

ONE-VARIABLE FRAGMENTS OF FIRST-ORDER LOGICS

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Abstract. The one-variable fragment of a first-order logic may be viewed as an “S5-like” modal logic, where the universal and existential quantifiers are replaced by box and diamond modalities, respectively. Axiomatizations of these modal logics have been obtained for special cases—notably, the modal counterparts S5 and MIPC of the one-variable fragments of first-order classical logic and first-order intuitionistic logic, respectively—but a general approach, extending beyond first-order intermediate logics, has been lacking. To this end, a sufficient criterion is given in this paper for the one-variable fragment of a semantically defined first-order logic—spanning families of intermediate, substructural, many-valued, and modal logics—to admit a certain natural axiomatization. More precisely, an axiomatization is obtained for the one-variable fragment of any first-order logic based on a variety of algebraic structures with a lattice reduct that has the superamalgamation property, using a generalized version of a functional representation theorem for monadic Heyting algebras due to Bezhanishvili and Harding. An alternative proof-theoretic strategy for obtaining such axiomatization results is also developed for first-order substructural logics that have a cut-free sequent calculus and admit a certain interpolation property.

§1. Introduction. The one-variable fragment of any standard first-order logic—intermediate, substructural, many-valued, modal, or otherwise—consists of consequences in the logic constructed using one distinguished variable x , unary relation symbols, propositional connectives, and the quantifiers $(\forall x)$ and $(\exists x)$. Such a fragment may be conveniently reformulated as a propositional modal logic by replacing occurrences of an atom $P(x)$ with a propositional variable p , and occurrences of $(\forall x)$ and $(\exists x)$ with \Box and \Diamond , respectively. Typically, this modal logic is algebraizable—that is, it enjoys soundness and completeness with respect to some suitable class of algebraic structures—and hence, unlike the full first-order logic, can be studied using the tools of universal algebra.

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Any standard semantics for a first-order logic, where quantifiers range over domains of models, yields a relational semantics for the one-variable fragment. On the other hand, a Hilbert-style axiomatization does not (at least directly) yield an axiomatization for the fragment, since a derivation of a one-variable formula may involve additional variables. Axiomatizations are well known for the modal counterparts S5 [16] and MIPC [4, 22] of the one-variable fragments of first-order classical logic and first-order intuitionistic logic, respectively, and similar results have been obtained for the modal counterparts of one-variable fragments of other first-order intermediate logics [1, 2, 5–7, 24, 27, 28] and many-valued logics [8, 12, 21, 26]. However, a general approach to the problem of axiomatizing one-variable fragments of first-order logics has been lacking.¹

In this paper, we address this problem for a broad family of semantically defined first-order logics. In Section 2, we introduce (one-variable) first-order logics via models defined over classes of \mathcal{L} -lattices: structures for an algebraic signature \mathcal{L} with a lattice reduct. In particular, first-order intermediate logics and first-order substructural logics can be defined over classes of Heyting algebras and FL_e-algebras, respectively. For the sake of generality (e.g., when \mathcal{L} -lattices are just lattices), consequence is defined for equations between two first-order formulas; however, this often (e.g., for any intermediate or substructural logic) corresponds to the usual notion of consequence between formulas.

In Section 3, we introduce potential axiomatizations for consequence in the modal counterparts of the one-variable fragments of these semantically defined logics. We define an m - \mathcal{L} -lattice to be an \mathcal{L} -lattice expanded with modalities \Box and \Diamond satisfying certain equations familiar from modal logic, and given any class K of \mathcal{L} -lattices, let mK denote the class of m - \mathcal{L} -lattices with an \mathcal{L} -lattice reduct in K . For example, if K is a variety of Heyting algebras, then mK is a variety of monadic Heyting algebras in the sense of [22]. We then show that m - \mathcal{L} -lattices are in one-to-one correspondence with \mathcal{L} -lattices equipped with a subalgebra satisfying a relative completeness condition, generalizing previous results in the literature (see, e.g., [1, 29]). We also show that if K is any class of \mathcal{L} -lattices closed under taking subalgebras and direct powers (in particular, any variety), then consequence in the one-variable fragment of the first-order logic defined over K corresponds to consequence in the *functional* members of mK : m - \mathcal{L} -lattices consisting of functions from a set W to an \mathcal{L} -lattice $\mathbf{A} \in K$.

In Section 4, we close the circle, obtaining an axiomatization of consequence in the one-variable fragment of any first-order logic defined over a variety V of \mathcal{L} -lattices that has the *superamalgamation property*: an

¹A precursor to this paper, reporting preliminary results restricted to a smaller class of logics, was published in the proceedings of AiML 2022 [10].

algebraic property that is equivalent in some settings to the logical Craig interpolation property. We show that every member of mV is functional—generalizing a representation theorem of Bezhanishvili and Harding for monadic Heyting algebras [2]—and hence that the defining equations for mV provide the desired axiomatization. In particular, we axiomatize the one-variable fragments of a broad range of first-order logics, including the seven consistent first-order intermediate logics that have Craig interpolation, first-order extensions of substructural logics such as FL_e , FL_{ew} , and FL_{ec} , a first-order lattice logic, and a first-order version of the modal logic K .

In Section 5, we present an alternative proof-theoretic strategy for establishing completeness of an axiomatization for the one-variable fragment of a first-order logic, the key idea being to show that additional variables can be eliminated from derivations of one-variable formulas in a suitable sequent calculus. As a concrete example, we obtain a new completeness proof for the one-variable fragment of the first-order version of the substructural logic FL_e by establishing an interpolation property for derivations in a cut-free sequent calculus. We then explain how the proof generalizes to a family of first-order substructural logics, including FL_{ew} , FL_{ec} , and FL_{ewc} (intuitionistic logic). Finally, in Section 6, we discuss the limitations of the methods described in the paper and potential extensions to broader families of first-order logics.

§2. A family of first-order logics. Let \mathcal{L} be any algebraic signature, and let \mathcal{L}_n denote the set of operation symbols of \mathcal{L} of arity $n \in \mathbb{N}$. We will assume throughout this paper that \mathcal{L}_2 contains distinct symbols \wedge and \vee , referring to such a signature as *lattice-oriented*.

We call an algebraic structure $\mathbf{A} = \langle A, \{\star^A \mid n \in \mathbb{N}, \star \in \mathcal{L}_n\} \rangle$ an \mathcal{L} -*lattice* if \star^A is an n -ary operation on A for each $\star \in \mathcal{L}_n$ ($n \in \mathbb{N}$), and $\langle A, \wedge^A, \vee^A \rangle$ is a lattice with respect to the induced order $x \leq^A y : \iff x \wedge^A y = x$. As usual, superscripts will be omitted when these are clear from the context.

EXAMPLE 2.1. Let \mathcal{L}_s be the lattice-oriented signature with binary operation symbols \wedge , \vee , \cdot , and \rightarrow , and constant symbols f and e . An FL_e -*algebra*—also referred to as a *commutative pointed residuated lattice*—is an \mathcal{L}_s -lattice $\mathbf{A} = \langle A, \wedge, \vee, \cdot, \rightarrow, f, e \rangle$ such that $\langle A, \cdot, e \rangle$ is a commutative monoid and \rightarrow is the residuum of \cdot , that is, $a \cdot b \leq c \iff a \leq b \rightarrow c$, for all $a, b, c \in A$. The class of FL_e -algebras forms a variety FL_e that provides algebraic semantics for the full Lambek calculus with exchange FL_e —also known as multiplicative additive intuitionistic linear logic without additive constants (see, e.g., [13, 20]). Algebraic semantics for other well-known substructural logics are provided by various subvarieties of FL_e ; e.g.

- the full Lambek calculus with exchange and weakening FL_{ew} , and full Lambek calculus with exchange and contraction FL_{ec} , correspond to the varieties FL_{ew} and FL_{ec} of FL_e -algebras satisfying the equations $f \leq x \leq e$, and $x \leq x \cdot x$, respectively;

- intuitionistic logic **IL** corresponds to the variety **HA** of Heyting algebras, term-equivalent to $\text{FL}_{\text{ewc}} = \text{FL}_{\text{ew}} \cap \text{FL}_{\text{ec}}$ (just identify \cdot and \wedge);
- classical logic **CL** and Gödel logic **G** correspond to the varieties **BA** of Boolean algebras, and **GA** of Gödel algebras, axiomatized relative to **HA** by the equations $(x \rightarrow f) \rightarrow f \approx x$ and $(x \rightarrow y) \vee (y \rightarrow x) \approx e$, respectively;
- Łukasiewicz logic **L** corresponds to the variety **MV** of MV-algebras, term-equivalent to the variety of FL_{ew} -algebras satisfying the equation $(x \rightarrow y) \rightarrow y \approx x \vee y$.

Full first-order logics can be defined over an arbitrary predicate language with formulas built using propositional connectives from the algebraic signature \mathcal{L} (see, e.g., [11, Section 7.1]). However, it suffices here to restrict our attention to the one-variable setting and a fixed (generic) predicate language. Let $\text{Fm}_{\forall}^1(\mathcal{L})$ denote the set of *one-variable \mathcal{L} -formulas* $\varphi, \psi, \chi, \dots$ built inductively using a countably infinite set of unary predicates $\{P_i\}_{i \in \mathbb{N}}$, a distinguished variable x , connectives in \mathcal{L} , and quantifiers \forall, \exists . We call an ordered pair of one-variable \mathcal{L} -formulas $\varphi, \psi \in \text{Fm}_{\forall}^1(\mathcal{L})$, written $\varphi \approx \psi$, an *$\text{Fm}_{\forall}^1(\mathcal{L})$ -equation*, and write $\varphi \leq \psi$ as an abbreviation for $\varphi \wedge \psi \approx \varphi$.²

Now let \mathbf{A} be any \mathcal{L} -lattice, let S be a non-empty set, and let $\mathcal{I}(P_i)$ be a map from S to A for each $i \in \mathbb{N}$, writing $u \mapsto f(u)$ to denote a map assigning to each $u \in S$ some $f(u) \in A$. We call the ordered pair $\mathfrak{S} = \langle S, \mathcal{I} \rangle$ an *\mathbf{A} -structure* if the following inductively defined partial map $\|\cdot\|^{\mathfrak{S}} : \text{Fm}_{\forall}^1(\mathcal{L}) \rightarrow A^S$ is total:

$$\begin{aligned} \|P_i(x)\|^{\mathfrak{S}} &= \mathcal{I}(P_i) && i \in \mathbb{N}, \\ \|\star(\varphi_1, \dots, \varphi_n)\|^{\mathfrak{S}} &= u \mapsto \star^A(\|\varphi_1\|^{\mathfrak{S}}(u), \dots, \|\varphi_n\|^{\mathfrak{S}}(u)) && n \in \mathbb{N}, \star \in \mathcal{L}_n, \\ \|(\forall x)\varphi\|^{\mathfrak{S}} &= u \mapsto \bigwedge \{ \|\varphi\|^{\mathfrak{S}}(v) \mid v \in S \}, \\ \|(\exists x)\varphi\|^{\mathfrak{S}} &= u \mapsto \bigvee \{ \|\varphi\|^{\mathfrak{S}}(v) \mid v \in S \}. \end{aligned}$$

If \mathbf{A} is *complete*—that is, $\bigwedge X$ and $\bigvee X$ exist in A , for all $X \subseteq A$ —then $\mathfrak{S} = \langle S, \mathcal{I} \rangle$ is always an \mathbf{A} -structure; otherwise, whether or not the partial map $\|\cdot\|^{\mathfrak{S}}$ is total depends on \mathcal{I} . E.g., for $\mathbf{A} = \langle \mathbb{N}, \min, \max \rangle$ and $S = \mathbb{N}$, if $\mathcal{I}(P_0)(n) := n$, for all $n \in \mathbb{N}$, then $\|(\exists x)P_0(x)\|^{\mathfrak{S}}$ is undefined, but if $\mathcal{I}(P_i)(n) \leq K$ for all $i \in \mathbb{N}$ and $n \in S$, for some fixed $K \in \mathbb{N}$, then \mathfrak{S} is an \mathbf{A} -structure.

We say that an $\text{Fm}_{\forall}^1(\mathcal{L})$ -equation $\varphi \approx \psi$ is *valid* in an \mathbf{A} -structure \mathfrak{S} , and write $\mathfrak{S} \models \varphi \approx \psi$, if $\|\varphi\|^{\mathfrak{S}} = \|\psi\|^{\mathfrak{S}}$. More generally, consider any class

²Let us emphasize that an $\text{Fm}_{\forall}^1(\mathcal{L})$ -equation $\varphi \approx \psi$ is a primitive syntactic object that relates two formulas and not terms. In some settings (e.g., first-order substructural logics), $\varphi \approx \psi$ can be replaced by a formula such as $\varphi \leftrightarrow \psi$ and semantical consequence can be defined between formulas, but this is not always the case.

of \mathcal{L} -lattices \mathbf{K} . We say that an $\text{Fm}_{\forall}^1(\mathcal{L})$ -equation $\varphi \approx \psi$ is a (*sentential*) *semantical consequence* of a set of $\text{Fm}_{\forall}^1(\mathcal{L})$ -equations T in \mathbf{K} , and write $T \models_{\mathbf{K}}^{\forall} \varphi \approx \psi$, if for any $\mathbf{A} \in \mathbf{K}$ and \mathbf{A} -structure \mathfrak{S} ,

$$\mathfrak{S} \models \varphi' \approx \psi', \text{ for all } \varphi' \approx \psi' \in T \implies \mathfrak{S} \models \varphi \approx \psi.$$

In certain cases, we can restrict our attention to the complete members of \mathbf{K} . Let us say that \mathbf{K} *admits regular completions* if, for any $\mathbf{A} \in \mathbf{K}$, there exists an \mathcal{L} -lattice embedding h of \mathbf{A} into a complete member \mathbf{B} of \mathbf{K} that preserves all existing meets and joins, noting that for any \mathbf{A} -structure $\mathfrak{S} = \langle S, \mathcal{I} \rangle$, the \mathbf{B} -structure $\mathfrak{S}^h = \langle S, \mathcal{I}^h \rangle$, with $\mathcal{I}^h(P_i) := h \circ \mathcal{I}(P_i)$ for each $i \in I$, satisfies $\|\varphi\|^{\mathfrak{S}^h} = h \circ \|\varphi\|^{\mathfrak{S}}$ for all $\varphi \in \text{Fm}_{\forall}^1(\mathcal{L})$. Clearly, semantical consequence in such a class \mathbf{K} coincides with semantical consequence in the class of complete members of \mathbf{K} .

EXAMPLE 2.2. A sufficient, but by no means necessary, condition for a class of \mathcal{L} -lattices to admit regular completions is closure under MacNeille completions (see, e.g., [17]). This is the case in particular for BA and HA; indeed, they are the only non-trivial varieties of Heyting algebras that have this property [3]. A broad family of varieties of FL_e -algebras—including FL_e , FL_{ew} , and FL_{ec} —are also closed under MacNeille completions, and for a still broader family—including GA—this is true for the class of their subdirectly irreducible members [9]. Note, however, that in some cases—e.g., MV [14]—neither the variety nor the class of its subdirectly irreducible members admits regular completions.

Let $\text{Fm}_{\square}(\mathcal{L})$ denote the set of propositional formulas α, β, \dots built inductively using a countably infinite set of propositional variables $\{p_i\}_{i \in \mathbb{N}}$, connectives in \mathcal{L} , and unary connectives \square and \diamond , and call an ordered pair of propositional formulas $\alpha, \beta \in \text{Fm}_{\square}(\mathcal{L})$, written $\alpha \approx \beta$, an $\text{Fm}_{\square}(\mathcal{L})$ -equation. The (standard) translation functions $(-)^*$ and $(-)^{\circ}$ between $\text{Fm}_{\forall}^1(\mathcal{L})$ and $\text{Fm}_{\square}(\mathcal{L})$ are defined inductively by

$$\begin{aligned} (P_i(x))^* &= p_i & p_i^{\circ} &= P_i(x) & i &\in \mathbb{N}, \\ (\star(\varphi_1, \dots, \varphi_n))^* &= \star(\varphi_1^*, \dots, \varphi_n^*) & (\star(\alpha_1, \dots, \alpha_n))^{\circ} &= \star(\alpha_1^{\circ}, \dots, \alpha_n^{\circ}) & \star &\in \mathcal{L}_n, \\ ((\forall x)\varphi)^* &= \square\varphi^* & (\square\alpha)^{\circ} &= (\forall x)\alpha^{\circ}, \\ ((\exists x)\varphi)^* &= \diamond\varphi^* & (\diamond\alpha)^{\circ} &= (\exists x)\alpha^{\circ}, \end{aligned}$$

and lift in the obvious way to (sets of) $\text{Fm}_{\forall}^1(\mathcal{L})$ -equations and $\text{Fm}_{\square}(\mathcal{L})$ -equations.

Clearly, $(\varphi^*)^{\circ} = \varphi$ for any $\varphi \in \text{Fm}_{\forall}^1(\mathcal{L})$ and $(\alpha^{\circ})^* = \alpha$ for any $\alpha \in \text{Fm}_{\square}(\mathcal{L})$, and we may therefore switch between first-order and modal notations as convenient. To axiomatize consequence in the one-variable first-order logic based on a class of \mathcal{L} -lattices \mathbf{K} , it therefore suffices to find a (natural) axiomatization of a class \mathbf{C} of algebras in the signature of \mathcal{L}

expanded with \Box, \Diamond such that \vDash_K^\forall corresponds to equational consequence in \mathbf{C} . More precisely, let us call a homomorphism from the formula algebra with universe $\text{Fm}_\Box(\mathcal{L})$ to some $\mathbf{A} \in \mathbf{C}$ an *A-evaluation*, and define for any set $\Sigma \cup \{\alpha \approx \beta\}$ of $\text{Fm}_\Box(\mathcal{L})$ -equations,

$$\Sigma \vDash_{\mathbf{C}} \alpha \approx \beta : \iff \text{for every } \mathbf{A} \in \mathbf{C} \text{ and } \mathbf{A}\text{-evaluation } f, \\ f(\alpha') = f(\beta') \text{ for all } \alpha' \approx \beta' \in \Sigma \implies f(\alpha) = f(\beta).$$

Our goal in this paper is to provide a (natural) axiomatization of a *variety* \mathbf{V} such that for any set of $\text{Fm}_\forall^\downarrow(\mathcal{L})$ -equations $T \cup \{\varphi \approx \psi\}$,

$$T \vDash_K^\forall \varphi \approx \psi \iff T^* \vDash_{\mathbf{V}} \varphi^* \approx \psi^*.$$

EXAMPLE 2.3. If \mathbf{K} is BA, then \vDash_K^\forall is consequence in the one-variable fragment of first-order classical logic, corresponding to S5, and \mathbf{V} is the variety of monadic Boolean algebras defined in [16]. If \mathbf{K} is HA, then \vDash_K^\forall is consequence in the one-variable fragment of first-order intuitionistic logic, corresponding to MIPC, and \mathbf{V} is the variety of monadic Heyting algebras defined in [22]. Analogous results have been obtained for first-order intermediate logics in [1, 2, 5–7, 24, 27, 28]. In particular, if \mathbf{K} is GA, then \vDash_K^\forall is consequence in the one-variable fragment of the first-order logic of linear frames, and \mathbf{V} is the variety of monadic Heyting algebras satisfying the prelinearity axiom $(x \rightarrow y) \vee (y \rightarrow x) \approx e$ [6]. However, if \mathbf{K} is the class of totally ordered members of GA, then \vDash_K^\forall is consequence in the one-variable fragment of first-order Gödel logic, the first-order logic of linear frames with a constant domain, and \mathbf{V} is the variety of monadic Gödel algebras, i.e., monadic Heyting algebras satisfying the prelinearity axiom and the constant domain axiom $\Box(\Box x \vee y) \approx \Box x \vee \Box y$ [7]. Similarly, if \mathbf{K} is the class of totally ordered MV-algebras, then \vDash_K^\forall is consequence in the one-variable fragment of first-order Łukasiewicz logic, and \mathbf{V} is the variety of monadic MV-algebras [26].

§3. An algebraic approach. As our basic modal structures, let us define an *m-lattice* to be any algebraic structure $\langle L, \wedge, \vee, \Box, \Diamond \rangle$ with lattice reduct $\langle L, \wedge, \vee \rangle$ that satisfies the following equations:

$$\begin{array}{ll} (\mathbf{L1}_\Box) & \Box x \wedge x \approx \Box x, & (\mathbf{L1}_\Diamond) & \Diamond x \vee x \approx \Diamond x, \\ (\mathbf{L2}_\Box) & \Box(x \wedge y) \approx \Box x \wedge \Box y, & (\mathbf{L2}_\Diamond) & \Diamond(x \vee y) \approx \Diamond x \vee \Diamond y, \\ (\mathbf{L3}_\Box) & \Box \Diamond x \approx \Diamond x, & (\mathbf{L3}_\Diamond) & \Diamond \Box x \approx \Box x. \end{array}$$

Let $\alpha \leq \beta$ stand for $\alpha \wedge \beta \approx \alpha$. It is easily shown that every m-lattice also satisfies the following equations and quasi-equations:

$$\begin{array}{ll} (\mathbf{L4}_\Box) & \Box \Box x \approx \Box x, & (\mathbf{L4}_\Diamond) & \Diamond \Diamond x \approx \Diamond x, \\ (\mathbf{L5}_\Box) & x \leq y \implies \Box x \leq \Box y, & (\mathbf{L5}_\Diamond) & x \leq y \implies \Diamond x \leq \Diamond y. \end{array}$$

Now let \mathcal{L} be any fixed lattice-oriented signature. We define an $m\mathcal{L}$ -lattice to be any algebraic structure $\langle \mathbf{A}, \Box, \Diamond \rangle$ such that \mathbf{A} is an \mathcal{L} -lattice, $\langle A, \wedge, \vee, \Box, \Diamond \rangle$ is an m -lattice, and the following equation is satisfied for each $n \in \mathbb{N}$ and $\star \in \mathcal{L}_n$:

$$(\star_\Box) \quad \Box(\star(\Box x_1, \dots, \Box x_n)) \approx \star(\Box x_1, \dots, \Box x_n).$$

Using (\star_\Box) , $(L3_\Box)$, and $(L3_\Diamond)$, it follows that $\langle \mathbf{A}, \Box, \Diamond \rangle$ also satisfies for each $n \in \mathbb{N}$ and $\star \in \mathcal{L}_n$, the equation

$$(\star_\Diamond) \quad \Diamond(\star(\Diamond x_1, \dots, \Diamond x_n)) \approx \star(\Diamond x_1, \dots, \Diamond x_n).$$

Given a class K of \mathcal{L} -lattices, let mK denote the class of $m\mathcal{L}$ -lattices with an \mathcal{L} -lattice reduct in K . Note that if K is a variety, then so is mK .

EXAMPLE 3.1. It is straightforward to show that the notion of an $m\mathcal{L}_s$ -lattice encompasses other algebraic structures considered in the literature. In particular, mHA and mBA are the varieties of monadic Heyting algebras [22] and monadic Boolean algebras [16], respectively. Moreover, if \mathbf{A} is an FL_e -algebra, then every $m\mathcal{L}_s$ -lattice $\langle \mathbf{A}, \Box, \Diamond \rangle$ satisfies the equations

$$(L6_\Box) \quad \Box(x \rightarrow \Box y) \approx \Diamond x \rightarrow \Box y, \quad (L6_\Diamond) \quad \Box(\Box x \rightarrow y) \approx \Box x \rightarrow \Box y,$$

and mFL_e is therefore the variety of monadic FL_e -algebras introduced in [29]. Let us just check $(L6_\Box)$, the proof for $(L6_\Diamond)$ being very similar. Consider any $a, b \in A$. Since $a \leq \Diamond a$, by $(L1_\Diamond)$, also $\Diamond a \rightarrow \Box b \leq a \rightarrow \Box b$. Hence, using $(L3_\Box)$, (\rightarrow_\Box) , and $(L5_\Box)$,

$$\Diamond a \rightarrow \Box b = \Box \Diamond a \rightarrow \Box b = \Box(\Box \Diamond a \rightarrow \Box b) = \Box(\Diamond a \rightarrow \Box b) \leq \Box(a \rightarrow \Box b).$$

Conversely, since $\Box(a \rightarrow \Box b) \leq a \rightarrow \Box b$, by $(L1_\Box)$, it follows by residuation that $a \leq \Box(a \rightarrow \Box b) \rightarrow \Box b$ and hence, using $(L5_\Diamond)$, $(L3_\Diamond)$, and (\rightarrow_\Diamond) ,

$$\Diamond a \leq \Diamond(\Box(a \rightarrow \Box b) \rightarrow \Box b) = \Box(a \rightarrow \Box b) \rightarrow \Box b.$$

By residuation again, $\Box(a \rightarrow \Box b) \leq \Diamond a \rightarrow \Box b$.

EXAMPLE 3.2. The variety mGA corresponds to the one-variable fragment of Corsi’s first-order logic of linear frames [6], whereas the variety of monadic Gödel algebras—axiomatized relative to mGA by the constant domain axiom—corresponds to the one-variable fragment of first-order Gödel logic, the first-order logic of linear frames with a constant domain [7]. Note, however, that the variety axiomatized relative to mMV by the constant domain axiom does not satisfy $\Diamond x \cdot \Diamond x \approx \Diamond(x \cdot x)$ and therefore properly contains the variety of monadic MV -algebras studied in [8, 12, 26]. Consider, for example, the MV -algebra $\mathbf{L}_3 = \langle \{0, \frac{1}{2}, 1\}, \wedge, \vee, \cdot, \rightarrow, 0, 1 \rangle$ (in the language of FL_e -algebras) with the usual order, where $a \cdot b := \max(0, a + b - 1)$

and $a \rightarrow b := \min(1, 1 - a + b)$. Let $\Box 0 = \Box \frac{1}{2} = \Diamond 0 = 0$ and $\Box 1 = \Diamond \frac{1}{2} = \Diamond 1 = 1$. Then $\langle \mathbf{L}_3, \Box, \Diamond \rangle \in \text{mMV}$ satisfies the constant domain axiom, but $\Diamond \frac{1}{2} \cdot \Diamond \frac{1}{2} = 1 \cdot 1 = 1 \neq 0 = \Diamond 0 = \Diamond(\frac{1}{2} \cdot \frac{1}{2})$.

We now provide a useful description of $\text{m-}\mathcal{L}$ -lattices that generalizes results in the literature for varieties such as monadic Heyting algebras [1] and monadic FL_e -algebras [29].

LEMMA 3.3. *Let $\langle \mathbf{A}, \Box, \Diamond \rangle$ be any $\text{m-}\mathcal{L}$ -lattice. Then $\Box A := \{\Box a \mid a \in A\}$ forms a subalgebra $\Box \mathbf{A}$ of \mathbf{A} , where $\Box A = \Diamond A := \{\Diamond a \mid a \in A\}$ and,*

$$\Box a = \max\{b \in \Box A \mid b \leq a\} \quad \text{and} \quad \Diamond a = \min\{b \in \Box A \mid a \leq b\}.$$

PROOF. The fact that $\Box A$ forms a subalgebra of \mathbf{A} follows directly using (\star_{\Box}) for each operation symbol \star of \mathcal{L} , and $\Box A = \Diamond A$ follows from (L3_{\Box}) and (L3_{\Diamond}) . Now consider any $a \in A$. If $b \in \Box A$ satisfies $b \leq a$, then $b = \Box b \leq \Box a$, by (L4_{\Box}) and (L5_{\Box}) . But $\Box a \leq a$, by (L1_{\Box}) , so $\Box a = \max\{b \in \Box A \mid b \leq a\}$. Analogous reasoning establishes that $\Diamond a = \min\{b \in \Box A \mid a \leq b\}$. \dashv

Let us call a sublattice \mathbf{L}_0 of a lattice \mathbf{L} *relatively complete* if for any $a \in L$, the set $\{b \in L_0 \mid b \leq a\}$ contains a maximum and the set $\{b \in L_0 \mid a \leq b\}$ contains a minimum. Equivalently, \mathbf{L}_0 is relatively complete if the inclusion map f_0 from $\langle L_0, \leq \rangle$ to $\langle L, \leq \rangle$ has left and right adjoints, that is, if there exist order-preserving maps $\Box : L \rightarrow L_0$ and $\Diamond : L \rightarrow L_0$ such that for all $a \in L$ and $b \in L_0$,

$$f_0(b) \leq a \iff b \leq \Box a \quad \text{and} \quad a \leq f_0(b) \iff \Diamond a \leq b.$$

Let us also say that a subalgebra \mathbf{A}_0 of an \mathcal{L} -lattice \mathbf{A} is relatively complete if this property holds with respect to their lattice reducts. In particular, by Lemma 3.3, the subalgebra $\Box \mathbf{A}$ of \mathbf{A} is relatively complete for any $\text{m-}\mathcal{L}$ -lattice $\langle \mathbf{A}, \Box, \Diamond \rangle$. The following result establishes a converse.

LEMMA 3.4. *Let \mathbf{A}_0 be a relatively complete subalgebra of an \mathcal{L} -lattice \mathbf{A} , and define $\Box_0 a := \max\{b \in A_0 \mid b \leq a\}$ and $\Diamond_0 a := \min\{b \in A_0 \mid a \leq b\}$ for each $a \in A$. Then $\langle \mathbf{A}, \Box_0, \Diamond_0 \rangle$ is an $\text{m-}\mathcal{L}$ -lattice and $\Box_0 A = \Diamond_0 A = A_0$.*

PROOF. It is straightforward to check that $\langle A, \wedge, \vee, \Box_0, \Diamond_0 \rangle$ is an m-lattice ; for example, it satisfies (L2_{\Box}) , since for any $a_1, a_2 \in A$,

$$\begin{aligned} \Box_0(a_1 \wedge a_2) &= \max\{b \in A_0 \mid b \leq a_1 \wedge a_2\} \\ &= \max\{b \in A_0 \mid b \leq a_1 \text{ and } b \leq a_2\} \\ &= \max\{b \in A_0 \mid b \leq a_1\} \wedge \max\{b \in A_0 \mid b \leq a_2\} \\ &= \Box_0 a_1 \wedge \Box_0 a_2. \end{aligned}$$

Since \mathbf{A}_0 is a subalgebra of \mathbf{A} , clearly $\langle \mathbf{A}, \Box_0, \Diamond_0 \rangle$ also satisfies (\star_{\Box}) . Hence $\langle \mathbf{A}, \Box_0, \Diamond_0 \rangle$ is an $\text{m-}\mathcal{L}$ -lattice and $\Box_0 A = \Diamond_0 A = A_0$. \dashv

Combining Lemmas 3.3 and 3.4 yields the following representation theorem for $m\mathcal{L}$ -lattices.

THEOREM 3.5. *Let \mathcal{K} be any class of \mathcal{L} -lattices. Then there exists a one-to-one correspondence between the members of $m\mathcal{K}$ and ordered pairs $\langle \mathbf{A}, \mathbf{A}_0 \rangle$ such that $\mathbf{A} \in \mathcal{K}$ and \mathbf{A}_0 is a relatively complete subalgebra of \mathbf{A} , implemented by the maps $\langle \mathbf{A}, \square, \diamond \rangle \mapsto \langle \mathbf{A}, \square \mathbf{A} \rangle$ and $\langle \mathbf{A}, \mathbf{A}_0 \rangle \mapsto \langle \mathbf{A}, \square_0, \diamond_0 \rangle$.*

Next, given any \mathcal{L} -lattice \mathbf{A} and set W , let \mathbf{A}^W be the \mathcal{L} -lattice with universe A^W , where the operations are defined pointwise.

PROPOSITION 3.6. *Let \mathbf{A} be an \mathcal{L} -lattice, W a set, and \mathbf{B} a subalgebra of \mathbf{A}^W such that for each $f \in B$, the elements $\bigwedge_{v \in W} f(v)$ and $\bigvee_{v \in W} f(v)$ exist in \mathbf{A} and the following constant functions belong to B :*

$$\square f : W \rightarrow A; u \mapsto \bigwedge_{v \in W} f(v) \quad \text{and} \quad \diamond f : W \rightarrow A; u \mapsto \bigvee_{v \in W} f(v).$$

Then $\langle \mathbf{B}, \square, \diamond \rangle$ is an $m\mathcal{L}$ -lattice, and if \mathbf{A} belongs to a class \mathcal{K} of \mathcal{L} -lattices closed under taking subalgebras and direct powers, $\langle \mathbf{B}, \square, \diamond \rangle \in m\mathcal{K}$.

PROOF. It is straightforward to check that $\langle B, \wedge, \vee, \square, \diamond \rangle$ satisfies $(L1_\square)$, $(L2_\square)$, $(L1_\diamond)$, and $(L2_\diamond)$. To confirm that $\langle \mathbf{B}, \square, \diamond \rangle$ is an $m\mathcal{L}$ -lattice—and therefore, if \mathbf{A} belongs to a class \mathcal{K} of \mathcal{L} -lattices closed under taking subalgebras and direct powers, a member of $m\mathcal{K}$ —observe that $\square f$ and $\diamond f$ are, by definition, constant functions for any $f \in B$. Hence $\langle B, \wedge, \vee, \square, \diamond \rangle$ clearly also satisfies $(L3_\square)$ and $(L3_\diamond)$. Moreover, for any $n \in \mathbb{N}$, $\star \in \mathcal{L}_n$, and $f_1, \dots, f_n \in B$, the function $\star(\square f_1, \dots, \square f_n)$ is constant and therefore equal to $\square(\star(\square f_1, \dots, \square f_n))$, so $\langle B, \wedge, \vee, \square, \diamond \rangle$ satisfies (\star_\square) . \dashv

Let us call an $m\mathcal{L}$ -lattice $\langle \mathbf{B}, \square, \diamond \rangle$ $\langle \mathbf{A}, W \rangle$ -functional if it is constructed as described in Proposition 3.6 for some \mathcal{L} -lattice \mathbf{A} and set W . Given any class of \mathcal{L} -lattices \mathcal{K} , we call an $m\mathcal{L}$ -lattice \mathcal{K} -functional if it is isomorphic to an $\langle \mathbf{A}, W \rangle$ -functional $m\mathcal{L}$ -lattice for some $\mathbf{A} \in \mathcal{K}$ and set W , omitting the prefix \mathcal{K} - if the class is clear from the context.

The following result identifies the semantics of one-variable first-order logics with evaluations into functional $m\mathcal{L}$ -lattices.

PROPOSITION 3.7. *Let \mathbf{A} be any \mathcal{L} -lattice.*

- (a) *Let $\mathfrak{S} = \langle S, \mathcal{I} \rangle$ be any \mathbf{A} -structure. Then $B := \{ \|\varphi\|^\mathfrak{S} \mid \varphi \in \text{Fm}_\vee^1(\mathcal{L}) \}$ forms an $\langle \mathbf{A}, S \rangle$ -functional $m\mathcal{L}$ -lattice \mathbf{B} and the \mathbf{B} -evaluation $g^\mathfrak{S}$, defined by setting $g^\mathfrak{S}(p_i) := \mathcal{I}(P_i)$ for each $i \in \mathbb{N}$, satisfies for all $\varphi, \psi \in \text{Fm}_\vee^1(\mathcal{L})$,*

$$g^\mathfrak{S}(\varphi^*) = \|\varphi\|^\mathfrak{S} \quad \text{and} \quad \mathfrak{S} \models \varphi \approx \psi \iff g^\mathfrak{S}(\varphi^*) = g^\mathfrak{S}(\psi^*).$$

- (b) Let \mathbf{B} be any $\langle \mathbf{A}, W \rangle$ -functional m - \mathcal{L} -lattice for some set W , and let g be any \mathbf{B} -evaluation. Then $\mathfrak{W} = \langle W, \mathcal{J} \rangle$, where $\mathcal{J}(P_i) := g(p_i)$ for each $i \in \mathbb{N}$, is an \mathbf{A} -structure satisfying for all $\varphi, \psi \in \text{Fm}_{\downarrow}^1(\mathcal{L})$,

$$g(\varphi^*) = \|\varphi\|^{\mathfrak{W}} \quad \text{and} \quad \mathfrak{W} \models \varphi \approx \psi \iff g(\varphi^*) = g(\psi^*).$$

PROOF. (a) To show that \mathbf{B} is $\langle \mathbf{A}, S \rangle$ -functional, it suffices to observe that for any $\|\varphi\|^{\mathfrak{S}} \in B$, since \mathfrak{S} is an \mathbf{A} -structure, the elements $\bigwedge \{ \|\varphi\|^{\mathfrak{S}}(v) \mid v \in S \}$ and $\bigvee \{ \|\varphi\|^{\mathfrak{S}}(v) \mid v \in S \}$ exist in \mathbf{A} and correspond to the constant functions $\|(\forall x)\varphi\|^{\mathfrak{S}} \in B$ and $\|(\exists x)\varphi\|^{\mathfrak{S}} \in B$, respectively. The fact that $g^{\mathfrak{S}}(\varphi^*) = \|\varphi\|^{\mathfrak{S}}$ for all $\varphi \in \text{Fm}_{\downarrow}^1(\mathcal{L})$, follows by an easy induction on the definition of φ , from which it follows also that $\mathfrak{S} \models \varphi \approx \psi \iff g^{\mathfrak{S}}(\varphi^*) = g^{\mathfrak{S}}(\psi^*)$, for all $\varphi, \psi \in \text{Fm}_{\downarrow}^1(\mathcal{L})$.

(b) Since \mathbf{B} is $\langle \mathbf{A}, W \rangle$ -functional, the elements $\bigwedge_{v \in W} f(v)$ and $\bigvee_{v \in W} f(v)$ exist in \mathbf{A} for every $f \in B$. We prove that $g(\varphi^*) = \|\varphi\|^{\mathfrak{W}}$, by induction on the definition of φ , from which it follows immediately that $\mathfrak{W} = \langle W, \mathcal{J} \rangle$ is an \mathbf{A} -structure and $\mathfrak{W} \models \varphi \approx \psi \iff g(\varphi^*) = g(\psi^*)$, for all $\varphi, \psi \in \text{Fm}_{\downarrow}^1(\mathcal{L})$. In particular, for the case where $\varphi = (\forall x)\psi$, using the induction hypothesis for the second line,

$$\begin{aligned} \|(\forall x)\psi\|^{\mathfrak{W}}(u) &= \bigwedge \{ \|\psi\|^{\mathfrak{W}}(v) \mid v \in W \} \\ &= \bigwedge \{ g(\psi^*)(v) \mid v \in W \} \\ &= \Box g(\psi^*)(u) \\ &= g(((\forall x)\psi)^*)(u). \end{aligned}$$

The case where $\varphi = (\exists x)\psi$ is very similar. ⊣

Let K be any class of \mathcal{L} -lattices and denote by fK the class of all K -functional m - \mathcal{L} -lattices. Then, as a direct consequence of Proposition 3.7, for any set of $\text{Fm}_{\downarrow}^1(\mathcal{L})$ -equations $T \cup \{ \varphi \approx \psi \}$,

$$T^* \models_{fK} \varphi^* \approx \psi^* \iff T \models_K^{\forall} \varphi \approx \psi.$$

If K is closed under taking subalgebras and direct powers, then $fK \subseteq mK$, by Proposition 3.6, and we obtain the following relationship between consequence in the first-order logic defined over K and consequence in the variety mK .

COROLLARY 3.8. *Let K be a class of \mathcal{L} -lattices closed under taking subalgebras and direct powers. Then for any set of $\text{Fm}_{\downarrow}^1(\mathcal{L})$ -equations $T \cup \{ \varphi \approx \psi \}$,*

$$T^* \models_{mK} \varphi^* \approx \psi^* \implies T \models_K^{\forall} \varphi \approx \psi.$$

Moreover, if every member of mK is K -functional (i.e., $fK = mK$), then

$$T^* \models_{mK} \varphi^* \approx \psi^* \iff T \models_K^\forall \varphi \approx \psi.$$

Let us remark that a stricter notion of a functional algebra for a class K of \mathcal{L} -lattices is considered in [2, 10] that coincides in our setting with the notion of being K^c -functional, where K^c is the class of complete members of K . That is, an m - \mathcal{L} -lattice $\langle \mathbf{B}, \square, \diamond \rangle$ is K^c -functional if it is isomorphic to a subalgebra of $\langle \mathbf{A}^W, \square, \diamond \rangle$ for some complete \mathcal{L} -lattice $\mathbf{A} \in K$ and set W , where \square and \diamond are defined as described in Proposition 3.6.

§4. A functional representation theorem. Adapting the proof of a similar result for Heyting Algebras [2, Theorem 3.6], we prove in this section that if a variety V of \mathcal{L} -lattices has the superamalgamation property, then every member of mV is V -functional, and hence, by Corollary 3.8, consequence in the one-variable first-order logic defined over V corresponds to consequence in mV .

We first recall the necessary algebraic notions. Let K be a class of \mathcal{L} -lattices. A V -formation in K is a 5-tuple $\langle \mathbf{A}, \mathbf{B}_1, \mathbf{B}_2, f_1, f_2 \rangle$ consisting of $\mathbf{A}, \mathbf{B}_1, \mathbf{B}_2 \in K$ and embeddings $f_1: \mathbf{A} \rightarrow \mathbf{B}_1, f_2: \mathbf{A} \rightarrow \mathbf{B}_2$. An amalgam in K of a V -formation $\langle \mathbf{A}, \mathbf{B}_1, \mathbf{B}_2, f_1, f_2 \rangle$ in K is a triple $\langle \mathbf{C}, g_1, g_2 \rangle$ consisting of $\mathbf{C} \in K$ and embeddings $g_1: \mathbf{B}_1 \rightarrow \mathbf{C}, g_2: \mathbf{B}_2 \rightarrow \mathbf{C}$ such that $g_1 \circ f_1 = g_2 \circ f_2$; it is called a *superamalgam* if also for any $b_1 \in B_1, b_2 \in B_2$ and distinct $i, j \in \{1, 2\}$,

$$g_i(b_i) \leq g_j(b_j) \implies g_i(b_i) \leq g_i \circ f_i(a) = g_j \circ f_j(a) \leq g_j(b_j) \text{ for some } a \in A.$$

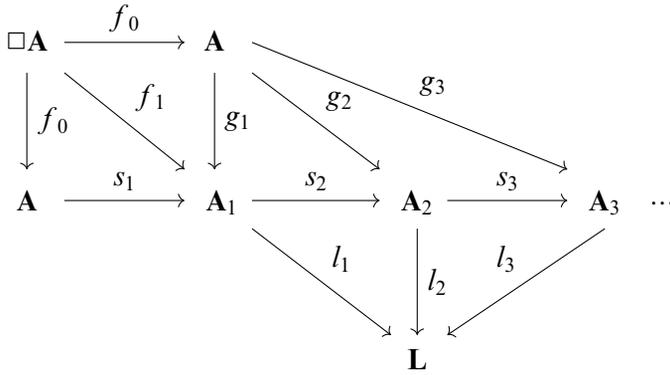
The class K is said to have the *superamalgamation property* if every V -formation in K has a superamalgam in K .

THEOREM 4.1. *Let K be a class of \mathcal{L} -lattices that is closed under taking direct limits and subalgebras, and has the superamalgamation property. Then every member of mK is functional.*

PROOF. Consider any $\langle \mathbf{A}, \square, \diamond \rangle \in mK$. Then $\mathbf{A} \in K$ and, since K is closed under taking subalgebras, also $\square\mathbf{A} \in K$. We let $W := \mathbb{N}^{>0}$ and define inductively a sequence of \mathcal{L} -lattices $\langle \mathbf{A}_i \rangle_{i \in W}$ in K and sequences of \mathcal{L} -lattice embeddings $\langle f_i: \square\mathbf{A} \rightarrow \mathbf{A}_i \rangle_{i \in W}, \langle g_i: \mathbf{A} \rightarrow \mathbf{A}_i \rangle_{i \in W}, \langle s_i: \mathbf{A}_{i-1} \rightarrow \mathbf{A}_i \rangle_{i \in W}$.

Let $\mathbf{A}_0 := \mathbf{A}$ and let $f_0: \square\mathbf{A} \rightarrow \mathbf{A}$ be the inclusion map. For each $i \in W$, there exists inductively, by assumption, a superamalgam $\langle \mathbf{A}_i, s_i, g_i \rangle$ of the V -formation $\langle \square\mathbf{A}, \mathbf{A}_{i-1}, \mathbf{A}, f_{i-1}, f_0 \rangle$, and we define also $f_i := s_i \circ f_{i-1} = g_i \circ f_0 = g_i|_{\square\mathbf{A}}$.

Now let \mathbf{L} be the direct limit of the system $\langle \langle \mathbf{A}_i, s_i \rangle \rangle_{i \in W}$ with an associated sequence of \mathcal{L} -lattice embeddings $\langle l_i: \mathbf{A}_i \rightarrow \mathbf{L} \rangle_{i \in W}$. Since K is closed under taking direct limits, \mathbf{L} belongs to K . The first two superamalgamation steps of this construction are depicted in the following diagram:



Since the operations of \mathbf{L}^W are defined pointwise, $B := \{\langle l_i \circ g_i(a) \rangle_{i \in W} \mid a \in A\}$ is the universe of a subalgebra \mathbf{B} of \mathbf{L}^W . We can also show that for each $a \in A$, the elements

$$\bigwedge_{j \in W} l_j \circ g_j(a) \quad \text{and} \quad \bigvee_{j \in W} l_j \circ g_j(a)$$

exist in L and hence that $\langle \mathbf{B}, \square, \diamond \rangle$, with \square and \diamond defined in Proposition 3.6, is an $\langle \mathbf{L}, W \rangle$ -functional $m\mathcal{L}$ -lattice. Let $a \in A$ and fix some $i \in W$. It suffices to show that $l_i \circ g_i(\square a)$ and $l_i \circ g_i(\diamond a)$ are the greatest lower bound and the least upper bound, respectively, of $S := \{l_j \circ g_j(a) \mid j \in W\}$. Observe first that for any $k \in W$,

$$l_k \circ g_k(\square a) = l_k \circ f_k(\square a) = l_{k+1} \circ s_{k+1} \circ f_k(\square a) = l_{k+1} \circ g_{k+1}(\square a),$$

where the first and last equations follow from the definition of f_k and the second follows from the fact that \mathbf{L} is a direct limit. Hence for each $j \in W$,

$$l_i \circ g_i(\square a) = l_j \circ g_j(\square a) \leq l_j \circ g_j(a).$$

So $l_i \circ g_i(\square a)$ is a lower bound of S . Now suppose that $c \in L$ is another lower bound of S . Since \mathbf{L} is a direct limit, there exist $k \in W$ and $d \in A_k$ such that

$$l_{k+1} \circ s_{k+1}(d) = l_k(d) = c \leq l_{k+1} \circ g_{k+1}(a).$$

Since l_{k+1} is an embedding, $s_{k+1}(d) \leq g_{k+1}(a)$. Hence, since $\langle \mathbf{A}_{k+1}, s_{k+1}, g_{k+1} \rangle$ is a superamalgam of $\langle \square \mathbf{A}, \mathbf{A}_k, \mathbf{A}, f_k, f_0 \rangle$, there exists $b \in \square A$ such that

$$s_{k+1}(d) \leq s_{k+1} \circ f_k(b) = g_{k+1} \circ f_0(b) \leq g_{k+1}(a).$$

But s_{k+1} and g_{k+1} are embeddings and f_0 is the inclusion map, so $d \leq f_k(b)$ and $b \leq a$. The latter inequality together with $b \in \square A$, yields $b = \square b \leq \square a$.

Hence also $f_k(b) \leq f_k(\Box a) = g_k(\Box a)$, and, using the first inequality,

$$c = l_k(d) \leq l_k \circ f_k(b) \leq l_k \circ g_k(\Box a) = l_i \circ g_i(\Box a).$$

So $\bigwedge_{j \in W} l_j \circ g_j(a) = l_i \circ g_i(\Box a)$ exists in L and the constant function $\langle l_i \circ g_i(\Box a) \rangle_{i \in W}$ belongs to B . Also, symmetrically, $\bigvee_{j \in W} l_j \circ g_j(a) = l_i \circ g_i(\Diamond a)$ exists in L and the constant function $\langle l_i \circ g_i(\Diamond a) \rangle_{i \in W}$ belongs to B .

To show that $\langle \mathbf{A}, \Box, \Diamond \rangle$ is functional, it remains to prove that the following map is an isomorphism:

$$f: \langle \mathbf{A}, \Box, \Diamond \rangle \rightarrow \langle \mathbf{B}, \Box, \Diamond \rangle; \quad a \mapsto \langle l_i \circ g_i(a) \rangle_{i \in W}.$$

Since the operations of \mathbf{L}^W are defined pointwise and l_i and g_i are \mathcal{L} -lattice embeddings for each $i \in W$, also f is an \mathcal{L} -lattice embedding. Clearly, it is onto, by the definition of B . Moreover, recalling that $l_i \circ g_i(\Box a) = \bigwedge_{j \in W} l_j \circ g_j(a)$ for each $a \in A$, it follows that

$$f(\Box a) = \langle l_i \circ g_i(\Box a) \rangle_{i \in W} = \langle \bigwedge_{j \in W} l_j \circ g_j(a) \rangle_{i \in W} = \Box \langle l_i \circ g_i(a) \rangle_{i \in W} = \Box f(a),$$

and, similarly, $f(\Diamond a) = \Diamond f(a)$. ⊢

Combining Theorem 4.1 with Corollary 3.8 yields the following result.

COROLLARY 4.2. *If \mathbf{V} is a variety of \mathcal{L} -lattices that has the superamalgamation property, then for any set $T \cup \{\varphi \approx \psi\}$ of $\text{Fm}_{\mathbf{V}}^{\downarrow}(\mathcal{L})$ -equations,*

$$T \models_{\mathbf{V}}^{\downarrow} \varphi \approx \psi \iff T^* \models_{\text{m}\mathbf{V}} \varphi^* \approx \psi^*.$$

EXAMPLE 4.3. The variety of lattices has the superamalgamation property [15]. Hence, by Theorem 4.1, every m-lattice is functional, and consequence in the one-variable first-order lattice logic corresponds to consequence in m-lattices.

EXAMPLE 4.4. FL_e , FL_{ew} , and FL_{ec} , and many other varieties of FL_e -algebras have the superamalgamation property, which is equivalent in this setting to the Craig interpolation property for the associated substructural logic (see, e.g., [13]). Hence, for any such variety \mathbf{V} —notably, for $\mathbf{V} \in \{\text{FL}_e, \text{FL}_{ew}, \text{FL}_{ec}\}$ —every member of $\text{m}\mathbf{V}$ is functional, and consequence in the one-variable first-order substructural logic defined over \mathbf{V} corresponds to consequence in $\text{m}\mathbf{V}$.

EXAMPLE 4.5. A normal modal logic has the Craig interpolation property if and only if the associated variety of modal algebras—Boolean algebras with an operator—has the superamalgamation property [19]. Moreover, there exist infinitely many such logics [25], including well-known cases such as K, KT, K4, and S4. Hence our results yield axiomatizations for the one-

variable fragments of infinitely many first-order logics defined over varieties of modal algebras.

Suppose finally that K is a class of \mathcal{L} -lattices that is not only closed under taking direct limits and subalgebras and has the superamalgamation property, but also admits regular completions. In this case, we can adapt the proof of Theorem 4.1 to show that every member of K is K^c -functional, which—as noted at the end of Section 3—corresponds to the stricter notion of a functional algebra considered in [2, 10]. Just observe that, given some $\langle \mathbf{A}, \square, \diamond \rangle \in \text{m}K$, the direct limit $\mathbf{L} \in K$ constructed in the proof embeds into some $\bar{\mathbf{L}} \in K^c$ and hence, reasoning as before, $\langle \mathbf{A}, \square, \diamond \rangle$ is isomorphic to a subalgebra of $\langle \bar{\mathbf{L}}^W, \square, \diamond \rangle$.

§5. A proof-theoretic strategy. In this section, we describe an alternative proof-theoretic strategy for establishing completeness of axiomatizations for one-variable fragments of first-order logics. The key step is to prove that a derivation of a one-variable formula in a sequent calculus for the first-order logic can be transformed into a derivation that uses just one variable. To illustrate, we consider the first-order version of the full Lambek calculus with exchange FL_e , then extend the method to a broader family of first-order substructural logics.

The crucial feature of the first-order version of FL_e needed for our approach is the fact that it can be presented as a *cut-free* sequent calculus with the standard rules for quantifiers. Any derivation of a one-variable formula φ in this calculus will therefore consist of sequents containing only subformulas of φ with some free occurrences of the variable x replaced by other variables. In particular, the derivation will not introduce any new occurrences of quantifiers or bound variables, but may introduce free variables not occurring in φ via the rules for the universal quantifier on the right and the existential quantifier on the left. Hence, to reason about derivations of one-variable formulas, we may consider a fragment of the sequent calculus restricted to formulas that contain only unary predicates and one bound variable, but may contain further free variables.

More formally, let $\text{Fm}_{\forall}^{1+}(\mathcal{L}_s)$ be the set of first-order formulas built inductively using unary predicates $\{P_i\}_{i \in \mathbb{N}}$, variables $\{x\} \cup \{x_i\}_{i \in \mathbb{N}}$, connectives in \mathcal{L}_s , and quantifiers $(\forall x)$ and $(\exists x)$. Clearly, $\text{Fm}_{\forall}^1(\mathcal{L}_s) \subseteq \text{Fm}_{\forall}^{1+}(\mathcal{L}_s)$. We write $\varphi(\bar{w})$ to denote that the free variables of $\varphi \in \text{Fm}_{\forall}^{1+}(\mathcal{L}_s)$ belong to a set \bar{w} , and indicate by $\varphi(\bar{w}, y)$ that y is not among the variables in \bar{w} .

For the purposes of this paper, we define a *sequent* to be an ordered pair of finite multisets of formulas Γ, Δ in $\text{Fm}_{\forall}^{1+}(\mathcal{L}_s)$, denoted by $\Gamma \Rightarrow \Delta$, such that Δ contains at most one \mathcal{L}_s -formula.³ As usual, we denote the

³The full Lambek calculus with exchange is typically presented using sequents consisting of finite *sequences* of formulas and an “exchange rule” to permute formulas (see, e.g., [20]).

multiset sum of two finite multisets of formulas Γ_1 and Γ_2 by Γ_1, Γ_2 , and the empty multiset by an empty space. We also define, for $n \in \mathbb{N}^{>0}$ and $\varphi_1, \dots, \varphi_n, \psi \in \text{Fm}_{\forall}^{1+}(\mathcal{L}_s)$,

$$\prod(\varphi_1, \dots, \varphi_n) := \varphi_1 \cdots \varphi_n, \quad \prod() := e, \quad \sum(\psi) := \psi, \quad \sum() := f.$$

We write $\Gamma(\bar{w})$ to denote that the free variables occurring in a finite multiset of formulas Γ belong to a set \bar{w} .

The sequent calculus $\forall 1\text{FL}_e$ is displayed in Figure 1, where the quantifier rules are subject to the following side-conditions:

- (i) If the conclusion of an application of $(\forall \Rightarrow)$ or $(\Rightarrow \exists)$ contains at least one free occurrence of a variable, then the variable u occurring in its premise also occurs freely in its conclusion.
- (ii) The variable y occurring in the premise of an application of $(\Rightarrow \forall)$ or $(\exists \Rightarrow)$ does not occur freely in its conclusion.

If there exists a derivation d of a sequent $\Gamma \Rightarrow \Delta$ in a sequent calculus S , we write $d \vdash_S \Gamma \Rightarrow \Delta$ or simply $\vdash_S \Gamma \Rightarrow \Delta$.

The following relationship between derivability of sequents in $\forall 1\text{FL}_e$ and (first-order) validity of equations in FL_e is a direct consequence of the completeness of a cut-free sequent calculus for the first-order version of FL_e .

PROPOSITION 5.1 (cf. [18, 23]). *For any sequent $\Gamma \Rightarrow \Delta$ containing formulas from $\text{Fm}_{\forall}^1(\mathcal{L}_s)$,*

$$\vdash_{\forall 1\text{FL}_e} \Gamma \Rightarrow \Delta \iff \vDash_{\text{FL}_e}^{\forall} \prod \Gamma \leq \sum \Delta.$$

We now establish an interpolation property for the calculus $\forall 1\text{FL}_e$. For any derivation d of a sequent in $\forall 1\text{FL}_e$, let $\text{md}(d)$ denote the maximum number of applications of the rules $(\Rightarrow \forall)$ and $(\exists \Rightarrow)$ that occur on a branch of d . Note that the assumption in the following lemma that no variable in $\bar{w} \cup \{y, z\}$ lies in the scope of a quantifier is required for the proof to ensure that any formula $(\forall x)\varphi(x)$ or $(\exists x)\varphi(x)$ occurring in d contains no free variables; however, in order to deal with the cases of $(\Rightarrow \forall)$ and $(\exists \Rightarrow)$, the formula $\chi(\bar{w}) \in \text{Fm}_{\forall}^{1+}(\mathcal{L}_s)$ is not required to satisfy this condition.

LEMMA 5.2. *Let $\Gamma(\bar{w}, y), \Pi(\bar{w}, z) \Rightarrow \Delta(\bar{w}, z)$ be any sequent such that $y \neq z$, $x \notin \bar{w} \cup \{y, z\}$, and no variable in $\bar{w} \cup \{y, z\}$ lies in the scope of a quantifier. If $d \vdash_{\forall 1\text{FL}_e} \Gamma(\bar{w}, y), \Pi(\bar{w}, z) \Rightarrow \Delta(\bar{w}, z)$, then there exist $\chi(\bar{w}) \in \text{Fm}_{\forall}^{1+}(\mathcal{L}_s)$ and derivations d_1, d_2 in $\forall 1\text{FL}_e$ such that $\text{md}(d_1), \text{md}(d_2) \leq \text{md}(d)$ and*

$$d_1 \vdash_{\forall 1\text{FL}_e} \Gamma(\bar{w}, y) \Rightarrow \chi(\bar{w}), \quad d_2 \vdash_{\forall 1\text{FL}_e} \Pi(\bar{w}, z), \chi(\bar{w}) \Rightarrow \Delta(\bar{w}, z).$$

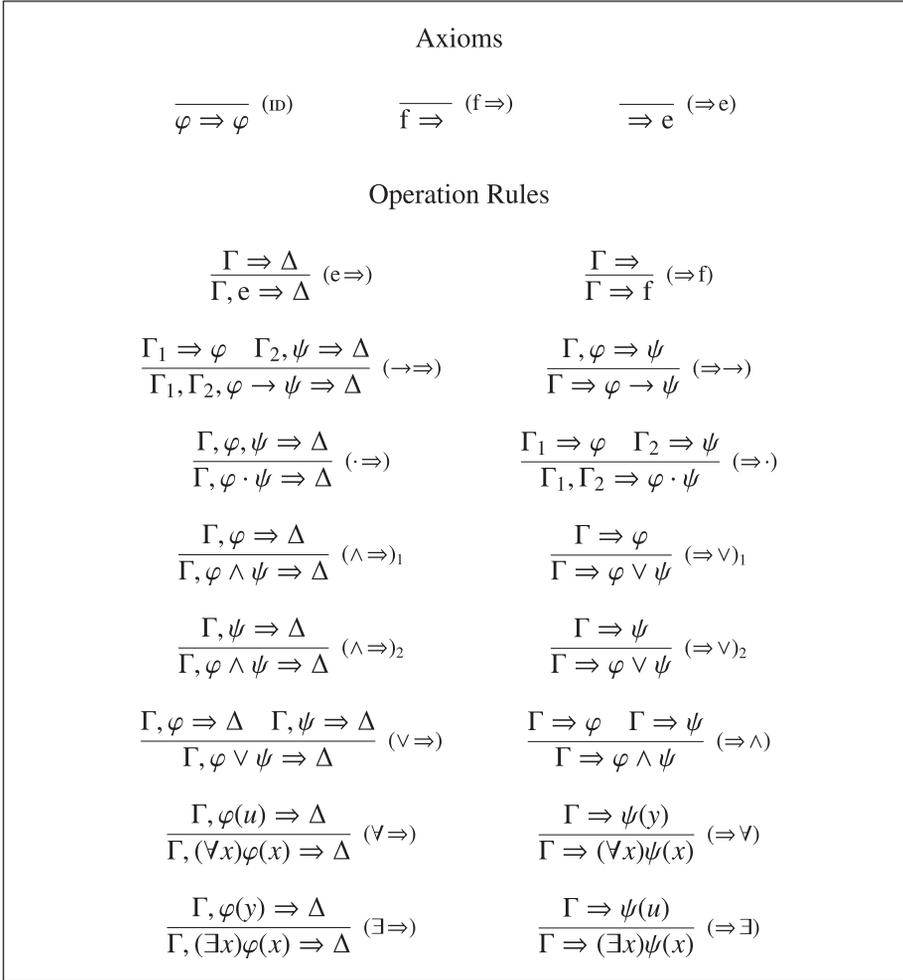


FIGURE 1. The sequent calculus $\forall 1FL_e$.

PROOF. By a straightforward inspection of the rules of $\forall 1FL_e$, no variable in $\bar{w} \cup \{y, z\}$ can lie in the scope of a quantifier in a sequent occurring in a derivation in $\forall 1FL_e$ of $\Gamma(\bar{w}, y), \Pi(\bar{w}, z) \Rightarrow \Delta(\bar{w}, z)$. We prove the claim by induction on the height of d , considering in turn the last rule applied in the derivation.

Observe first that if y does not occur in Γ , we can define $\chi(\bar{w}) := \prod \Gamma$, and obtain a derivation d_1 of $\Gamma(\bar{w}, y) \Rightarrow \chi(\bar{w})$, ending with repeated applications of $(\Rightarrow \cdot)$, $(\Rightarrow e)$, and (ID) , and a derivation d_2 of $\Pi(\bar{w}, z), \chi(\bar{w}) \Rightarrow \Delta(\bar{w}, z)$ that extends d with repeated applications of $(\cdot \Rightarrow)$ and $(e \Rightarrow)$, such that $md(d_1) = 0$ and $md(d_2) = md(d)$. Similarly, if z does not occur in Π, Δ , we can define $\chi(\bar{w}) := \prod \Pi \rightarrow \sum \Delta$, and obtain a derivation d_1 of $\Gamma(\bar{w}, y) \Rightarrow \chi(\bar{w})$ that extends d with repeated applications of $(\cdot \Rightarrow)$,

($e \Rightarrow$), and ($\Rightarrow f$), followed by an application of ($\Rightarrow \rightarrow$), and a derivation d_2 of $\Pi(\bar{w}, z), \chi(\bar{w}) \Rightarrow \Delta(\bar{w}, z)$ ending with repeated applications of (ID), ($\Rightarrow \cdot$), ($\Rightarrow e$), and ($f \Rightarrow$), followed by an application of ($\rightarrow \Rightarrow$), such that $md(d_1) = md(d)$ and $md(d_2) = 0$.

For the base cases where d ends with (ID), ($\Rightarrow e$), or ($f \Rightarrow$), either y does not occur in Γ or z does not occur in Π, Δ . For the remainder of the proof, let us assume that y occurs in Γ and z occurs in Π, Δ . The cases where d ends with an operational rule for one of the propositional connectives are all straightforward, so let us just consider ($\rightarrow \Rightarrow$) as an example.

Suppose for the first subcase that $\Gamma(\bar{w}, y)$ is $\Gamma_1(\bar{w}, y), \Gamma_2(\bar{w}, y), \varphi(\bar{w}, y) \rightarrow \psi(\bar{w}, y)$ and $\Pi(\bar{w}, z)$ is $\Pi_1(\bar{w}, z), \Pi_2(\bar{w}, z)$, and

$$\begin{aligned} d'_1 \vdash_{\text{vIFLe}} \Gamma_1(\bar{w}, y), \Pi_1(\bar{w}, z) &\Rightarrow \varphi(\bar{w}, y), \\ d'_2 \vdash_{\text{vIFLe}} \Gamma_2(\bar{w}, y), \psi(\bar{w}, y), \Pi_2(\bar{w}, z) &\Rightarrow \Delta(\bar{w}, z), \end{aligned}$$

where $md(d'_1), md(d'_2) \leq md(d)$. Two applications of the induction hypothesis produce $\chi_1(\bar{w}), \chi_2(\bar{w}) \in \text{Fm}_{\forall}^{1+}(\mathcal{L}_s)$ and derivations $d'_{11}, d'_{12}, d'_{21}, d'_{22}$ such that $md(d'_{11}), md(d'_{12}) \leq md(d'_1), md(d'_{21}), md(d'_{22}) \leq md(d'_2)$, and

$$\begin{aligned} d'_{11} \vdash_{\text{vIFLe}} \Gamma_1(\bar{w}, y), \chi_1(\bar{w}) &\Rightarrow \varphi(\bar{w}, y), \quad d'_{12} \vdash_{\text{vIFLe}} \Pi_1(\bar{w}, z) \Rightarrow \chi_1(\bar{w}), \\ d'_{21} \vdash_{\text{vIFLe}} \Gamma_2(\bar{w}, y), \psi(\bar{w}, y) &\Rightarrow \chi_2(\bar{w}), \quad d'_{22} \vdash_{\text{vIFLe}} \Pi_2(\bar{w}, z), \chi_2(\bar{w}) \Rightarrow \Delta(\bar{w}, z). \end{aligned}$$

Let $\chi(\bar{w}) := \chi_1(\bar{w}) \rightarrow \chi_2(\bar{w})$. Then d'_{11} and d'_{21} , together with applications of ($\rightarrow \Rightarrow$) and ($\Rightarrow \rightarrow$), and d'_{12} and d'_{22} , together with an application of ($\rightarrow \Rightarrow$), yield derivations d_1 and d_2 , respectively, such that $md(d_1), md(d_2) \leq md(d)$ and

$$\begin{aligned} d_1 \vdash_{\text{vIFLe}} \Gamma_1(\bar{w}, y), \Gamma_2(\bar{w}, y), \varphi(\bar{w}, y) &\rightarrow \psi(\bar{w}, y) \Rightarrow \chi(\bar{w}), \\ d_2 \vdash_{\text{vIFLe}} \Pi_1(\bar{w}, z), \Pi_2(\bar{w}, z), \chi(\bar{w}) &\Rightarrow \Delta(\bar{w}, z). \end{aligned}$$

For the second subcase, suppose that $\Gamma(\bar{w}, y)$ is $\Gamma_1(\bar{w}, y), \Gamma_2(\bar{w}, y)$ and $\Pi(\bar{w}, z)$ is $\Pi_1(\bar{w}, z), \Pi_2(\bar{w}, z), \varphi(\bar{w}, z) \rightarrow \psi(\bar{w}, z)$, and

$$\begin{aligned} d'_1 \vdash_{\text{vIFLe}} \Gamma_1(\bar{w}, y), \Pi_1(\bar{w}, z) &\Rightarrow \varphi(\bar{w}, z), \\ d'_2 \vdash_{\text{vIFLe}} \Gamma_2(\bar{w}, y), \Pi_2(\bar{w}, z), \psi(\bar{w}, z) &\Rightarrow \Delta(\bar{w}, z), \end{aligned}$$

where $md(d'_1), md(d'_2) \leq md(d)$. Two applications of the induction hypothesis produce $\chi_1(\bar{w}), \chi_2(\bar{w}) \in \text{Fm}_{\forall}^{1+}(\mathcal{L}_s)$ and derivations $d'_{11}, d'_{12}, d'_{21}, d'_{22}$ such that $md(d'_{11}), md(d'_{12}) \leq md(d'_1), md(d'_{21}), md(d'_{22}) \leq md(d'_2)$, and

$$\begin{aligned} d'_{11} \vdash_{\text{vIFLe}} \Gamma_1(\bar{w}, y) \Rightarrow \chi_1(\bar{w}), \quad d'_{12} \vdash_{\text{vIFLe}} \Pi_1(\bar{w}, z), \chi_1(\bar{w}) &\Rightarrow \varphi(\bar{w}, z), \\ d'_{21} \vdash_{\text{vIFLe}} \Gamma_2(\bar{w}, y) \Rightarrow \chi_2(\bar{w}), \quad d'_{22} \vdash_{\text{vIFLe}} \Pi_2(\bar{w}, z), \psi(\bar{w}, z), \chi_2(\bar{w}) &\Rightarrow \Delta(\bar{w}, z). \end{aligned}$$

Let $\chi(\bar{w}) := \chi_1(\bar{w}) \cdot \chi_2(\bar{w})$. Then d'_{11} and d'_{21} , together with an application of ($\Rightarrow \cdot$), and d'_{12} and d'_{22} , together with applications of ($\rightarrow \Rightarrow$) and ($\cdot \Rightarrow$),

yield derivations d_1 and d_2 , respectively, such that $\text{md}(d_1), \text{md}(d_2) \leq \text{md}(d)$ and

$$\begin{aligned} d_1 &\vdash_{\forall\text{IFL}_e} \Gamma_1(\bar{w}, y), \Gamma_2(\bar{w}, y) \Rightarrow \chi(\bar{w}), \\ d_2 &\vdash_{\forall\text{IFL}_e} \Pi_1(\bar{w}, z), \Pi_2(\bar{w}, z), \varphi(\bar{w}, z) \rightarrow \psi(\bar{w}, z), \chi(\bar{w}) \Rightarrow \Delta(\bar{w}, z). \end{aligned}$$

Next, we consider all the cases where d ends with an application of one of the quantifier rules.

- $(\forall \Rightarrow)$: Suppose first that $\Gamma(\bar{w}, y)$ is $\Gamma'(\bar{w}, y), (\forall x)\varphi(x)$ and

$$d' \vdash_{\forall\text{IFL}_e} \Gamma'(\bar{w}, y), \varphi(u), \Pi(\bar{w}, z) \Rightarrow \Delta(\bar{w}, z),$$

where $\text{md}(d') = \text{md}(d)$ and, using the assumption that no other variable lies in the scope of a quantifier, x is the only variable occurring in φ . Since y occurs in Γ and z occurs in Π, Δ , it follows from side-condition (i) for $(\forall \Rightarrow)$ that $u \in \bar{w} \cup \{y, z\}$. For the first subcase, suppose that $u \in \bar{w} \cup \{y\}$. An application of the induction hypothesis produces $\chi(\bar{w}) \in \text{Fm}_{\forall}^{1+}(\mathcal{L}_s)$ and derivations d'_1, d_2 such that $\text{md}(d'_1), \text{md}(d_2) \leq \text{md}(d')$ and

$$d'_1 \vdash_{\forall\text{IFL}_e} \Gamma'(\bar{w}, y), \varphi(u) \Rightarrow \chi(\bar{w}), \quad d_2 \vdash_{\forall\text{IFL}_e} \Pi(\bar{w}, z), \chi(\bar{w}) \Rightarrow \Delta(\bar{w}, z).$$

If u occurs in $\Gamma'(\bar{w}, y), \chi(\bar{w})$, then extending d'_1 with an application of $(\forall \Rightarrow)$ yields a derivation d_1 such that $\text{md}(d_1) = \text{md}(d'_1) \leq \text{md}(d') = \text{md}(d)$ and

$$d_1 \vdash_{\forall\text{IFL}_e} \Gamma'(\bar{w}, y), (\forall x)\varphi(x) \Rightarrow \chi(\bar{w}).$$

Otherwise, by substituting u uniformly with y in d'_1 , we obtain a derivation of $\Gamma'(\bar{w}, y), \varphi(y) \Rightarrow \chi(\bar{w})$ and obtain d_1 as described previously.

For the second subcase, consider $u = z$. An application of the induction hypothesis produces $\chi'(\bar{w}) \in \text{Fm}_{\forall}^{1+}(\mathcal{L}_s)$ and derivations d'_1, d'_2 such that $\text{md}(d'_1), \text{md}(d'_2) \leq \text{md}(d')$ and

$$d'_1 \vdash_{\forall\text{IFL}_e} \Gamma'(\bar{w}, y) \Rightarrow \chi'(\bar{w}), \quad d'_2 \vdash_{\forall\text{IFL}_e} \varphi(z), \Pi(\bar{w}, z), \chi'(\bar{w}) \Rightarrow \Delta(\bar{w}, z).$$

Let $\chi(\bar{w}) := \chi'(\bar{w}) \cdot (\forall x)\varphi(x)$. Combining an instance $(\forall x)\varphi(x) \Rightarrow (\forall x)\varphi(x)$ of (ID) with d'_1 and an application of $(\Rightarrow \cdot)$ yields a derivation d_1 such that $\text{md}(d_1) = \text{md}(d'_1) \leq \text{md}(d') = \text{md}(d)$ and

$$d_1 \vdash_{\forall\text{IFL}_e} \Gamma'(\bar{w}, y), (\forall x)\varphi(x) \Rightarrow \chi(\bar{w}).$$

Also, d'_2 extended with applications of $(\forall \Rightarrow)$ and $(\cdot \Rightarrow)$ yields a derivation d_2 such that $\text{md}(d_2) = \text{md}(d'_2) \leq \text{md}(d') = \text{md}(d)$ and

$$d_2 \vdash_{\forall\text{IFL}_e} \Pi(\bar{w}, z), \chi(\bar{w}) \Rightarrow \Delta(\bar{w}, z).$$

Suppose next that $\Pi(\bar{w}, z)$ is $\Pi'(\bar{w}, z)$, $(\forall x)\varphi(x)$ and

$$d' \vdash_{\forall\text{IFL}_e} \Gamma(\bar{w}, y), \Pi'(\bar{w}, z), \varphi(u) \Rightarrow \Delta(\bar{w}, z),$$

where $\text{md}(d') = \text{md}(d)$ and x is the only variable occurring in φ . Since y occurs in Γ and z occurs in Π, Δ , it follows from side-condition (i) for $(\forall \Rightarrow)$ that $u \in \bar{w} \cup \{y, z\}$. The case of $u \in \bar{w} \cup \{z\}$ is very similar to the first subcase above, so consider $u = y$. An application of the induction hypothesis produces $\chi'(\bar{w}) \in \text{Fm}_{\forall}^{1+}(\mathcal{L}_s)$ and derivations d'_1, d'_2 such that $\text{md}(d'_1), \text{md}(d'_2) \leq \text{md}(d')$ and

$$d'_1 \vdash_{\forall\text{IFL}_e} \Gamma(\bar{w}, y), \varphi(y) \Rightarrow \chi'(\bar{w}), \quad d'_2 \vdash_{\forall\text{IFL}_e} \Pi'(\bar{w}, z), \chi'(\bar{w}) \Rightarrow \Delta(\bar{w}, z).$$

Let $\chi(\bar{w}) := (\forall x)\varphi(x) \rightarrow \chi'(\bar{w})$. Extending d'_1 with applications of $(\forall \Rightarrow)$ and $(\Rightarrow \rightarrow)$ yields a derivation d_1 such that $\text{md}(d_1) = \text{md}(d'_1) \leq \text{md}(d') = \text{md}(d)$ and

$$d_1 \vdash_{\forall\text{IFL}_e} \Gamma(\bar{w}, y) \Rightarrow \chi(\bar{w}).$$

Also, d'_2 and an instance $(\forall x)\varphi(x) \Rightarrow (\forall x)\varphi(x)$ of (ID) combined with an application of $(\rightarrow \Rightarrow)$ yield a derivation d_2 such that $\text{md}(d_2) = \text{md}(d'_2) \leq \text{md}(d') = \text{md}(d)$ and

$$d_2 \vdash_{\forall\text{IFL}_e} \Pi'(\bar{w}, z), (\forall x)\varphi(x), \chi(\bar{w}) \Rightarrow \Delta(\bar{w}, z).$$

- $(\Rightarrow \forall)$: Suppose that $\Delta(\bar{w}, z)$ is $(\forall x)\varphi(x)$ and for some variable u that does not occur freely in $\Gamma(\bar{w}, y), \Pi(\bar{w}, z) \Rightarrow (\forall x)\varphi(x)$,

$$d' \vdash_{\forall\text{IFL}_e} \Gamma(\bar{w}, y), \Pi(\bar{w}, z) \Rightarrow \varphi(u),$$

where $\text{md}(d') = \text{md}(d) - 1$ and x is the only variable occurring in φ . An application of the induction hypothesis produces $\chi'(\bar{w}, u) \in \text{Fm}_{\forall}^{1+}(\mathcal{L}_s)$ and derivations d'_1, d'_2 such that $\text{md}(d'_1), \text{md}(d'_2) \leq \text{md}(d')$ and

$$d'_1 \vdash_{\forall\text{IFL}_e} \Gamma(\bar{w}, y) \Rightarrow \chi'(\bar{w}, u), \quad d'_2 \vdash_{\forall\text{IFL}_e} \Pi(\bar{w}, z), \chi'(\bar{w}, u) \Rightarrow \varphi(u).$$

Let $\chi(\bar{w}) := (\forall x)\chi'(\bar{w}, x)$. Extending d'_1 with an application of $(\Rightarrow \forall)$ yields a derivation d_1 such that $\text{md}(d_1) = \text{md}(d'_1) + 1 \leq \text{md}(d') + 1 = \text{md}(d)$ and

$$d_1 \vdash_{\forall\text{IFL}_e} \Gamma(\bar{w}, y) \Rightarrow \chi(\bar{w}).$$

Also, extending d'_2 with applications of $(\forall \Rightarrow)$ and $(\Rightarrow \forall)$ yield a derivation d_2 such that $\text{md}(d_2) = \text{md}(d'_2) + 1 \leq \text{md}(d') + 1 = \text{md}(d)$ and

$$d_2 \vdash_{\forall\text{IFL}_e} \Pi(\bar{w}, z), \chi(\bar{w}) \Rightarrow (\forall x)\varphi(x).$$

- $(\Rightarrow \exists)$: Suppose that $\Delta(\bar{w}, z)$ is $(\exists x)\varphi(x)$ and

$$d' \vdash_{\forall\text{IFL}_e} \Gamma(\bar{w}, y), \Pi(\bar{w}, z) \Rightarrow \varphi(u),$$

where $\text{md}(d') = \text{md}(d)$ and x is the only variable occurring in φ . Since y occurs in Γ and z occurs in Π, Δ , it follows from side-condition (i) for $(\Rightarrow \exists)$ that $u \in \bar{w} \cup \{y, z\}$. For the first subcase, suppose that $u \in \bar{w} \cup \{z\}$. An application of the induction hypothesis produces $\chi(\bar{w}) \in \text{Fm}_{\nabla}^{1+}(\mathcal{L}_s)$ and derivations d_1, d'_2 such that $\text{md}(d_1), \text{md}(d'_2) \leq \text{md}(d')$ and

$$d_1 \vdash_{\nabla\text{IFL}_e} \Gamma(\bar{w}, y) \Rightarrow \chi(\bar{w}), \quad d'_2 \vdash_{\nabla\text{IFL}_e} \Pi(\bar{w}, z), \chi(\bar{w}) \Rightarrow \varphi(u).$$

If u occurs in $\Pi(\bar{w}, z), \chi(\bar{w})$, then extending d'_2 with an application of $(\Rightarrow \exists)$ yields a derivation d_2 such that $\text{md}(d_2) = \text{md}(d'_2) \leq \text{md}(d')$ and

$$d_2 \vdash_{\nabla\text{IFL}_e} \Pi(\bar{w}, z), \chi(\bar{w}) \Rightarrow (\exists x)\varphi(x).$$

Otherwise, by substituting u uniformly with z in d'_2 , we obtain a derivation of $\Pi(\bar{w}, z), \chi(\bar{w}) \Rightarrow \varphi(z)$ and obtain d_2 as described previously.

For the second subcase, consider $u = y$. An application of the induction hypothesis produces $\chi'(\bar{w}) \in \text{Fm}_{\nabla}^{1+}(\mathcal{L}_s)$ and derivations d'_1, d'_2 such that $\text{md}(d'_1), \text{md}(d'_2) \leq \text{md}(d')$ and

$$d'_1 \vdash_{\nabla\text{IFL}_e} \Pi(\bar{w}, z) \Rightarrow \chi'(\bar{w}), \quad d'_2 \vdash_{\nabla\text{IFL}_e} \Gamma(\bar{w}, y), \chi'(\bar{w}) \Rightarrow \varphi(y).$$

Let $\chi(\bar{w}) := \chi'(\bar{w}) \rightarrow (\exists x)\varphi(x)$. Combining d'_2 with applications of $(\Rightarrow \exists)$ and $(\Rightarrow \rightarrow)$ yields a derivation d_1 such that $\text{md}(d_1) = \text{md}(d'_2) \leq \text{md}(d') = \text{md}(d)$ and

$$d_1 \vdash_{\nabla\text{IFL}_e} \Gamma(\bar{w}, y) \Rightarrow \chi(\bar{w}).$$

Also, combining the instance $(\exists x)\varphi(x) \Rightarrow (\exists x)\varphi(x)$ of (ID) and d'_1 with $(\rightarrow \Rightarrow)$ yields a derivation d_2 such that $\text{md}(d_2) = \text{md}(d'_1) \leq \text{md}(d') = \text{md}(d)$ and

$$d_2 \vdash_{\nabla\text{IFL}_e} \Pi(\bar{w}, z), \chi(\bar{w}) \Rightarrow (\exists x)\varphi(x).$$

- $(\exists \Rightarrow)$: Suppose first that $\Gamma(\bar{w}, y)$ is $\Gamma'(\bar{w}, y), (\exists x)\varphi(x)$ and for some variable u that does not occur freely in $\Gamma(\bar{w}, y), \Pi(\bar{w}, z) \Rightarrow \Delta(\bar{w}, z)$,

$$d' \vdash_{\nabla\text{IFL}_e} \Gamma'(\bar{w}, y), \varphi(u), \Pi(\bar{w}, z) \Rightarrow \Delta(\bar{w}, z),$$

where $\text{md}(d') = \text{md}(d) - 1$ and x is the only variable occurring in φ . An application of the induction hypothesis produces $\chi'(\bar{w}, u) \in \text{Fm}_{\nabla}^{1+}(\mathcal{L}_s)$ and derivations d'_1, d'_2 such that $\text{md}(d'_1), \text{md}(d'_2) \leq \text{md}(d')$ and

$$d'_1 \vdash_{\nabla\text{IFL}_e} \Gamma'(\bar{w}, y), \varphi(u) \Rightarrow \chi'(\bar{w}, u), \quad d'_2 \vdash_{\nabla\text{IFL}_e} \Pi(\bar{w}, z), \chi'(\bar{w}, u) \Rightarrow \Delta(\bar{w}, z).$$

Let $\chi(\bar{w}) := (\exists x)\chi'(\bar{w}, x)$. Combining d'_1 with applications of $(\Rightarrow \exists)$ and $(\exists \Rightarrow)$ yields a derivation d_1 such that $\text{md}(d_1) = \text{md}(d'_1) + 1 \leq \text{md}(d') + 1 = \text{md}(d)$ and

$$d_1 \vdash_{\forall\text{IFL}_e} \Gamma'(\bar{w}, y), (\exists x)\varphi(x) \Rightarrow \chi(\bar{w}).$$

Also, extending d'_2 with an application of $(\exists \Rightarrow)$ yields a derivation d_2 such that $\text{md}(d_2) = \text{md}(d'_2) + 1 \leq \text{md}(d') + 1 = \text{md}(d)$ and

$$d_2 \vdash_{\forall\text{IFL}_e} \Pi(\bar{w}, z), \chi(\bar{w}) \Rightarrow \Delta(\bar{w}, z).$$

Now suppose that $\Pi(\bar{w}, z)$ is $\Pi'(\bar{w}, z), (\exists x)\varphi(x)$ and for some variable u that does not occur freely in $\Gamma(\bar{w}, y), \Pi(\bar{w}, z) \Rightarrow \Delta(\bar{w}, z)$,

$$d' \vdash_{\forall\text{IFL}_e} \Gamma(\bar{w}, y), \Pi'(\bar{w}, z), \varphi(u) \Rightarrow \Delta(\bar{w}, z),$$

where $\text{md}(d') = \text{md}(d) - 1$ and x is the only variable occurring in φ . An application of the induction hypothesis produces $\chi'(\bar{w}, u) \in \text{Fm}_{\forall}^{1+}(\mathcal{L}_s)$ and derivations d'_1, d'_2 such that $\text{md}(d'_1), \text{md}(d'_2) \leq \text{md}(d')$ and

$$d'_1 \vdash_{\forall\text{IFL}_e} \Gamma(\bar{w}, y) \Rightarrow \chi'(\bar{w}, u), \quad d'_2 \vdash_{\forall\text{IFL}_e} \Pi'(\bar{w}, z), \varphi(u), \chi'(\bar{w}, u) \Rightarrow \Delta(\bar{w}, z).$$

Let $\chi(\bar{w}) := (\forall x)\chi'(\bar{w}, x)$. The derivation d'_1 together with an application of $(\Rightarrow \forall)$ yields a derivation d_1 such that $\text{md}(d_1) = \text{md}(d'_1) + 1 \leq \text{md}(d') + 1 = \text{md}(d)$ and

$$d_1 \vdash_{\forall\text{IFL}_e} \Gamma(\bar{w}, y) \Rightarrow \chi(\bar{w}).$$

Also, d'_2 together with applications of $(\forall \Rightarrow)$ and $(\exists \Rightarrow)$ yields a derivation d_2 such that $\text{md}(d_2) = \text{md}(d'_2) + 1 \leq \text{md}(d') + 1 = \text{md}(d)$ and

$$d_2 \vdash_{\forall\text{IFL}_e} \Pi(\bar{w}, y), (\exists x)\varphi(x), \chi(\bar{w}) \Rightarrow \Delta(\bar{w}, z). \quad \dashv$$

Using this lemma we can now reprove, by proof-theoretic means, the special case of Corollary 4.2 for the variety FL_e .

THEOREM 5.3. *For any set $T \cup \{\varphi \approx \psi\}$ of $\text{Fm}_{\forall}^1(\mathcal{L}_s)$ -equations,*

$$T \vDash_{\text{FL}_e}^{\forall} \varphi \approx \psi \iff T^* \vDash_{\text{mFL}_e} \varphi^* \approx \psi^*.$$

PROOF. The right-to-left direction follows directly from Corollary 3.8. For the converse, note first that due to compactness and the local deduction theorem for $\vDash_{\forall}^{\forall}$ (see [11, Sections 4.6 and 4.8]), we can restrict to the case where $T = \emptyset$. Hence, by Proposition 5.1, it suffices to prove that for any sequent $\Gamma \Rightarrow \Delta$ consisting only of formulas from $\text{Fm}_{\forall}^1(\mathcal{L}_s)$,

$$d \vdash_{\forall\text{IFL}_e} \Gamma \Rightarrow \Delta \implies \vDash_{\text{mFL}_e} (\prod \Gamma)^* \leq (\sum \Delta)^*.$$

We proceed by induction on the lexicographically ordered pair $\langle \text{md}(d), \text{ht}(d) \rangle$, where $\text{ht}(d)$ is the height of the derivation d . The base cases are clear and the cases for the last application of a rule in d except $(\Rightarrow \forall)$ and $(\exists \Rightarrow)$ all follow by applying the induction hypothesis and the equations defining mFL_e . Just note that for each such application, the

premises contain only formulas from $\text{Fm}_{\forall}^1(\mathcal{L}_s)$ with at least one fewer symbol. In particular, for $(\forall \Rightarrow)$ and $(\Rightarrow \exists)$, it can be assumed that the variable u occurring in the premise is x and the result follows using $(L1_{\square})$ or $(L1_{\diamond})$.

Suppose now that the last rule applied in d is $(\Rightarrow \forall)$, where Δ is $(\forall x)\psi(x)$ and x may occur freely in Γ . Then $d' \vdash_{\forall\text{FL}_e} \Gamma \Rightarrow \psi(z)$ with $\text{md}(d') = \text{md}(d) - 1$, where z is a variable distinct from x . We write $\Gamma(y)$ and $d'(y)$ to denote Γ and d' with all free occurrences of x replaced by y . Clearly, $d'(y) \vdash_{\forall\text{FL}_e} \Gamma(y) \Rightarrow \psi(z)$ with $\text{md}(d'(y)) = \text{md}(d')$. Note also that no occurrence of y or z lies in the scope of a quantifier in $\Gamma(y) \Rightarrow \psi(z)$. Hence, by Lemma 5.2, there exist a sentence χ and derivations d_1, d_2 such that $\text{md}(d_1), \text{md}(d_2) \leq \text{md}(d')$ and

$$d_1 \vdash_{\forall\text{FL}_e} \Gamma(y) \Rightarrow \chi, \quad d_2 \vdash_{\forall\text{FL}_e} \chi \Rightarrow \psi(z).$$

Since χ is a sentence and x does not occur freely in $\Gamma(y)$ or $\psi(z)$, we can assume that d_1 and d_2 do not contain any free occurrences of x , and, by substituting all occurrences of y in d_1 , and z in d_2 , with x , obtain derivations d'_1 of $\Gamma \Rightarrow \chi$ and d'_2 of $\chi \Rightarrow \psi(x)$ with $\text{md}(d'_1) = \text{md}(d_1)$ and $\text{md}(d'_2) = \text{md}(d_2)$. Hence, by the induction hypothesis twice, $\models_{\text{mFL}_e} (\prod \Gamma)^* \leq \chi^*$ and $\models_{\text{mFL}_e} \chi^* \leq \psi(x)^*$. Since $((\forall x)\chi)^* = \square\chi^*$ and χ is a sentence, $\models_{\text{mFL}_e} \chi^* \approx ((\forall x)\chi)^*$, and hence the equations defining mFL_e yield also $\models_{\text{mFL}_e} \chi^* \leq ((\forall x)\psi(x))^*$. So $\models_{\text{mFL}_e} (\prod \Gamma)^* \leq ((\forall x)\psi(x))^*$.

Suppose finally that the last rule applied in d is $(\exists \Rightarrow)$, where Γ is $\Gamma', (\exists x)\psi(x)$ and x may occur freely in Γ' and Δ . Then $d' \vdash_{\forall\text{FL}_e} \Gamma', \psi(y) \Rightarrow \Delta$ with $\text{md}(d') = \text{md}(d) - 1$, where y is a variable distinct from x . We write $\Gamma'(z), \Delta(z)$, and $d'(z)$ to denote Γ', Δ , and d' with all free occurrences of x replaced by z . Clearly, $d'(z) \vdash_{\forall\text{FL}_e} \Gamma'(z), \psi(y) \Rightarrow \Delta(z)$ with $\text{md}(d'(z)) = \text{md}(d')$. By Lemma 5.2, there exist a sentence χ and derivations d_1, d_2 such that $\text{md}(d_1), \text{md}(d_2) \leq \text{md}(d')$ and

$$d_1 \vdash_{\forall\text{FL}_e} \psi(y) \Rightarrow \chi, \quad d_2 \vdash_{\forall\text{FL}_e} \Gamma'(z), \chi \Rightarrow \Delta(z).$$

Since χ is a sentence and x does not occur freely in $\psi(y), \Gamma'(z)$, or $\Delta(z)$, we can assume that d_1 and d_2 do not contain any free occurrences of x , and, by substituting all occurrences of y in d_1 , and z in d_2 , with x , obtain derivations d'_1 of $\psi(x) \Rightarrow \chi$ and d'_2 of $\Gamma', \chi \Rightarrow \Delta$ with $\text{md}(d'_1) = \text{md}(d_1)$ and $\text{md}(d'_2) = \text{md}(d_2)$. Hence, by the induction hypothesis, $\models_{\text{mFL}_e} \psi(x)^* \leq \chi^*$ and $\models_{\text{mFL}_e} (\prod(\Gamma', \chi))^* \leq (\sum \Delta)^*$. Since $((\exists x)\chi)^* = \diamond\chi^*$ and χ is a sentence, $\models_{\text{mFL}_e} \chi^* \approx ((\exists x)\chi)^*$, and hence the equations defining mFL_e yield also $\models_{\text{mFL}_e} ((\exists x)\psi(x))^* \leq \chi^*$. So $\models_{\text{mFL}_e} (\prod(\Gamma', (\exists x)\psi(x)))^* \leq (\sum \Delta)^*$. \dashv

The proof-theoretic strategy described above extends easily to varieties of FL_e -algebras axiomatized relative to FL_e by equations of a certain simple form. Given a variable x , let $x^0 := e$ and $x^{k+1} := x \cdot x^k$, for each $k \in \mathbb{N}$, and given a multiset Π and $k \in \mathbb{N}$, let Π^k denote the multiset union of k copies

of Π . Now let S be the set of equations $\{x \leq x^k \mid k \in \mathbb{N}\} \cup \{f \leq x\}$, and define sequent rules

$$r(x \leq x^k) := \frac{\Gamma, \Pi^k \Rightarrow \Delta}{\Gamma, \Pi \Rightarrow \Delta} \quad \text{and} \quad r(f \leq x) := \frac{\Gamma \Rightarrow}{\Gamma \Rightarrow \Delta}.$$

Given any $S' \subseteq S$, denote by $\text{FL}_e + S'$ the variety of FL_e -algebras axiomatized relative to FL_e by the equations in S' , and by $\forall 1\text{FL}_e + r(S')$ the sequent calculus $\forall 1\text{FL}_e$ extended with the rules $r(\varepsilon)$ for each equation ε in S' . Then for any sequent $\Gamma \Rightarrow \Delta$ containing formulas from Fm_{\forall}^1 (see, e.g., [18, 23]),

$$\vdash_{\forall 1\text{FL}_e + r(S')} \Gamma \Rightarrow \Delta \iff \vDash_{\text{FL}_e + S'}^{\forall} \prod \Gamma \leq \sum \Delta.$$

Moreover, the additional cases required to adapt the proof of Lemma 5.2 to $\forall 1\text{FL}_e + r(S')$ are straightforward, since each application of a rule $r(\varepsilon)$ for $\varepsilon \in S'$ has just one premise. Hence, following the proof of Theorem 5.3 yields the following more general result.

THEOREM 5.4. *For any $S' \subseteq S$ and set $T \cup \{\varphi \approx \psi\}$ of $\text{Fm}_{\forall}^1(\mathcal{L})$ -equations,*

$$T \vDash_{\text{FL}_e + S'}^{\forall} \varphi \approx \psi \iff T^* \vDash_{\text{mFL}_e + S'} \varphi^* \approx \psi^*.$$

In particular, we obtain alternative proof-theoretic proofs of completeness for the axiomatizations of the one-variable fragments of the first-order extensions of FL_{ew} , FL_{ec} , and FL_{ewc} (intuitionistic logic).

§6. Concluding remarks. Let us conclude this paper by mentioning some interesting directions for further research. The most general challenge for a class \mathbf{K} of \mathcal{L} -lattices may be stated as follows: provide a (natural) axiomatization of the equational consequence relation $\vDash_{\mathbf{K}}^{\forall}$, or, equivalently, in algebraic terms, provide a (natural) axiomatization of the generalized quasivariety generated by the class of all $\langle \mathbf{A}, W \rangle$ -functional $\text{m-}\mathcal{L}$ -lattices where $\mathbf{A} \in \mathbf{K}$ and W is any set. In this paper, we have shown that when \mathbf{K} is a variety of \mathcal{L} -lattices that has the superamalgamation property, the required generalized quasivariety is the variety mK of $\text{m-}\mathcal{L}$ -lattices (Corollary 4.2), axiomatized relative to \mathbf{K} by a set of axioms familiar from modal logic. However, if \mathbf{K} lacks the superamalgamation property or is not a variety, further axioms may be required.

One potential generalization is to consider varieties of \mathcal{L} -lattices that have the weaker *super generalized amalgamation property*, which corresponds for substructural logics (even those without exchange) to the Craig interpolation property [13]. In particular, such a result would yield an axiomatization for the one-variable fragment of the first-order version of the full Lambek Calculus FL, although we conjecture that completeness would hold only for valid equations and not consequences. Alternatively, such a generalization might be established proof-theoretically for first-order versions of substructural logics like FL that have a cut-free sequent calculus,

by lifting the proof-theoretic strategy presented in Section 5 to sequents based on sequences of formulas.

A further interesting line of inquiry concerns the case where K consists of the totally ordered members of a variety of \mathcal{L} -lattices, and hence forms a positive universal class. First, let V be any variety of *semilinear* FL_e -algebras: \mathcal{L}_s -lattices that are isomorphic to a subdirect product of totally ordered FL_e -algebras. It is not hard to show that in this case, $\models_V^\forall (\exists x)\varphi \cdot (\exists x)\varphi \approx (\exists x)(\varphi \cdot \varphi)$. However, if $\mathbb{L}_3 \in V$ (e.g., if V is MV or the variety of all semilinear FL_e -algebras), then $\not\models_{mV} \diamond x \cdot \diamond x \approx \diamond(x \cdot x)$, as proved in Example 3.2, so mV does not correspond to the one-variable fragment of the first-order logic based on V .

Now let V_{to} be the class of totally ordered members of V . Then not only $\models_{V_{to}}^\forall (\exists x)\varphi \cdot (\exists x)\varphi \approx (\exists x)(\varphi \cdot \varphi)$, but also $\models_{V_{to}}^\forall (\forall x)(\varphi \vee \psi) \approx (\forall x)\varphi \vee \psi$, where x does not occur in ψ . Although a general approach to obtaining axiomatizations of the one-variable fragments of the first-order logics based on V and V_{to} is lacking, success for specific cases indicate a possible way forward. Most notably, the one-variable fragment of first-order Łukasiewicz logic can be defined over the class MV_{to} of totally ordered MV-algebras and corresponds to the variety of monadic MV-algebras, defined relative to mMV by $\diamond x \cdot \diamond x \approx \diamond(x \cdot x)$ and $\Box(\Box x \vee y) \approx \Box x \vee \Box y$ [26]. Interestingly, a proof of this latter result is given in [8] using the fact that MV_{to} has the amalgamation property (see also [21, 29] for related results), suggesting that the approach developed in this paper might be adapted to one-variable fragments of first-order logics based on classes of totally ordered algebras that have the amalgamation property.

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