cited therein). Note that a homeomorphism  $T$  of a topological space is distal if and only if  $T^n$  is so, for every  $n \in \mathbb{Z}$ .

For the  $p$ -adic field  $\mathbb{Q}_p$  for a prime  $p$ , let  $|\cdot|_p$  denote the  $p$ -adic absolute value on  $\mathbb{Q}_p$  and for  $x = (x_1, \dots, x_n) \in \mathbb{Q}_p^n$ ,  $n \in \mathbb{N}$ , let  $\|x\|_p = \max_{1 \leq i \leq n} |x_i|_p$ . This defines a  $p$ -adic

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vector space norm on  $\mathbb{Q}_p^n$ . Let  $\mathcal{S}_n = \{x \in \mathbb{Q}_p^n \mid \|x\|_p = 1\}$  be the  $p$ -adic unit sphere (in  $\mathbb{Q}_p^n$ ). We refer the reader to Koblitz [7] for basic facts on  $p$ -adic vector spaces. We first define a canonical group action of  $\mathrm{GL}(n, \mathbb{Q}_p)$  on  $\mathcal{S}_n$  as follows. For  $T \in \mathrm{GL}(n, \mathbb{Q}_p)$ , let  $\bar{T} : \mathcal{S}_n \rightarrow \mathcal{S}_n$  be defined as  $\bar{T}(x) = \|T(x)\|_p T(x)$ ,  $x \in \mathcal{S}_n$ . This is a continuous group action. We show that a semigroup  $\mathfrak{S}$  of  $\mathrm{GL}(n, \mathbb{Q}_p)$  acts distally on  $\mathcal{S}_n$  if and only if the closure of the image of  $\mathfrak{S}$  in  $\mathrm{PGL}(n, \mathbb{Q}_p) = \mathrm{GL}(n, \mathbb{Q}_p)/\mathcal{D}$  is a compact group, where  $\mathcal{D}$  is the centre of  $\mathrm{GL}(n, \mathbb{Q}_p)$  (see Theorem 2.4). This is a  $p$ -adic analogue of Shah and Yadav [13, Theorem 1] for the action of a semigroup in  $\mathrm{GL}(n+1, \mathbb{R})$  on the real unit sphere  $\mathbb{S}^n$ . In particular, we show for  $T \in \mathrm{SL}(n, \mathbb{Q}_p)$  that  $T$  is distal if and only if  $\bar{T}$  is distal (more generally, see Proposition 2.3, Corollary 2.5 and the subsequent remark). For  $T \in \mathrm{GL}(n, \mathbb{Q}_p)$  and  $a \in \mathbb{Q}_p^n \setminus \{0\}$ , if  $\|T^{-1}(a)\|_p < 1$ , then the corresponding ‘affine’ map on  $\mathcal{S}_n$ ,  $\bar{T}_a(x) = \|a + T(x)\|_p(a + T(x))$ ,  $x \in \mathcal{S}_n$ , is a homeomorphism. If  $T$  or, more generally,  $\bar{T}$  is distal, then there exists a neighbourhood  $V$  of 0 in  $\mathbb{Q}_p^n$  such that, for every nonzero  $a$  in  $V$ ,  $\bar{T}_a$  is distal. If  $\bar{T}$  is not distal, then every neighbourhood of 0 contains a nonzero  $a$  such that  $\bar{T}_a$  is not distal; see Theorem 3.2 and Corollary 3.3. For such ‘affine’ actions on the real unit sphere  $\mathbb{S}^n$ , there are many examples where  $T \in \mathrm{GL}(n+1, \mathbb{R})$  is such that  $T$  and/or  $\bar{T}$  are distal but  $\bar{T}_a$  is not distal; see [13, Theorem 7, Corollaries 10 and 12]. This illustrates that the dynamics of such ‘affine’ actions on  $\mathcal{S}_n$  is different from that on  $\mathbb{S}^n \subset \mathbb{R}^{n+1}$ .

For an invertible linear map  $T$  on a  $p$ -adic vector space  $V \approx \mathbb{Q}_p^n$ , let  $C(T) = \{v \in V \mid T^m(v) \rightarrow 0 \text{ as } m \rightarrow \infty\}$  and  $M(T) = \{v \in V \mid \{T^m(v)\}_{m \in \mathbb{Z}} \text{ is relatively compact}\}$ . These are closed subspaces of  $V$ ,  $C(T)$  is known as the contraction space of  $T$ , and  $V = C(T) \oplus M(T) \oplus C(T^{-1})$ . It is easy to see that  $T$  is distal (on  $V$ ) if and only if  $C(T)$  and  $C(T^{-1})$  are trivial. We refer the reader to Wang [14] for more details on the structure of  $p$ -adic contraction spaces. We will use the notion of contraction spaces below.

## 2. Distality of the semigroup actions on $\mathcal{S}_n$

Let  $\mathbb{Q}_p^n$  be an  $n$ -dimensional  $p$ -adic vector space equipped with the  $p$ -adic norm defined as above. For  $T \in \mathrm{GL}(n, \mathbb{Q}_p)$ , let  $\|T\|_p = \sup\{\|T(x)\|_p \mid x \in \mathbb{Q}_p^n, \|x\|_p = 1\}$ . Observe that the norm of an element or a matrix, defined this way, is of the form  $p^m$  for some  $m \in \mathbb{Z}$ . The map  $\mathrm{GL}(n, \mathbb{Q}_p) \times \mathbb{Q}_p^n \rightarrow \mathbb{Q}_p^n$  given by  $(T, x) \mapsto T(x)$ ,  $T \in \mathrm{GL}(n, \mathbb{Q}_p)$ ,  $x \in \mathbb{Q}_p^n$ , is continuous. We call  $T \in \mathrm{GL}(n, \mathbb{Q}_p)$  an *isometry* if it preserves the norm, that is, if  $T$  keeps the  $p$ -adic unit sphere  $\mathcal{S}_n$  invariant. Note that  $T$  is an isometry if and only if  $\|T\|_p = 1 = \|T^{-1}\|_p$ . For  $x, y \in \mathbb{Q}_p^n$ ,  $\|x + y\|_p \leq \max\{\|x\|_p, \|y\|_p\}$ ; the equality holds if  $\|x\|_p \neq \|y\|_p$ . We will use this fact extensively. We first consider the group action of  $\mathrm{GL}(n, \mathbb{Q}_p)$  on  $\mathcal{S}_n$  defined in the introduction. For semigroups of  $\mathrm{GL}(n, \mathbb{Q}_p)$ , we prove a result analogous to [13, Theorem 1] (see Theorem 2.4).

Recall that  $T \in \mathrm{GL}(n, \mathbb{Q}_p)$  is said to be distal if  $\{T^m\}_{m \in \mathbb{Z}}$  acts distally on  $\mathbb{Q}_p^n$ .

The following useful lemma may be known. We will give a short proof for the sake of completeness.

**LEMMA 2.1.** *Let  $T \in \mathrm{GL}(n, \mathbb{Q}_p)$ . The following statements are equivalent.*

- (1)  $T$  is distal.
- (2) The closure of the group generated by  $T$  in  $\mathrm{GL}(n, \mathbb{Q}_p)$  is compact.
- (3)  $T^m$  is an isometry for some  $m \in \mathbb{N}$ .

**PROOF.** (3)  $\Rightarrow$  (2) is obvious and (2)  $\Rightarrow$  (1) follows as compact groups act distally. Now suppose  $T$  is distal, that is,  $\{T^m\}_{m \in \mathbb{Z}}$  acts distally on  $\mathbb{Q}_p^n$ . Then the contraction spaces  $C(T)$  and  $C(T^{-1})$  are trivial. By [14, Lemma 3.4], we get that

$$\mathbb{Q}_p^n = M(T) = \{x \in \mathbb{Q}_p^n \mid \{T^m(x)\}_{m \in \mathbb{Z}} \text{ is relatively compact}\}.$$

By [14, Proposition 1.3],  $\bigcup_{m \in \mathbb{Z}} T^m(\mathcal{S}_n)$  is relatively compact, that is,  $\{\|T^m\|_p\}_{m \in \mathbb{Z}}$  is bounded and hence  $\{T^m \mid m \in \mathbb{Z}\}$  is relatively compact in  $\mathrm{GL}(n, \mathbb{Q}_p)$ . This proves (1)  $\Rightarrow$  (2). Now suppose  $T$  is contained in a compact group. Then  $T^{\pm m_k} \rightarrow \mathrm{Id}$ , for some  $\{m_k\} \subset \mathbb{N}$  (cf. [6]). Therefore,  $\|T^{\pm m_k}\|_p \rightarrow 1$ , and as  $\{\|T^m\|_p \mid m \in \mathbb{Z}\} \subset \{p^l \mid l \in \mathbb{Z}\}$  we get that, for all large  $k$ ,  $\|T^{\pm m_k}\|_p = 1$  and  $T^{m_k}$  is an isometry. Therefore, (2)  $\Rightarrow$  (3).  $\square$

We say that a topological group  $G$  acts continuously on a topological space  $X$  by homeomorphisms if there is a homomorphism  $\psi : G \rightarrow \mathrm{Homeo}(X)$  such that the corresponding map  $G \times X \rightarrow X$  given by  $(g, x) \mapsto \psi(g)(x)$ ,  $g \in G$ ,  $x \in X$ , is continuous. We say that a semigroup  $H$  of  $G$  (respectively,  $T \in G$ ) acts distally on  $X$  if  $\psi(H)$  acts distally on  $X$  (respectively,  $\psi(T)$  is distal). We state a useful lemma which is well known and can be proven easily.

**LEMMA 2.2.** *Let  $X$  be a Hausdorff topological space and let  $G$  be a Hausdorff topological group which acts continuously on  $X$  by homeomorphisms. Let  $H$  be a semigroup and  $K$  be a compact subgroup of  $G$  such that all the elements of  $H$  normalize  $K$ . Then the semigroup  $HK$  acts distally on  $X$  if and only if  $H$  acts distally on  $X$ . In particular, if  $T, S \in G$  are such that  $TS = ST$  and  $S$  generates a relatively compact group in  $G$ , then  $T$  acts distally on  $X$  if and only if  $TS$  acts distally on  $X$ .*

We now recall the natural action of  $\mathrm{GL}(n, \mathbb{Q}_p)$  on  $\mathcal{S}_n$  defined earlier: for  $T \in \mathrm{GL}(n, \mathbb{Q}_p)$  and  $x \in \mathcal{S}_n$ ,  $\bar{T}(x) = \|T(x)\|_p T(x)$ . Here,  $\bar{T}$  defines a homeomorphism of  $\mathcal{S}_n$  and it is trivial if and only if  $T = p^n \mathrm{Id}$  for some  $n \in \mathbb{Z}$ . The map  $\mathrm{GL}(n, \mathbb{Q}_p) \rightarrow \mathrm{Homeo}(\mathcal{S}_n)$ , given by  $T \mapsto \bar{T}$  as above, is a homomorphism which factors through the discrete central subgroup  $\{p^n \mathrm{Id} \mid n \in \mathbb{Z}\}$  of  $\mathrm{GL}(n, \mathbb{Q}_p)$ . The corresponding map  $\mathrm{GL}(n, \mathbb{Q}_p) \times \mathcal{S}_n \rightarrow \mathcal{S}_n$ , given by  $(T, x) \mapsto \bar{T}(x)$ , is continuous. Therefore,  $\mathrm{GL}(n, \mathbb{Q}_p)$  acts continuously on  $\mathcal{S}_n$  by homeomorphisms as above. Observe that  $\mathcal{S}_1 = \mathbb{Z}_p^* = \{x \in \mathbb{Q}_p \mid |x|_p = 1\}$  and  $\mathrm{GL}(1, \mathbb{Q}_p) = \mathbb{Q}_p \setminus \{0\}$  acts distally on  $\mathcal{S}_1$  as  $\bar{T} = \|T\|_p T \in \mathcal{S}_1$  for every  $T \in \mathrm{GL}(1, \mathbb{Q}_p)$ . The following will be useful in proving the main result of this section.

**PROPOSITION 2.3.** *Let  $T \in \mathrm{GL}(n, \mathbb{Q}_p)$ . If  $bT$  is distal for some  $b \in \mathbb{Q}_p$ , then  $\bar{T}$  is distal. Conversely, if  $\bar{T}$  is distal, then, for some  $m \in \mathbb{N}$  and  $l \in \mathbb{Z}$ ,  $p^l T^m$  is distal. If  $|\det T|_p = 1$  and  $\bar{T}$  is distal, then  $T$  is distal.*

**PROOF.** Observe that  $bT$  is distal if and only if  $|b|_p^{-1}T$  is so. As  $\bar{T} = \overline{p^m T}$  for any  $m \in \mathbb{Z}$ , we may replace  $T$  by  $|b|_p^{-1}T$  and assume that  $T$  is distal. By Lemma 2.1,  $T$  generates a relatively compact group, and hence  $\bar{T}$  is distal. Conversely, suppose  $\bar{T}$  is distal. By [14, 3.3], there exists  $m \in \mathbb{N}$  such that  $T^m = AUC$ , where  $C$  is a diagonal matrix,  $U$  is unipotent,  $A$  is semisimple,  $A$ ,  $U$  and  $C$  commute with each other and  $A$  as well as  $U$  generate a relatively compact group. Now by Lemma 2.2, we have that  $\bar{C}$  is distal. Here,  $C = DD' = D'D$  for some diagonal matrices  $D$  and  $D'$  such that the diagonal entries of  $D$  (respectively,  $D'$ ) are of the form  $p^{l_k}$ ,  $l_k \in \mathbb{Z}$ ,  $k = 1, \dots, n$  (respectively, in  $\mathbb{Z}_p^*$ ). Since  $D'$  also generates a relatively compact group and it commutes with  $D$ , by Lemma 2.2,  $\bar{D}$  is distal. It is enough to show that  $D = p^l \text{Id}$ , as in this case,  $D$  would be central in  $\text{GL}(n, \mathbb{Q}_p)$  and this would imply that  $AU$  and  $D'$  commute, and hence,  $p^{-l}T^m = AUD'$  would generate a relatively compact group which in turn would imply that it is distal. If possible, suppose  $p^l$  and  $p^{l_1}$  are two entries in  $D$  such that  $l < l_1$ . As  $\bar{D} = \overline{p^{-l}D}$ , we get for  $D_1 = p^{-l}D$  that  $\bar{D}_1 = \bar{D}$  is distal, 1 is an eigenvalue of  $D_1$  and  $D_1$  has another eigenvalue  $p^{l_1-l}$  which has  $p$ -adic absolute value less than 1. Then the contraction space of  $D_1$ ,  $C(D_1) \neq \{0\}$ , as we can take a nonzero  $y \in \mathbb{Q}_p^n$  satisfying  $D_1(y) = p^k y$  for  $k = l_1 - l \in \mathbb{N}$ ; and it follows that  $y \in C(D_1)$ . Let  $x \in S_n$  be such that  $D_1(x) = x$  and let  $y$  be as above such that  $0 < \|y\|_p < 1$ . Then  $\bar{D}_1(x) = x$  and  $x + y \in S_n$ . Now  $D_1^i(x + y) = (x + p^{ki}y) \rightarrow x \in S_n$  as  $i \rightarrow \infty$ . Therefore,  $\bar{D}_1^i(x + y) \rightarrow x$  and it leads to a contradiction as  $\bar{D}_1$  is distal. Therefore,  $D = p^l \text{Id}$  and  $p^{-l}T^m$  is distal.

Suppose  $|\det T|_p = 1$ . Then  $|\det(T^m)|_p = |\det T|_p^m = 1$ . As  $\bar{T}$  is distal,  $T^m = p^l S$  for some  $l \in \mathbb{Z}$ , where  $S$  generates a relatively compact group. Therefore,  $|\det S|_p = 1$ , and hence  $l = 0$  and  $T^m = S$ . This implies that  $T$  also generates a relatively compact group, and by Lemma 2.1, it is distal.  $\square$

From now on,  $\mathcal{D} = \{b \text{Id} \mid b \in \mathbb{Q}_p\}$ , the centre of  $\text{GL}(n, \mathbb{Q}_p)$ . The following theorem characterizes distal actions of semigroups on  $S_n$ . Recall that  $\text{PGL}(n, \mathbb{Q}_p) = \text{GL}(n, \mathbb{Q}_p)/\mathcal{D}$ .

**THEOREM 2.4.** *Let  $\mathfrak{S} \subset \text{GL}(n, \mathbb{Q}_p)$  be a semigroup. Then the following are equivalent.*

- (i)  $\mathfrak{S}$  acts distally on  $S_n$ .
- (ii) The group generated by  $\mathfrak{S}$  acts distally on  $S_n$ .
- (iii) The closure of  $(\mathfrak{S}\mathcal{D})/\mathcal{D}$  in  $\text{PGL}(n, \mathbb{Q}_p)$  is a compact group.

**PROOF.** Suppose (i) holds. First suppose  $\mathfrak{S} \subset \text{SL}(n, \mathbb{Q}_p)$ . As the closure  $\bar{\mathfrak{S}}$  of  $\mathfrak{S}$  is a semigroup in  $\text{SL}(n, \mathbb{Q}_p)$  and it also acts distally on  $S_n$ , we may assume that  $\mathfrak{S}$  is closed. By Proposition 2.3 and Lemma 2.1, each element in  $\mathfrak{S}$  generates a relatively compact group (in  $\mathfrak{S}$ ). In particular, each element of  $\mathfrak{S}$  has an inverse in  $\mathfrak{S}$  and  $\mathfrak{S}$  is a group. Now by [5, Lemma 3.3],  $\mathfrak{S}$  is contained in a compact extension of a unipotent subgroup in  $\text{GL}(n, \mathbb{Q}_p)$  which is normalized by  $\mathfrak{S}$ . Now suppose  $\mathfrak{S} \not\subset \text{SL}(n, \mathbb{Q}_p)$ . We will first show that  $\mathfrak{S}$  is contained in a compact extension of a nilpotent group in  $\text{GL}(n, \mathbb{Q}_p)$  and the latter is isomorphic to a direct product of  $\mathcal{D}$  and a unipotent subgroup normalized by elements of  $\mathfrak{S}$ .

Let  $C = \{p^n \text{Id} \mid n \in \mathbb{Z}\}$  and let  $\mathcal{Z} = \{z \text{Id} \mid z \in \mathbb{Z}_p^*\}$ . Then  $C$  and  $\mathcal{Z}$  are closed subgroups of  $\mathcal{D}$ ,  $C$  is discrete,  $\mathcal{Z}$  is compact and  $\mathcal{D} = C \times \mathcal{Z}$ . As the actions of both  $\mathfrak{S}$  and  $\mathfrak{S}C$  on  $S_n$  are the same and the latter is also a semigroup, without loss of any generality, we may replace  $\mathfrak{S}$  by  $\mathfrak{S}C$  and assume that  $C \subset \mathfrak{S}$ . As noted earlier, we may also assume that  $\mathfrak{S}$  is closed. Moreover, as  $\mathcal{Z}$  is compact and central,  $\mathfrak{S}\mathcal{Z}$  is a closed semigroup and by Lemma 2.2,  $\mathfrak{S}\mathcal{Z}$  acts distally on  $S_n$ . Therefore, we may replace  $\mathfrak{S}$  by  $\mathfrak{S}\mathcal{Z}$  and assume that  $\mathcal{Z} \subset \mathfrak{S}$ . Now we have  $\mathcal{D} \subset \mathfrak{S}$  and  $\mathfrak{S}\mathcal{D} = \mathfrak{S}$ . Let  $T \in \mathfrak{S}$ . By Proposition 2.3,  $T^m = p^l S$  for some  $l \in \mathbb{Z}$  and  $S \in \text{GL}(n, \mathbb{Q}_p)$  such that  $S$  generates a relatively compact group. Moreover, as  $\mathcal{D} \subset \mathfrak{S}$ , we have that  $S \in \mathfrak{S}$ . Therefore, the closure of the semigroup generated by  $S$  in  $\mathfrak{S}$  is compact and hence a group. In particular,  $S$  is invertible in  $\mathfrak{S}$  and we have that  $T^m$  is invertible in  $\mathfrak{S}$ , and hence  $T^{m-1}(T^m)^{-1}$  is the inverse of  $T$  in  $\mathfrak{S}$ . Therefore, we may assume that  $\mathfrak{S}$  is a closed group. Let  $\mathbb{T}$  be a maximal torus in  $\text{GL}(n, \mathbb{Q}_p)$ . Let  $\mathbb{T}_a$  (respectively,  $\mathbb{T}_d$ ) be the anisotropic (respectively, split) torus in  $\mathbb{T}$ . Then  $\mathbb{T}_a$  is compact and  $\mathbb{T}_a\mathbb{T}_d$  is an almost direct product. Moreover, since all maximal tori are conjugate to each other, there exists  $m \in \mathbb{N}$  such that, for any element  $T \in \mathfrak{S} \subset \text{GL}(n, \mathbb{Q}_p)$ , we have  $T^m = \tau_a \tau_d \tau_u$ , where  $\tau_u$  is unipotent,  $\tau_s = \tau_a \tau_d \in \mathbb{T}$  is semisimple,  $\tau_a \in \mathbb{T}_a$  which is a compact group,  $\tau_d \in \mathbb{T}_d$  and  $\tau_a, \tau_d$  and  $\tau_u$  commute with each other. Note that  $\mathbb{T}$  depends on  $T$ , but  $m$  is independent of the choice of  $T$ . We know that  $\tau_u$ , being unipotent, generates a relatively compact group. As  $\overline{T}$  is distal, arguing as above in the proof of Proposition 2.3, we get that  $\tau_d = (t_{ij})_{n \times n}$  is such that  $t_{ij} = 0$  if  $i \neq j$  and  $|t_{ii}|_p$  is the same for all  $i$ . We have  $T^m = CS$ , where  $C \in \mathcal{D}$  and  $S$  generates a relatively compact group. Let  $\pi : \text{GL}(n, \mathbb{Q}_p) \rightarrow \text{GL}(n, \mathbb{Q}_p)/\mathcal{D}$  be the natural projection. Note that  $\text{GL}(n, \mathbb{Q}_p)/\mathcal{D}$  is an algebraic group and is linear, that is, it can be realized as a subgroup of  $\text{GL}(V)$  for some  $p$ -adic vector space  $V$ . Now  $\pi(\mathfrak{S})$  is a (closed) subgroup of  $\text{GL}(n, \mathbb{Q}_p)/\mathcal{D}$  and every element of  $\pi(\mathfrak{S})$  generates a relatively compact group. By [5, Lemma 3.3],  $\pi(\mathfrak{S})$  is contained in a compact extension of a unipotent group, that is,  $\pi(\mathfrak{S}) \subset K \ltimes \mathcal{U}$ , a semi-direct product, where  $K, \mathcal{U} \subset \text{GL}(V)$ ,  $K$  is a compact group and  $\mathcal{U}$  is unipotent. We can choose  $K$  such that  $\overline{\pi(\mathfrak{S})\mathcal{U}}/\mathcal{U}$  is isomorphic to  $K$ . Let  $H = K \ltimes \mathcal{U} = \overline{\pi(\mathfrak{S})\mathcal{U}}$ . Let  $\mathcal{G}$  be the smallest algebraic subgroup of  $\text{GL}(n, \mathbb{Q}_p)/\mathcal{D}$  containing  $\pi(\mathfrak{S})$ . Here, since  $\mathcal{U}$  is unipotent and is normalized by  $\pi(\mathfrak{S})$ , it is also normalized by  $\mathcal{G}$ . Then  $\mathcal{G}\mathcal{U}$  is an algebraic group, and hence closed. As  $H \subset \mathcal{G}\mathcal{U}$  and  $H = (\mathcal{G} \cap H)\mathcal{U}$ , it follows that  $K = H/\mathcal{U}$  is isomorphic to  $(\mathcal{G} \cap H)/(\mathcal{G} \cap \mathcal{U})$ . Let  $H_0 = \mathcal{G} \cap H$  and let  $\mathcal{U}_0 = \mathcal{G} \cap \mathcal{U}$ . Then  $\pi(\mathfrak{S}) \subset H_0$  and  $\pi(\mathfrak{S})$  normalizes  $\mathcal{U}_0$ . Here,  $\pi^{-1}(\mathcal{U}_0) = \mathcal{D} \times \mathcal{U}'$  where  $\mathcal{U}'$  is the unipotent radical of  $\pi^{-1}(\mathcal{U}_0)$ ;  $\mathcal{U}'$  is isomorphic to  $\pi(\mathcal{U}') = \mathcal{U}_0$  and is normalized by  $\mathfrak{S}$ . Therefore  $\mathfrak{S} \subset H' = \pi^{-1}(H_0)$ . Also,  $\mathcal{D} \times \mathcal{U}'$  is a co-compact nilpotent normal subgroup in  $H'$  and is also algebraic; see [2] and [9] for details on algebraic groups.

By Kolchin's theorem, there exists a flag  $\{0\} = V_0 \subset \cdots \subset V_k = \mathbb{Q}_p^n$  of maximal  $\mathcal{U}'$ -invariant subspaces such that  $\mathcal{U}'$  acts trivially on  $V_j/V_{j-1}$ ,  $j = 1, \dots, k$ . Note that each  $V_j$  is maximal in the sense that for any subspace  $W$  containing  $V_j$  such that  $W \neq V_j$ ,  $\mathcal{U}'$  does not act trivially on  $W/V_{j-1}$ . It is easy to see that each  $V_j$  is  $\mathfrak{S}$ -invariant as  $\mathfrak{S}$  normalizes  $\mathcal{U}'$ . Now suppose  $\mathfrak{S}/\mathcal{D}$  is not compact. Then there exists

a sequence  $\{T_i\} \subset \mathfrak{S}$  such that  $\{\pi(T_i)\}$  is unbounded. Now we have  $T_i = K_i D_i U_i$ ,  $i \in \mathbb{N}$ , where  $D_i \in \mathcal{D}$ ,  $U_i \in \mathcal{U}'$  and  $K_i \in \pi^{-1}(K)$  such that  $\{K_i\}$  is relatively compact and  $\{U_i\}$  is unbounded. Note that, for each  $j$ , as  $\mathfrak{S}$ ,  $\mathcal{U}'$  and  $\mathcal{D}$  keep  $V_j$  invariant,  $K_i(V_j) = V_j$  for all  $i$ . Passing to a subsequence if necessary, we get that there exists  $w \in S_n$  such that  $\|U_i(w)\|_p \rightarrow \infty$ ,  $\overline{T_i}(w) \rightarrow w' \in S_n$  and  $K_i \rightarrow K_0$ . For every  $v \in V_1$ ,  $T_i(v) = D_i K_i(v) \in V_1$ , and hence  $\{\|D_i^{-1} T_i(v)\|_p\}$  is bounded. Let  $v \in V_1 \setminus \{0\}$  be such that  $\|v\|_p < 1$ . Then  $v + w \in S_n$ . As  $\{\|K_i U_i(v + w)\|_p\}$  is unbounded and  $K_i U_i(v) = K_i(v) \rightarrow K_0 v$ , we get that  $\overline{T_i}(v + w) = \overline{K_i U_i}(v + w) \rightarrow w'$ . This contradicts (i). Therefore,  $\mathfrak{S}/\mathcal{D}$  is compact, that is, (i)  $\Rightarrow$  (iii).

Suppose  $\overline{\mathfrak{S}\mathcal{D}}/\mathcal{D}$  is a compact group. Then it contains  $G\mathcal{D}/\mathcal{D}$ , where  $G$  is the group generated by  $\mathfrak{S}$ . Therefore,  $G\mathcal{D}/\mathcal{D}$  is relatively compact. Using this fact, we want to show that  $G$  acts distally on  $S_n$ . Let  $C$  and  $\mathcal{Z}$  be closed subgroups of  $\mathcal{D}$  as above. Since the actions of both  $G$  and  $GC$  on  $S_n$  are the same, without loss of any generality we may replace  $G$  by  $GC$  and assume that  $C \subset G$ . We may also assume that  $G$  is closed. Now, using the facts that  $\mathcal{D} = C \times \mathcal{Z}$  and  $\mathcal{Z}$  is compact, we get that  $G\mathcal{D} = G\mathcal{Z}$  is closed. Now  $G\mathcal{D}/\mathcal{D}$  is compact and is isomorphic to  $G/[(G \cap \mathcal{Z}) \times C]$ . Therefore,  $G/C$  is compact, as  $G \cap \mathcal{Z}$  is compact. Since the action of  $G$  on  $S_n$  factors through  $C$ , the preceding assertion implies that  $G$  acts distally on  $S_n$ . Hence (iii)  $\Rightarrow$  (ii). It is obvious that (ii)  $\Rightarrow$  (i).  $\square$

The following corollary is a consequence of the above theorem.

**COROLLARY 2.5.** *A semigroup  $\mathfrak{S}$  of  $\mathrm{SL}(n, \mathbb{Q}_p)$  acts distally on  $S_n$  if and only if the closure of  $\mathfrak{S}$  is a compact group.*

**PROOF.** Let  $\pi : \mathrm{GL}(n, \mathbb{Q}_p) \rightarrow \mathrm{GL}(n, \mathbb{Q}_p)/\mathcal{D}$  be as above. By Theorem 2.4,  $\mathfrak{S}$  acts distally on  $S_n$  if and only if  $\overline{\pi(\mathfrak{S})}$  is a compact group. Note that  $\pi(\overline{\mathfrak{S}}) \subset \overline{\pi(\mathfrak{S})}$ . As  $\mathrm{SL}(n, \mathbb{Q}_p)\mathcal{D}$  is closed and  $\mathrm{SL}(n, \mathbb{Q}_p) \cap \mathcal{D}$  is finite, we get that  $\overline{\pi(\mathfrak{S})}$  is compact if and only if  $\overline{\mathfrak{S}}$  is compact; the latter statement is equivalent to  $\overline{\mathfrak{S}}$  being a compact group (cf. [6]). Now the assertion follows from the above.  $\square$

**REMARK.** Note that the above corollary is valid for a semigroup  $\mathfrak{S} \subset \mathrm{GL}(n, \mathbb{Q}_p)$  satisfying the condition that  $|\det(T)|_p = 1$  for all  $T \in \mathfrak{S}$ , as the elements of  $\mathrm{GL}(n, \mathbb{Q}_p)$  satisfying the condition form a closed subgroup (say)  $G$  such that  $G\mathcal{D}$  is closed and  $G \cap \mathcal{D}$  is a compact group (isomorphic to  $\mathbb{Z}_p^*$ ).

In the real case, [13, Corollary 5] showed that a semigroup  $\mathfrak{S} \subset \mathrm{GL}(n+1, \mathbb{R})$  acts distally on the (real) unit sphere  $\mathbb{S}^n$  if (and only if) every cyclic subsemigroup of  $\mathfrak{S}$  acts distally on  $\mathbb{S}^n$ . The corresponding statement does not hold in the  $p$ -adic case, as there exists a class of closed noncompact subgroups of  $\mathrm{SL}(n, \mathbb{Q}_p)$ , every cyclic subgroup of which is relatively compact but which do not act distally on  $S_n$  as they are not compact; for example, the group of strictly upper triangular matrices in  $\mathrm{SL}(n, \mathbb{Q}_p)$ ,  $n \geq 2$ .

### 3. Distality of ‘affine’ actions on $S_n$

In this section we discuss the ‘affine’ maps on the  $p$ -adic unit sphere  $S_n$ . Consider the affine action on  $\mathbb{Q}_p^n$  given by  $T_a(x) = a + T(x)$ ,  $x \in \mathbb{Q}_p^n$ , where  $T \in \text{GL}(n, \mathbb{Q}_p)$ , and  $a \in \mathbb{Q}_p^n$ . We first consider the corresponding ‘affine’ map  $\bar{T}_a$  on  $S_n$  which is defined for any nonzero  $a$  satisfying  $\|T^{-1}(a)\|_p \neq 1$  as follows:  $\bar{T}_a(x) = \|T_a(x)\|_p T_a(x)$ ,  $x \in S_n$ . (For  $a = 0$ ,  $\bar{T}_a = \bar{T}$ , which is studied in Section 2.) Observe that  $T_a(x) = 0$  for some  $x \in S_n$  if and only if  $T^{-1}(a)$  has norm 1. Therefore,  $\bar{T}_a(S_n) \subset S_n$  if  $\|T^{-1}(a)\|_p \neq 1$ . The map  $\bar{T}_a$  is a homeomorphism for any nonzero  $a$  satisfying  $\|T^{-1}(a)\|_p < 1$  (see Lemma 3.1 below). In this section we study the distality of such homeomorphisms  $\bar{T}_a$ .

**LEMMA 3.1.** *Let  $T \in \text{GL}(n, \mathbb{Q}_p)$  and let  $a \in \mathbb{Q}_p^n \setminus \{0\}$  be such that  $\|T^{-1}(a)\|_p \neq 1$ . Then the map  $\bar{T}_a$  on  $S_n$  is continuous and injective.  $\bar{T}_a$  is a homeomorphism if and only if  $\|T^{-1}(a)\|_p < 1$ .*

**PROOF.** Suppose  $\|T^{-1}(a)\|_p \neq 1$ . From the definition of  $\bar{T}_a$ , it is obvious that it is continuous. Suppose  $x, y \in S_n$  such that  $\bar{T}_a(x) = \bar{T}_a(y)$ . Then

$$\|a + T(x)\|_p(a + T(x)) = \|a + T(y)\|_p(a + T(y))$$

or  $(\beta - 1)T^{-1}(a) = y - \beta x$ , where  $\beta = \|a + T(x)\|_p / \|a + T(y)\|_p = p^m$  for some  $m \in \mathbb{Z}$ . If possible, suppose  $\beta \neq 1$ . Interchanging  $y$  and  $x$  if necessary, we may assume that  $\beta > 1$  or equivalently, that  $m \in \mathbb{N}$ . This implies that  $\|\beta x\|_p = |\beta|_p = p^{-m} < 1$ , and we get

$$\|T^{-1}(a)\|_p = |\beta - 1|_p \|T^{-1}(a)\|_p = \|y - \beta x\|_p = 1,$$

a contradiction. Hence,  $\beta = 1$  and  $x = y$ . Therefore,  $\bar{T}_a$  is injective.

Now suppose  $\|T^{-1}(a)\|_p < 1$ . It is enough to show that  $\bar{T}_a$  is surjective, as any continuous bijection on a compact Hausdorff space is a homeomorphism.

Let  $y \in S_n$ . Let  $z = T^{-1}(y)$  and let  $x = \|z\|_p z - T^{-1}(a)$ . Since the norm of  $\|z\|_p z$  is 1 and  $\|T^{-1}(a)\|_p < 1$ , we have that  $\|x\|_p = 1$ . Moreover, as  $\|y\|_p = 1$ , we have that  $\|z\|_p^{-1} = \|a + T(x)\|_p$ . Therefore,  $\bar{T}_a(x) = y$ . Hence  $\bar{T}_a$  is surjective.

Conversely, Suppose  $\bar{T}_a$  is surjective. Then there exists  $x \in S_n$  such that  $\bar{T}_a(x) = \|a\|_p a$ . We get that  $x = (p^m - 1)T^{-1}(a)$ , where  $p^m = \|a + T(x)\|_p^{-1} \|a\|_p$  for some  $m \in \mathbb{Z}$ ; here  $m \neq 0$  since  $x \neq 0$ . Now  $1 = \|x\|_p = |p^m - 1|_p \|T^{-1}(a)\|_p \geq \|T^{-1}(a)\|_p$  since  $|p^m - 1|_p \geq 1$  for every  $m \in \mathbb{Z} \setminus \{0\}$ . As  $\|T^{-1}(a)\|_p \neq 1$ , we have that  $\|T^{-1}(a)\|_p < 1$ .  $\square$

In [13], we have studied ‘affine’ maps  $\bar{T}_a$  on the real unit sphere  $S^n$ . The following result shows that in the  $p$ -adic case,  $\bar{T}_a$  is distal for every nonzero  $a$  in a certain neighbourhood of 0 in  $\mathbb{Q}_p^n$  if and only if  $\bar{T}$  is distal. This illustrates that the behaviour of such maps in the  $p$ -adic case is very different from that in the real case.

**THEOREM 3.2.** *Suppose  $T \in \text{GL}(n, \mathbb{Q}_p)$ . For  $a \in \mathbb{Q}_p^n \setminus \{0\}$  with  $\|T^{-1}(a)\|_p \neq 1$ , let  $\bar{T}_a : S_n \rightarrow S_n$  be defined as  $\bar{T}_a(x) = \|a + T(x)\|_p(a + T(x))$ ,  $x \in S_n$ . There exists a compact open subgroup  $V \subset \mathbb{Q}_p^n$  such that, for all  $a \in V \setminus \{0\}$ , we have that  $\|T^{-1}(a)\|_p < 1$ ,  $\bar{T}_a$  is a homeomorphism and the following statements hold.*



- (I) If  $\bar{T}$  is distal, then  $\bar{T}_a$  is distal for all nonzero  $a \in V$ .  
 (II) If  $\bar{T}$  is not distal, then for every neighbourhood  $U$  of 0 contained in  $V$ , there exists a nonzero  $a \in U$  such that  $\bar{T}_a$  is not distal.

**PROOF.** By [14, 3.3], we get that there exist  $D$  and  $S$  which commute with  $T$  and  $m \in \mathbb{N}$  such that  $T^m = SD = DS$ , where  $D$  is a diagonal matrix with the diagonal entries in  $\{p^i \mid i \in \mathbb{Z}\}$  and  $S$  generates a relatively compact group. Therefore,  $S^k$  is an isometry for some  $k \in \mathbb{N}$ . Replacing  $m$  by  $km$ , we may assume that  $S$  itself is an isometry. Let  $c_0 = \min\{1/\|T^{-j}\|_p \mid 1 \leq j \leq m-1\}$  and  $c_1 = \max\{\|T^j\|_p \mid 1 \leq j \leq m-1\}$ . As  $\mathcal{S}_n$  is compact,  $0 < c_0 \leq c_1 < \infty$ . Also,  $c_0 \leq \|T^j(x)\|_p \leq c_1$  for all  $x \in \mathcal{S}_n$  and  $1 \leq j \leq m-1$ . Since  $\|T^j\|_p \in \{p^i \mid i \in \mathbb{Z}\}$ , we get that  $\{\|T^j(x)\|_p \mid x \in \mathcal{S}_n, 1 \leq j \leq m-1\}$  is finite.

Let  $V$  be a compact open  $S$ -invariant subgroup in  $\mathbb{Q}_p^n$  such that  $V \cup c_0 V \cup c_0^2 V \cup \dots \cup c_0^{m-1} V \subset W = \{w \in \mathbb{Q}_p^n \mid \|w\|_p < 1\}$ . Then  $\|v\|_p < \min\{1, c_0, c_0^2, \dots, c_0^{m-1}\} \leq 1$  for all  $v \in V$  and  $c_0 c_1^{-1} V \subset c_0^2 c_1^{-2} V \subset W$ . Moreover,  $\|T^{-1}(v)\|_p < c_0^{-1} c_0 = 1$  for every  $v \in V$ .

Let  $p^l$  be the smallest nonzero entry in the diagonal matrix  $D$  and let

$$H = \{x \in \mathbb{Q}_p^n \mid D(x) = p^l x\}.$$

This is a nontrivial closed subspace of  $\mathbb{Q}_p^n$ . As  $S$  and  $T$  commute with  $D$ , they keep  $H$  invariant and, as  $S$  is an isometry,  $\|T^m(x)\|_p = p^{-l}\|x\|_p$  for all  $x \in H$ .

Let  $a \in V \setminus \{0\}$ . As noted above,  $\|T^{-1}(a)\|_p < 1$ , and hence, by Lemma 3.1,  $\bar{T}_a$  is a homeomorphism. Take any  $x \in \mathcal{S}_n$ . Since  $\|a\|_p < c_0$  and  $\|T(x)\|_p \geq c_0$ , we have  $\|T_a(x)\|_p = \|a + T(x)\|_p = \|(T(x))\|_p$  and

$$\bar{T}_a(x) = \|T_a(x)\|_p T_a(x) = \|T(x)\|_p (a + T(x)).$$

Let  $\alpha_1(x) = \|T_a(x)\|_p = \|T(x)\|_p = \beta_{1,x}$ . Let  $\alpha_j(x) = \|T_a(\bar{T}_a^{j-1}(x))\|_p = \|a + T(\bar{T}_a^{j-1}(x))\|_p$  and let  $\beta_{j,x} = \alpha_1(x) \cdots \alpha_j(x)$  for all  $j \in \mathbb{N}$  ( $j \geq 2$ ). Take  $\beta_{0,x} = 1$  and  $\phi^0 = \text{Id}$  for any map  $\phi$ . From the above, we have that  $\alpha_j(x) = \|T(\bar{T}_a^{j-1}(x))\|_p$  for all  $j \in \mathbb{N}$ . It is easy to show by induction that, for every  $j \in \mathbb{N}$ ,

$$\bar{T}_a^j(x) = \beta_{j,x} T^j(x) + \beta_{j,x} \sum_{i=1}^j \beta_{j-i,x}^{-1} T^{i-1}(a). \quad (3.1)$$

Observe that, as  $a \in V$ ,  $\|T^k(a)\|_p \leq c_1 \|a\|_p < c_1 (c_0 c_1^{-1}) = c_0$ , and for any  $x \in \mathcal{S}_n$ ,  $\|T^k(x)\|_p \geq c_0$ ,  $1 \leq k \leq m-1$ . Therefore, for  $j \in \mathbb{N}$  and  $1 \leq k \leq m-2$ ,

$$\begin{aligned} \|T^k(\bar{T}_a^j(x))\|_p &= [\alpha_j(x)]^{-1} \|T^k(a) + T^{k+1}(\bar{T}_a^{j-1}(x))\|_p \\ &= [\alpha_j(x)]^{-1} \|T^{k+1}(\bar{T}_a^{j-1}(x))\|_p. \end{aligned}$$

Applying the above equation successively, we get that, for  $1 \leq j \leq m-1$ ,

$$\alpha_j(x) = \|T(\bar{T}_a^{j-1}(x))\|_p = [\alpha_{j-1}(x) \cdots \alpha_1(x)]^{-1} \|T^j(x)\|_p,$$



that is,  $\beta_{j,x} = \|T^j(x)\|_p$ . Hence,  $c_0 \leq \beta_{j,x} \leq c_1$  for all  $x \in \mathcal{S}_n$  and  $1 \leq j \leq m-1$ . Moreover, applying the same equation again successively, we get for  $j \geq m$  that

$$\alpha_j(x) = [\alpha_{j-1}(x) \cdots \alpha_{j-m+1}(x)]^{-1} \|T^{m-1}(a) + T^m(\bar{T}_a^{j-m}(x))\|_p. \quad (3.2)$$

Now we take  $a \in V \cap H \setminus \{0\}$ . Then  $\bar{T}_a(\mathcal{S}_n \cap H) = \mathcal{S}_n \cap H$ . Let  $x \in \mathcal{S}_n \cap H$ . Then  $\|T^{-1}(a)\|_p < c_0^{-1}c_0 = 1$ , and hence  $\|T^{-1}(a) + \bar{T}_a^{j-m}(x)\|_p = 1$ . This implies that

$$\|T^{m-1}(a) + T^m(\bar{T}_a^{j-m}(x))\|_p = \|T^m(T^{-1}(a) + \bar{T}_a^{j-m}(x))\|_p = p^{-l}. \quad (3.3)$$

Using Equations (3.2) and (3.3), we get  $\alpha_j(x) = [\alpha_{j-1}(x) \cdots \alpha_{j-m+1}(x)]^{-1} p^{-l}$ , and hence  $\beta_{j,x} = p^{-l} \beta_{j-m,x}$  for all  $j \geq m$ . In particular,  $\beta_{m,x} = p^{-l} = \|T^m(x)\|_p$ . This implies that  $\beta_{km+j,x} = p^{-kl} \beta_{j,x} = p^{-kl} \|T^j(x)\|_p$ ,  $k, j \in \mathbb{N}$ . Therefore,  $\beta_{j,x} = \|T^j(x)\|_p$ ,  $j \in \mathbb{N}$ . Moreover, for all  $j, k \in \mathbb{Z}$  and  $x \in H$ ,  $T^{km+j}(x) = p^{kl} S^k T^j(x) = p^{kl} T^j S^k(x)$  and  $\|T^{km+j}(x)\|_p = p^{-kl} \|T^j(x)\|_p$ , as  $S$  is an isometry. In particular,  $\beta_{km+j,x} = p^{-kl} \|T^j(x)\|_p$  for all  $k, j \in \mathbb{Z}$  such that  $km+j \geq 0$ . Using the above facts together with Equation (3.1), we get, for  $k \in \mathbb{N}$ ,

$$\begin{aligned} \bar{T}_a^{km}(x) &= \beta_{km,x} T^{km}(x) + \beta_{km,x} \sum_{j=1}^{km} \beta_{km-j,x}^{-1} T^{j-1}(a) \\ &= S^k(x) + \sum_{j=1}^{km} \|T^{-j}(x)\|_p^{-1} T^{j-1}(a) \\ &= S^k(x) + \sum_{i=1}^k \sum_{j=1}^m \|T^{-j}(x)\|_p^{-1} T^{j-1}(S^{i-1}(a)) \\ &= S^k(x) + \sum_{j=1}^m \gamma_{j,x}^{-1} T^{j-1}(a_k), \end{aligned}$$

where  $a_k = \sum_{i=1}^k S^{i-1}(a) \in V \cap H$ ,  $k \in \mathbb{N}$ ,  $\gamma_{j,x} = \|T^{-j}(x)\|_p = p^l \beta_{m-j,x}$  and  $c_1^{-1} \leq \gamma_{j,x} \leq c_0^{-1}$ ,  $1 \leq j \leq m-1$ , and  $\gamma_{m,x} = p^l$ . From the above, we get that, for any  $k \in \mathbb{N}$  and  $x, y \in \mathcal{S}_n \cap H$ ,

$$\bar{T}_a^{km}(x) - \bar{T}_a^{km}(y) = S^k(x - y) + \sum_{j=1}^{m-1} [\gamma_{j,x}^{-1} - \gamma_{j,y}^{-1}] T^{j-1}(a_k). \quad (3.4)$$

Let  $x, y \in \mathcal{S}_n \cap H$  such that  $\|x - y\|_p < c_0 c_1^{-1}$ . As  $T$  is linear,  $T^j(x) = T^j(y) + T^j(x - y)$ ,  $j \in \mathbb{N}$ . For  $1 \leq j \leq m-1$ , as  $\|T^j(x - y)\|_p \leq c_1 \|x - y\|_p < c_0$  and  $\|T^j(y)\|_p \geq c_0$ , we get that  $\beta_{j,x} = \|T^j(x)\|_p = \|T^j(y)\|_p = \beta_{j,y}$ , and hence  $\gamma_{j,x} = \gamma_{j,y}$ . Therefore,

$$\|\bar{T}_a^{km}(x) - \bar{T}_a^{km}(y)\|_p = \|S^k(x) - S^k(y)\|_p = \|x - y\|_p, \quad k \in \mathbb{N}.$$

Now suppose  $\|x - y\|_p \geq c_0 c_1^{-1}$ . Observe that  $|\gamma_{j,x}^{-1} - \gamma_{j,y}^{-1}|_p \leq c_0^{-1}$ ,  $\|T^j(a_k)\|_p \leq c_1 \|a_k\|_p$ ,  $1 \leq j \leq m-1$  and  $a_k \in V$ ,  $\|a_k\|_p < c_0^2 c_1^{-2}$ . Now Equation (3.4) implies that

$$\bar{T}_a^{km}(x) - \bar{T}_a^{km}(y) \in S^k(x - y) + c_0^{-1} c_1 W.$$

Since  $\|S^k(x-y)\|_p = \|x-y\|_p \geq c_0 c_1^{-1}$ , we get that  $\|\bar{T}_a^{km}(x) - \bar{T}_a^{km}(y)\|_p = \|x-y\|_p$ . This shows that  $\bar{T}_a^m|_{\mathcal{S}_n \cap H}$  preserves the distance and is distal, and hence  $\bar{T}_a|_{\mathcal{S}_n \cap H}$  is distal, where  $a \in V \cap H$ .

If  $\bar{T}$  is distal, then so is  $\bar{T}^m$ , and hence its image in  $\mathrm{GL}(n, \mathbb{Q}_p)/\mathcal{D}$  generates a relatively compact group. This implies that  $D = p^l \mathrm{Id}$ ,  $H = \mathbb{Q}_p^n$ ,  $\mathcal{S}_n \cap H = \mathcal{S}_n$  and  $V \cap H = V$ . Therefore, (I) holds.

Now suppose  $\bar{T}$  is not distal. Then  $\bar{T}^m$  is not distal and hence  $D \neq p^l \mathrm{Id}$ . Let  $l_1 > l$  be such that  $H_1 = \{x \in \mathbb{Q}_p^n \mid D(x) = p^{l_1} x\}$  is nonzero. Then  $H_1$  is a vector subspace and is invariant under  $D$ ,  $S$  and  $T$ . Let  $a \in V \cap H \setminus \{0\}$  as above. It is easy to see that  $\bar{T}_a(\mathcal{S}_n \cap (H \oplus H_1)) = \mathcal{S}_n \cap (H \oplus H_1)$ . We show that the restriction of  $\bar{T}_a$  to  $\mathcal{S}_n \cap (H \oplus H_1)$  is not distal. This would imply that (II) holds.

Take  $y = x + z \in \mathcal{S}_n$ , where  $x \in \mathcal{S}_n \cap H$  and  $z \in H_1$  such that  $\|T^j(z)\|_p < \|T^j(x)\|_p$ ,  $j \in \mathbb{N}$ . It is possible to choose such a  $z$ ; we can take  $z \in H_1$  with the property that  $\|T^j(z)\|_p < \|T^j(x)\|_p$  for all  $0 \leq j \leq m-1$ , then as  $S$  is an isometry,  $\|T^{km+j}(z)\|_p = p^{-kl_1} \|T^j(z)\|_p < p^{-kl} \|T^j(x)\|_p = \|T^{km+j}(x)\|_p$ ,  $k \in \mathbb{N}$ . Now  $\|T^j(y)\|_p = \|T^j(x)\|_p = \beta_{j,x}$  for all  $j \in \mathbb{N}$ . Here,

$$\bar{T}^{km}(y) - \bar{T}^{km}(x) = p^{-kl} [S^k(p^{kl_1} x + p^{kl_1} z)] - S^k(x) = p^{k(l_1-l)} S^k(z) \rightarrow 0$$

as  $k \rightarrow \infty$ , since  $S$  is an isometry and since  $l_1 > l$ . We now show for all  $k \in \mathbb{N}$  that  $\bar{T}_a^{km}(y) - \bar{T}_a^{km}(x) = \bar{T}^{km}(y) - \bar{T}^{km}(x)$ . (From the above, the latter is equal to  $\beta_{km,x} T^{km}(z)$ .) This in turn would imply that  $\bar{T}_a$  is not distal.

From Equation (3.1), it is enough to show for all  $j \in \mathbb{N} \cup \{0\}$  that  $\beta_{j,y} = \beta_{j,x}$  or, equivalently,  $\beta_{j,y} = \|T^j(y)\|_p$  as the latter is equal to  $\|T^j(x)\|_p$  which is the same as  $\beta_{j,x}$ . This is trivially true for  $j = 0$ . As shown earlier, for  $1 \leq j < m-1$ ,  $\beta_{j,u} = \|T^j(u)\|_p$  for all  $u \in \mathcal{S}_n$ , and hence  $\beta_{j,y} = \beta_{j,x}$ ; that is, the above statement holds for  $1 \leq j < m$ , and we get that

$$\bar{T}_a^j(y) = \beta_{j,y} T^j(y) + \beta_{j,y} \sum_{i=1}^j \beta_{j-i,y}^{-1} T^{i-1}(a) = \bar{T}_a^j(x) + \beta_{j,x} T^j(z). \quad (3.5)$$

We prove by induction on  $k$  that  $\beta_{j,y} = \beta_{j,x} = \|T^j(x)\|_p$  and Equation (3.5) is satisfied for all  $1 \leq j < km$ ,  $k \in \mathbb{N}$ . We have already proven these for  $k = 1$ . Suppose, for some  $k \in \mathbb{N}$ , that these hold for all  $j$  such that  $(k-1)m \leq j < km$ . Let  $km \leq j < (k+1)m$ . Recall that, for all  $j \in \mathbb{N}$ ,  $\alpha_j(u) = \|T(\bar{T}_a^{j-1}(u))\|_p$ ,  $u \in \mathcal{S}_n$ , and Equation (3.2) holds for any  $x \in \mathcal{S}_n$  and  $j \geq m$ . As  $\beta_{j,y} \beta_{j-m,y}^{-1} = \alpha_j(y) \dots \alpha_{j-m+1}(y)$ , from Equation (3.2), and also Equation (3.5) which is assumed to hold for  $(k-1)m \leq j < km$  by the induction hypothesis, we get for  $x, y, z$  as above and  $km \leq j < (k+1)m$  that

$$\begin{aligned} \beta_{j,y} \beta_{j-m,y}^{-1} &= \|T^{m-1}(a) + T^m(\bar{T}_a^{j-m}(y))\|_p \\ &= \|T^m[T^{-1}(a) + \bar{T}_a^{j-m}(x) + \beta_{j-m,x} T^{j-m}(z)]\|_p. \end{aligned}$$

Now using this, we get that

$$\beta_{j,y}\beta_{j-m,y}^{-1} = \|S[p^l(T^{-1}(a) + \bar{T}_a^{j-m}(x)) + p^l\beta_{j-m,x}T^{j-m}(z)]\|_p = p^{-l},$$

as  $S$  is an isometry,  $l_1 > l$  and  $\|\beta_{j-m,x}T^{j-m}(z)\|_p < 1$  (see also Equation (3.3)). Since  $(k-1)m \leq j-m < km$ ,  $\beta_{j,y} = p^{-l}\beta_{j-m,y} = \|p^lT^{j-m}(x)\|_p = \|T^j(x)\|_p$ . Hence Equation (3.5) holds for  $km \leq j < (k+1)m$ . Now by induction for all  $j \in \mathbb{N}$ ,  $\beta_{j,x} = \beta_{j,y}$  and Equation (3.5) holds. Therefore,  $\bar{T}_a$  is not distal. (Note that Equation (3.5) also directly shows that  $\bar{T}_a^{km}(y) - \bar{T}_a^{km}(x) = p^{k(l_1-l)}S^k(z) \rightarrow 0$  as  $k \rightarrow \infty$ .) Now if  $U \subset V$  is a neighbourhood of 0, then  $U \cap H \neq \{0\}$  and hence (II) holds.  $\square$

Observe that if  $\bar{T}$  is not distal, then from Theorem 3.2(II) we get that every neighbourhood of 0 in  $\mathbb{Q}_p$  contains a nonzero  $a$  such that  $\|T^{-1}(a)\|_p < 1$  and  $\bar{T}_a$  is not distal. Now the following corollary is an easy consequence of Theorem 3.2.

**COROLLARY 3.3.** *For  $T \in \text{GL}(n, \mathbb{Q}_p)$ ,  $\bar{T}$  is distal if and only if there exists a neighbourhood  $V$  of 0 in  $\mathbb{Q}_p^n$  such that, for every  $a \in V \setminus \{0\}$ ,  $\|T^{-1}(a)\|_p < 1$  and  $\bar{T}_a$  on  $\mathcal{S}_n$  is distal.*

If  $T$  is distal, then  $\bar{T}$  is also distal and Theorem 3.2(I) and Corollary 3.3 hold for  $T$ . If  $\bar{T}$  is distal, then, for some  $m \in \mathbb{N}$  and  $l \in \mathbb{Z}$ ,  $p^lT^m$  is distal.

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