

# ORDER AND SCHWARTZ DISTRIBUTIONS†

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**Introduction.** The space  $\mathcal{D}'$  of Schwartz distributions on the unit circle  $\Gamma$  in the plane is topologically a considerable generalization of the space  $\mathcal{D}'_0$  of regular, finite Borel measures on  $\Gamma$ . However, the order structure of  $\mathcal{D}'$  is usually taken to be the same as that of  $\mathcal{D}'_0$ : there are no "positive" distributions which are not measures. This perhaps warrants consideration, since the order structure of  $\mathcal{D}'_0$  generates its topology. In this paper we construct a system of order structures for  $\mathcal{D}'$  which is a more natural complement in the intermediate stages to the topology of  $\mathcal{D}'$  and which provides an interpretation of  $\mathcal{D}'$  with its Schwartz topology as a quotient of a generalized base norm space  $V'$ . Where  $\mathcal{D}_0$  denotes the space of continuous functions on  $\Gamma$  with its supremum norm topology,  $V'$  is the dual of  $\prod_{n=0}^{\infty} \mathcal{D}_0$ . The space  $\Pi \mathcal{D}_0$  contains the infinitely differentiable functions on  $\Gamma$  with their usual topology, and (via the pointwise ordering on  $\mathcal{D}_0$ )  $\Pi \mathcal{D}_0$  in its product ordering is realized as a generalized order unit space. Some consequences for harmonic functions are discussed.

We cite [5] and [9] for general information on partial orderings for topological vector spaces and [10] for general information on Schwartz distributions.

**1. Partial Order Structures on  $\mathcal{D}_n$  and  $\mathcal{D}'_n$ .** We recall that the Banach space  $\mathcal{D}_0$  of real continuous functions on  $\Gamma$  with the norm

$$\|f\|_0 = \sup \{|f(x)| : x \in \Gamma\}$$

for  $f$  in  $\mathcal{D}_0$  and positive cone  $\mathcal{D}_{0+}$  of pointwise non-negative members of  $\mathcal{D}_0$  is an order unit space (and an  $M$ -space). Where  $\mathcal{D}'_{0+}$  denotes the set of linear functionals  $\phi$  on  $\mathcal{D}_0$  satisfying  $\phi(f) \geq 0$  for all  $f$  in  $\mathcal{D}_{0+}$  and  $(\mathcal{D}'_0, \|\cdot\|'_0)$  is the Banach dual of  $(\mathcal{D}_0, \|\cdot\|_0)$ , the system  $(\mathcal{D}'_0, \mathcal{D}_{0+}, \|\cdot\|'_0)$  is a base norm space (and an  $L$ -space). The order unit 1 in  $\mathcal{D}_{0+}$  can be taken as the generator of  $\|\cdot\|_0$  (i.e.,  $\|\cdot\|_0$  is the Minkowski functional on the order interval

$$[-1, 1]_0 = \{f \in \mathcal{D}_0 : -1 \leq f \leq 1\}.$$

The Riesz Representation Theorem states that  $(\mathcal{D}'_0, \|\cdot\|'_0)$  can be identified with the space of regular, finite Borel measures on  $\Gamma$  with total variation norm and that  $\mathcal{D}'_{0+}$  can be identified with the non-negative measures in  $\mathcal{D}'_0$ . The base of probability measures on  $\Gamma$  for  $\mathcal{D}'_{0+}$  corresponds to the order unit 1.

Let  $\mathcal{D}_n$  ( $n = 1, 2, \dots$ ) be the space of  $n$ -times continuously differentiable functions on  $\Gamma$  with the norm

$$\|f\|_n = \|f\|_0 + \|f^{(1)}\|_0 + \dots + \|f^{(n)}\|_0,$$

† Some of the results in this paper appear in the second author's dissertation (Syracuse University, 1971) written under the supervision of Professor Guy Johnson, Jr.

where  $f^{(j)}$  is the  $j$ th derivative of the function  $f$  in  $\mathcal{D}_n$ . Then  $\{\mathcal{D}_n\}_{n=0}^\infty$  is a decreasing sequence of Banach spaces with successively increasing norms. The corresponding dual spaces form an increasing sequence  $\{\mathcal{D}'_n\}_{n=0}^\infty$  with successively decreasing norms, which we will denote by  $\|\cdot\|'_n$  for  $n = 1, 2, \dots$ . Let  $\mathcal{D}$  denote  $\bigcap_{n=0}^\infty \mathcal{D}_n$  with the projective limit (Schwartz) topology. The space  $\mathcal{D}'$  of Schwartz distributions on  $\Gamma$  is the topological dual of  $\mathcal{D}$ . Moreover,  $\mathcal{D}' = \bigcup_{n=0}^\infty \mathcal{D}'_n$  and the Schwartz topology on  $\mathcal{D}'$  is the inductive limit topology. One defines "derivatives" of members  $\phi$  of  $\mathcal{D}'$  by stipulating that

$$\phi^{(n)}(f) = (-1)^n \phi(f^{(n)})$$

for all  $f$  in  $\mathcal{D}$ , this being essentially an extension of the formula for integration by parts.

We induce the order structures of  $\mathcal{D}_0$  and  $\mathcal{D}'_0$  onto  $\mathcal{D}_n$  and  $\mathcal{D}'_n$ , respectively, in a way which is compatible both to their usual topologies and with the natural embeddings  $\mathcal{D}_n \subseteq \mathcal{D}_{n-1}$  and  $\mathcal{D}'_n \supseteq \mathcal{D}'_{n-1}$ . All order structures to be considered evolve from the map  $M$  of the following proposition. Here,  $\bar{f}$  denotes the mean value

$$(1/2\pi) \int_0^{2\pi} f(t) dt$$

and  $f^{(n)}$  denotes the  $n$ th derivative of  $f$ . The choice of  $M$  is motivated by the desire to convert differentiation in  $\mathcal{D}$  into an isomorphism whose dual produces the derivatives in  $\mathcal{D}'$  and whose inverse preserves the order structure of  $\mathcal{D}_0$ .

**PROPOSITION 1.** *Let  $M : \mathcal{D}_1 \rightarrow \mathcal{D}_0$  be defined by  $M(f) = \bar{f} - \pi f^{(1)}$ . Then  $M(1) = 1$  and for each  $n = 1, 2, \dots$*

- (1)  $M|_{\mathcal{D}_n}$  is an isomorphism of  $\mathcal{D}_0$  onto  $\mathcal{D}_{n-1}$ ;
- (2)  $M$  establishes an isomorphism  $M^n$  of  $\mathcal{D}_n$  onto  $\mathcal{D}_0$ , with  $M^n(f) = \bar{f} + (-\pi)^n f^{(n)}$ .

*Proof.* Trivially,  $M(1) = 1$ . For (1),  $M$  and hence  $M|_{\mathcal{D}_n}$  for each  $n$  is clearly linear. If  $\bar{f} - \pi f^{(1)} = 0$ , then  $f^{(1)}$  is the constant function  $\bar{f}/\pi$ . Since, by Rolle's Theorem,  $f^{(1)}(x_1) = 0$  for some  $x_1$  in  $\Gamma$ , then  $f^{(1)}(x) = \bar{f} = 0$  for all  $x$  in  $\Gamma$  and  $f$  is a constant. This constant, being its own mean value, must be zero. Then  $M$ , and hence  $M|_{\mathcal{D}_n}$  for each  $n$ , is one-to-one. To see that  $M$  is onto, let  $g$  be in  $\mathcal{D}_0$  and let

$$k_g = \left( \int_0^{2\pi} dx \int_0^x g(t) dt \right) / 2\pi^2.$$

Define

$$f(x) = \left[ \left( x\bar{g} - \int_0^x g(t) dt \right) / \pi \right] + k_g.$$

Then  $f$  is in  $\mathcal{D}_1$  and  $\bar{f} = \bar{g}$ , so that  $M(f) = \bar{f} - \pi f^{(1)} = g$ . For  $g$  in  $\mathcal{D}_{n-1}$  this construction produces a function  $f$  in  $\mathcal{D}_n$ ; hence,  $M|_{\mathcal{D}_n}$  is onto  $\mathcal{D}_{n-1}$  for each  $n$ . For (2), define

$M^n : \mathcal{D}_n \rightarrow \mathcal{D}_0$  to be the composite

$$(M | \mathcal{D}_1) \circ (M | \mathcal{D}_2) \circ \dots \circ (M | \mathcal{D}_n).$$

Since each factor is an isomorphism, so is  $M^n$ . The formula for  $M^n$  follows by induction.

It will be convenient also to denote the restriction  $M^n | \mathcal{D}_{n+j}$  for all integers  $j \geq 0$  by  $M^n$ ; with the conventions that  $M^0$  is the identity operator on  $\mathcal{D}_0$  and that  $M^{-n}$  is the inverse of  $M^n$ , we will use the laws of integral exponents freely on  $M$ . Of course,  $M^n(1) = 1$  for all  $n$ . Since  $M^{-n}$  is an isomorphism ( $n = 1, 2, \dots$ ), the set  $M^{-n}\mathcal{D}_{0+}$  is a positive cone for  $\mathcal{D}_n$ . We will denote this positive cone by  $\mathcal{D}_{n+}$ ; thus  $f$  is in  $\mathcal{D}_{n+}$  if and only if

$$\bar{f} + (-\pi)^n f^{(n)} = M^n f \geq 0.$$

We also define a norm for  $\mathcal{D}_n$  by

$$\|f\|_n = \|M^n f\|_0 = \sup \{ |\bar{f} + (-\pi)^n f^{(n)}(x)| : x \in \Gamma \}.$$

Thus  $(\mathcal{D}_n, \mathcal{D}_{n+}, \|\cdot\|_n)$  is an  $M$ -space with order unit 1 isometric and order-isomorphic to  $(\mathcal{D}_0, \mathcal{D}_{0+}, \|\cdot\|_0)$ .

**PROPOSITION 2.** *The positive cones  $\{\mathcal{D}_{n+}\}_{n=0}^\infty$  form a decreasing sequence. The norms  $\{\|\cdot\|_n\}_{n=0}^\infty$  successively increase.*

*Proof.* Let  $f$  be in  $\mathcal{D}_{1+}$  and suppose  $f(x_0) \leq 0$  for some  $x_0$  in  $\Gamma$ . By translating  $f$  we can assume  $x_0 = 0$ . Since  $Mf \geq 0$ , the function

$$h(x) = \int_0^x (Mf)(t) dt = x\bar{f} - \pi[f(x) - f(0)]$$

is non-negative and non-decreasing, with  $\bar{h} = \pi f(0)$ . Since  $f(0) \leq 0$  then  $h$  must vanish—i.e.,  $x\bar{f} - \pi[f(x) - f(0)] = 0$ ; since  $f$  is periodic it must also vanish. Thus  $\mathcal{D}_{1+} \subseteq \mathcal{D}_{0+}$ . If  $f$  is in  $\mathcal{D}_{n+}$  for  $n > 1$  then  $M^{n-1}f$  is in  $\mathcal{D}_{1+}$  and hence in  $\mathcal{D}_{0+}$  so that  $f$  is in  $\mathcal{D}_{(n-1)+}$ . Thus  $\{\mathcal{D}_{n+}\}_{n=0}^\infty$  is decreasing. It now follows that the order intervals

$$[-1, 1]_n = \{f \in \mathcal{D}_n : -1 \leq M^n f \leq 1\}$$

form a decreasing sequence

$$[-1, 1]_0 \supseteq [-1, 1]_1 \supseteq \dots \supseteq [-1, 1]_n \supseteq \dots$$

Since  $[-1, 1]_n$  is also the unit ball in  $(\mathcal{D}_n, \|\cdot\|_n)$  ( $n = 0, 1, 2, \dots$ ) the norms increase with  $n$ .

**PROPOSITION 3.** *The norms  $\|\cdot\|_n$  and  $\|\|\cdot\|\|_n$  are equivalent.*

*Proof.* (Suprema will be taken over all  $x$  in  $\Gamma$ .) Clearly

$$\|f\|_0 \leq \|\|f\|\|_n \quad \text{and} \quad \|f^{(n)}\|_0 \leq \|\|f\|\|_n.$$

Since  $\bar{f} = f(x_0)$  for some  $x_0$  in  $\Gamma$ ,

$$|\bar{f}| \leq \|f\|_0 \leq \|\|f\|\|_n.$$

Thus

$$\begin{aligned} \|f\|_n &= \sup |\bar{f} + (-\pi)^n f^{(n)}(x)| \\ &\leq |\bar{f}| + \pi^n \sup |f^{(n)}(x)| \\ &\leq (1 + \pi^n) \|f\|_n. \end{aligned}$$

On the other hand,

$$\begin{aligned} \|f\|_n &= \sup |\bar{f} + (-\pi)^n f^{(n)}(x)| \\ &\geq \sup (\pi^n |f^{(n)}(x)| - |\bar{f}|) \\ &= \pi^n \|f^{(n)}\|_0 - |\bar{f}|. \end{aligned}$$

Thus

$$\pi^n \|f^{(n)}\|_0 \leq \|f\|_n + |\bar{f}| \leq 2\|f\|_n,$$

so that  $\|f^{(n)}\|_0 \leq 2\pi^{-n} \|f\|_n$ . Then

$$\begin{aligned} \|f\|_n &= \|f\|_0 + \|f^{(1)}\|_0 + \dots + \|f^{(n)}\|_0 \\ &\leq \|f\|_0 + 2\pi^{-1} \|f\|_1 + \dots + 2\pi^{-n} \|f\|_n \\ &\leq (1 + 2\pi^{-1} + \dots + 2\pi^{-n}) \|f\|_n, \end{aligned}$$

this last step by Proposition 2.

We now wish to dualize and summarize the results of this section. For a linear functional  $\phi$  on  $\mathcal{D}_n$ , let

$$\|\phi\|'_n = \sup \{ |\phi(f)| : f \in \mathcal{D}_n, \|f\| \leq 1 \}.$$

Let us presume the Schwartz notation  $\mathcal{D}'_n$  for the set of those  $\phi$  for which  $\|\phi\|'_n < +\infty$  and denote by  $\mathcal{D}'_{n+}$  the set of those  $\phi$  for which  $\phi(f) \geq 0$  whenever  $f$  is in  $\mathcal{D}_{n+}$ . Let  $L^n : \mathcal{D}'_0 \rightarrow \mathcal{D}'_n$  denote the dual of  $M^n : \mathcal{D}_n \rightarrow \mathcal{D}_0$ , i.e.  $L^n(\sigma) = \sigma \circ M^n$  for all  $\sigma$  in  $\mathcal{D}'_0$ . For the sake of emphasis, Proposition 3 is incorporated into the next theorem.

**THEOREM 1.** *Let  $n = 1, 2, \dots$*

(1)  $(\mathcal{D}_n, \mathcal{D}_{n+}, \|\cdot\|_n)$  is an  $M$ -space with order unit 1, isometric and order-isomorphic to  $(\mathcal{D}_0, \mathcal{D}_{0+}, \|\cdot\|_0)$ . The norm  $\|\cdot\|_n$  is equivalent to the frequently employed norm  $\|\cdot\|'_n$  for  $\mathcal{D}_n$  and increases with  $n$ .

(2)  $(\mathcal{D}'_n, \mathcal{D}'_{n+}, \|\cdot\|'_n)$  is an  $L$ -space isometric and order-isomorphic to  $(\mathcal{D}'_0, \mathcal{D}'_{0+}, \|\cdot\|'_0)$ .  $\mathcal{D}'_n$  is the space of  $n$ th order Schwartz distributions on  $\Gamma$ . The norm  $\|\cdot\|'_n$  is equivalent to  $\|\cdot\|_n$  and decreases as  $n$  increases.

*Proof.* Part (1) contains nothing new. For part (2) we remark that it follows from part (1) that the order-theoretic and Banach duals of  $(\mathcal{D}_n, \mathcal{D}_{n+}, \|\cdot\|_n)$  coincide and that  $(\mathcal{D}'_n, \mathcal{D}'_{n+}, \|\cdot\|'_n)$  is an  $L$ -space. That  $\mathcal{D}'_n$  is indeed the space of  $n$ th order distributions on  $\Gamma$  follows from Proposition 3, which also implies that  $\|\cdot\|'_n$  and  $\|\cdot\|_n$  are equivalent. That  $\{\mathcal{D}'_{n+}\}_{n=0}^\infty$  increases is dual to Proposition 2, as is the fact that the norms  $\{\|\cdot\|'_n\}_{n=0}^\infty$  decrease.

(Here we use the convention that if  $\phi$  is not in  $\mathcal{D}'_n$ , then  $\|\phi\|_n = +\infty$ .) The mapping  $L^n$ , being the dual of an isometry and order-isomorphism, is an isometry and order-isomorphism, with  $L^n\mathcal{D}'_{0+} = \mathcal{D}'_{n+}$  and  $\|L^n\sigma\|'_n = \|\sigma\|'_0$ .

Utilizing the formula  $\phi^{(n)}(f) = (-1)^n\phi(f^{(n)})$  mentioned at the beginning of the paper, we can obtain an explicit description for  $L^n$ . Here, and throughout the paper,  $m$  will be the Lebesgue measure normalized to have  $m\Gamma = 1$ . For  $f$  in  $\mathcal{D}_n$  and  $\sigma$  in  $\mathcal{D}'_0$ ,

$$\begin{aligned} (L^n\sigma)(f) &= \sigma(M^n f) = \sigma(\tilde{f} + (-\pi)^n f^{(n)}) \\ &= \int_{\Gamma} \tilde{f} d\sigma + \pi^n \sigma^{(n)}(f) = \sigma\Gamma m(f) + \pi^n \sigma^{(n)}(f) \\ &= (\pi^n \sigma^{(n)} + \sigma\Gamma m)(f), \end{aligned}$$

by Proposition 1; thus

$$L^n(\sigma) = \pi^n \sigma^{(n)} + \sigma\Gamma m.$$

We also note that  $L^n m = m$  and if  $L^n \sigma = \sigma$  for  $\sigma$  in  $\mathcal{D}'_0$ , then  $\sigma$  is a multiple of  $m$ .

One can modify the proof of Proposition 1 to show that  $f \mapsto \tilde{f} - a\pi f^{(1)}$  is an isomorphism of  $\mathcal{D}_1$  onto  $\mathcal{D}_0$  for all real  $a \neq 0$  and leads to structures similar to ours. However,

$$\{f \in \mathcal{D}_1 : \tilde{f} - a\pi f^{(1)} \geq 0\}$$

is contained in  $\mathcal{D}_{0+}$  if and only if  $|a| \geq 1$ . In this sense  $\mathcal{D}_{1+}$  is maximal in  $\mathcal{D}_{0+}$ .

**2. Partially Ordered Structures on  $\mathcal{D}$  and  $\mathcal{D}'$ .** In order to apply the results of §1 to the locally convex spaces  $\mathcal{D}$  and  $\mathcal{D}'$ , we utilize generalizations of order unit and base norm spaces (see [2], [3] and [4]). A positive element  $u$  in a real vector lattice  $V$  is called a *semiorder-unit* (sou) if for each  $v$  in  $V$  there is a  $\lambda > 0$  such that  $v \wedge nu \leq \lambda u$  for all positive integers  $n$ . An Archimedean vector lattice  $V$  is called a *semiorder-unit space* (sou space) if it is endowed with the topology generated by all seminorms

$$p_u(x) = \inf \{ \lambda > 0 : |x| \wedge nu \leq \lambda u (n = 1, 2, \dots) \}$$

for  $u$  a sou in  $V$ , called the *sou topology* for  $V$ .

Let  $V$  be a real Archimedean vector lattice and  $V^0$  its order dual. A convex set  $S$  of positive elements in  $V$  is called a *semibase* if it is  $\sigma(V, V^0)$ -bounded (weakly), the ideal  $I(S)$  generated by  $S$  is a projective band (see [8]) and  $S$  is a base for the positive cone of  $I(S)$ . The space  $V$  is called a *semibase space* if it is the union of the ideals generated by its semi-bases and if its semi-bases are directed in the following sense: For each pair  $S'$  and  $S''$  of semi-bases there is a semibase  $S$  such that  $I(S)$  contains  $I(S')$  and  $I(S'')$ . The topology generated by all seminorms

$$p_S(x) = \inf \{ \lambda > 0 : \rho_S(x) \in \lambda I(S) \},$$

where  $S$  is a semibase and  $\rho_S$  is the projection mapping from  $V$  into  $I(S)$ , is called the *semi-base topology* for  $V$ .

PROPOSITION 4. *The countable product  $\prod_{n=0}^{\infty} \mathcal{D}_0 (= \mathcal{D}_0^N)$  in its product ordering is a sou space whose topology is the product topology. Dually, the countable direct sum  $\bigoplus_{n=0}^{\infty} \mathcal{D}'_0$  in its direct sum ordering is a semibase space whose topology is the direct sum topology.*

*Proof.* Clearly  $\Pi \mathcal{D}_0$  is an Archimedean vector lattice. Let  $u$  be a sou in  $\Pi \mathcal{D}_0$  and let  $\rho_n$  denote the projection map from  $\Pi \mathcal{D}_0$  into the  $n$ th factor  $\mathcal{D}_0$ . The fact that  $u$  is a sou implies that for some integer  $M > 0$ ,

$$[\{n\rho_n(u)\}_{n=1}^{\infty}] \wedge mu \leq Mu \quad (m = 1, 2, \dots).$$

Since  $\rho_n$  is a lattice homomorphism, we obtain

$$[n\rho_n(u)] \wedge mu \leq M\rho_n(u),$$

which for large  $m$  implies  $n\rho_n(u) \leq M\rho_n(u)$ . Thus for  $n > M$ ,  $\rho_n(u) = 0$ . We note that, if  $\rho_n(u) \neq 0$ , it is a sou in  $\mathcal{D}_0$ . In fact, if  $\rho_n(u) \neq 0$ , it is an order unit. (Since  $\rho_n(u)$  is a sou in  $\mathcal{D}_0$  there is a  $\lambda > 0$  such that

$$1 \wedge m\rho_n(u) \leq \lambda\rho_n(u) \quad (n = 1, 2, \dots),$$

so that  $[\rho_n(u)](x) \geq 1/\lambda$  whenever  $[\rho_n(u)](x) \neq 0$ .) To verify that the sou topology is the product topology we note that if the sou  $u$  has  $\rho_n(u) = 0$  for  $n > M$ , it is dominated by a multiple of that sou  $v_M$  which has 1 for its first  $M$  entries and 0 elsewhere. The seminorms  $p_{v_M}$  obviously generate the product topology.

The dual result is a consequence of Theorem 1 of [4], or can be proved directly as follows. The set  $B_0$  of probability measures on  $\Gamma$  is a  $\sigma(\mathcal{D}'_0, \mathcal{D}_0^0)$ -bounded base for  $\mathcal{D}'_{0+}$  whose base norm is  $\|\cdot\|'_0$ . Let  $B$  be any semibase in  $\bigoplus \mathcal{D}'_0$ . If  $\rho_n(B) = 0$ , define  $f_n = 0$ ; if  $\rho_n(B)$  contains a positive element  $\phi_n$ , define  $f_n$  to be some element in  $\mathcal{D}_{0+}$  for which  $\phi_n(f_n) > n$ . For the element  $\{f_n\}$  of  $\Pi \mathcal{D}_0$ , as a positive linear functional on  $\bigoplus \mathcal{D}'_0$ , to be bounded on  $B$ ,  $\rho_n(B)$  must be zero for all but finitely many  $n$ . Thus from the  $\sigma(\bigoplus \mathcal{D}'_0, [\bigoplus \mathcal{D}'_0]^0)$ -boundedness of  $B$  we conclude that  $B$  is contained in a multiple of a sum of finitely many copies of  $B_0$ ; i.e.,

$$B \subseteq \lambda \left[ \bigoplus_{n=0}^M B_0 \right]$$

for some  $\lambda > 0$  and integer  $M$ . Each such  $\lambda \left[ \bigoplus_{n=0}^M B_0 \right]$  is clearly a semibase. The fact that  $\bigoplus \mathcal{D}'_0$  is a semibase space and the equivalence of the topologies can now be easily verified.

One could readily prove the following generalization of Proposition 4: A countable product of order unit spaces is a sou space whose topology agrees with the product topology, and a countable direct sum of base norm spaces is a semibase space whose topology agrees with the direct sum topology.

We recall that  $B_0$ , the set of probability measures on  $\Gamma$ , is a weak\* compact base for  $\mathcal{D}'_{0+}$  and the closed convex hull of the closed set  $E_0$  of extreme points consisting of the unit point-measures on  $\Gamma$ . The set  $\bigoplus_{n=0}^{\infty} B_0$  is a base for the positive cone of  $\bigoplus \mathcal{D}'_0$ . This base,

though not weak\* compact, is the closed convex hull of the set of extreme points  $\bigoplus_{n=0}^{\infty} E_0$ .

We can now apply the order structures of §1 to  $\mathcal{D}$  and  $\mathcal{D}'$ . We let  $[\Pi \mathcal{D}_0]_+$  and  $[\oplus \mathcal{D}'_0]_+$  denote the positive cones of Proposition 4.

**THEOREM 2.** *There is a topological isomorphism  $i$  from the space  $\mathcal{D}$  of infinitely differentiable functions on  $\Gamma$  with its Schwartz topology into the space  $(\Pi \mathcal{D}_0, [\Pi \mathcal{D}_0]_+)$ . Dually, the space  $\mathcal{D}'$  of distributions on  $\Gamma$  with its Schwartz topology is topologically isomorphic to the quotient of the semibase space  $(\oplus \mathcal{D}'_0, [\oplus \mathcal{D}'_0]_+)$  by the kernel of the adjoint of  $i$ .*

*Proof.* Let  $i: \mathcal{D}_0 \rightarrow \Pi \mathcal{D}_0$  be defined by setting  $i(f) = \{M^n f\}_{n=0}^{\infty}$ . The theorem is a consequence of Proposition 4 and the results of §1. (Recall that  $\mathcal{D}_n$  and  $\mathcal{D}'_n$  have been identified with  $\mathcal{D}_0$  and  $\mathcal{D}'_0$  by the maps  $M^n$  and  $L^n$ , and  $\mathcal{D}$  and  $\mathcal{D}'$  are the appropriate projective limit topologies.)

The maps  $i$  and  $i^*$  naturally induce positive cones  $K$  and  $P$  on  $\mathcal{D}$  and  $\mathcal{D}'$  respectively, the usual subspace and quotient cones. It is easy to see that  $K = \bigcap_{n=0}^{\infty} \mathcal{D}_{n+}$  and  $P = \bigcup_{n=0}^{\infty} \mathcal{D}'_{n+}$ . We will give explicit characterizations for these cones.

**PROPOSITION 5.**  *$K$  consists of the nonnegative constant functions on  $\Gamma$  and  $P$  is  $\{\phi \in \mathcal{D}' : \phi(1) > 0\} \cup \{0\}$ .*

*Proof.* That  $P \subseteq \{\phi \in \mathcal{D}' : \phi(1) > 0\} \cup \{0\}$  can be argued as follows. If  $\theta$  is in  $P = \bigcup_{n=0}^{\infty} \mathcal{D}'_{n+}$  then  $\theta$  is in  $\mathcal{D}'_{m+}$  for some integer  $m \geq 0$ , so that  $\theta = L^m \sigma$  for some (unique) measure  $\sigma$  in  $\mathcal{D}'_{0+}$ . If  $\sigma \Gamma = 0$  then  $\sigma \equiv 0$ , so that  $\theta \equiv 0$ . Otherwise,  $\theta(1) = \sigma \Gamma > 0$ . For the converse, let  $\phi$  be in  $\mathcal{D}'$  with  $\phi(1) > 0$ . Then  $\phi$  is in  $\mathcal{D}'_M$  for some integer  $M \geq 0$ , and so  $\phi = L^M \sigma$  for some  $\sigma$  in  $\mathcal{D}'_0$ . Let

$$\sum_{k=-\infty}^{+\infty} a_k e^{ikx}$$

be the Fourier series for  $\sigma$ . Then  $a_0 = \sigma \Gamma > 0$ . For each  $n = 1, 2, \dots$ , the Fourier series

$$f_n(x) = a_0 + \sum_{k \neq 0} (\pi ik)^{-n} a_k e^{ikx}$$

defines an absolutely continuous measure  $\mu_n$  with  $n$ th distributional derivative  $(\sigma - \sigma \Gamma m) / \pi^n$ . For  $n \geq 2$ ,

$$|f_n(x) - a_0| \leq \sum_{k \neq 0} |a_k| / (\pi^n |k|^n).$$

Since  $|a_k| \leq \|\sigma\|_0$  we can write  $|f_n(x) - a_0| \leq \|\sigma\|_0 d_n$ , where

$$d_n = (2/\pi^n) \sum_{k=1}^{\infty} k^{-n} \leq 1/(3\pi^{n-2}) \quad (\text{for } n \geq 2).$$

Because  $d_n \rightarrow 0$  as  $n \rightarrow \infty$  and  $a_0 > 0$ , we can choose  $N$  large enough so that  $0 < d_N \leq a_0 / \|\sigma\|_0$ . But then  $|f_N(x) - a_0| \leq a_0$ . In particular  $f_N(x) \geq 0$ , implying that  $\mu_N$  is in  $\mathcal{D}'_{0+}$ . Since

$\mu_N^{(N)} = (\sigma - \sigma\Gamma m)/\pi^N$  and  $\mu_N\Gamma = a_0 = \sigma\Gamma$ , it follows that

$$\sigma = \pi^N \mu_N^{(N)} + \mu_N \Gamma m = L^N \mu_N.$$

Thus  $\phi = L^M \sigma = L^{M+N} \mu_N$  so that  $\phi$  is in  $\mathcal{D}'_{(N+M)+}$  and consequently in  $P$ .

To characterize  $K$ , we note that the set of nonnegative constant functions on  $\Gamma$  is contained in  $K$ , and  $K$  is contained in

$$\{f \in \mathcal{D} : f(P) \geq 0\}.$$

Since for each  $x \in \Gamma$  and  $\lambda > 0$ , the measures  $m$ ,  $\lambda\delta_x + (1-\lambda)m$  and  $(1+\lambda)m - \lambda\delta_x$  are in  $P$ , we obtain that each member of  $\{f \in \mathcal{D} : f(P) \geq 0\}$  satisfies

$$\left(1 - \frac{1}{\lambda}\right)f \leq f(x) \leq \left(1 + \frac{1}{\lambda}\right)f \quad \text{and} \quad f \geq 0,$$

and is thus a nonnegative constant function.

The cone  $K$  is the dual in  $\mathcal{D}$  of  $P$  but  $P$  is not the dual of  $K$ . The dual  $\mathcal{K}$  of  $K$  is just the set of distributions  $\phi$  in  $\mathcal{D}'$  having  $\phi(1) \geq 0$ . It is not difficult to show that  $\mathcal{K}$  is the closure of  $P$  in the Schwartz topology.  $\mathcal{K}$  fails to be a positive cone for  $\mathcal{D}'$  since  $\mathcal{K} \cap (-\mathcal{K})$  contains all  $\phi$  in  $\mathcal{D}'$  having  $\phi(1) = 0$ .

Let  $B = \bigcup_{n=0}^{\infty} L^n B_0$  in  $\mathcal{D}'$ . Then  $B$  is a base for  $P$  and it follows from Proposition 5 that

$$B = \{\phi \in \mathcal{D}' : \phi(1) = 1\}.$$

$B$  is not linearly compact. Thus (see [9])  $\mathcal{D}'$  is not lattice ordered by  $P$ . Moreover,  $B$  has no extreme points and is, of course, not compact in any locally convex topology for  $\mathcal{D}'$  (in contrast to the base  $\oplus B_0$  discussed before Theorem 2).

We consider an interpretation of the above structures for the space  $H$  of real-valued harmonic functions on the unit disc  $\Delta$  in the plane. Let  $H_+$  denote the cone of pointwise nonnegative members of  $H$  and let  $H^0$  be  $H_+ - H_+$ . We denote by  $H^n$  the linear span of derivatives

$$u^{(j)} = \frac{\partial^j u}{\partial \theta^j} \quad (j = 0, 1, \dots, n)$$

of functions in  $H^0$  (written in polar coordinates) and let  $\mathcal{H} = \bigcup_{n=0}^{\infty} H^n$ . Each  $H^n$  is isomorphic to  $\mathcal{D}'_n$  (see [6] and [7]). The correspondence is obtained as follows. For  $0 \leq r < 1$  the Poisson function

$$P_r(\theta) = \frac{1-r}{1-2r \cos \theta + r^2}$$

is in  $\mathcal{D}$ . For each  $\phi$  in  $\mathcal{D}'$  one obtains by convolutions  $h_r = P_r * \phi$  a harmonic function  $h(r, \theta) = h_r(\theta)$ . Thus  $\mathcal{H}$  is isomorphic to  $\mathcal{D}'$ . The map  $L$  defined from  $H$  into  $H$  by

$$L(u) = \pi u^{(1)} + u(0),$$

when restricted to  $\mathcal{H}$ , corresponds to the map  $L$  discussed previously. Theorem 2 adapted

to this context says that  $\mathcal{H}$  is isomorphic to a quotient of  $\bigoplus_{n=0}^{\infty} H^0$  in its direct sum ordering. The quotient cone  $P$  induced on  $\mathcal{H}$  is  $\bigcup_{n=0}^{\infty} L^n H_+$ , and, as in Proposition 5 (since

$$h(0) = \mathbf{P}_0 * \phi = \phi(1),$$

$$P = \{h \in \mathcal{H} : h(0) > 0\} \cup \{0\}.$$

We now have the following extension of the classical Herglotz theorem for  $H_+$  (see [1]).

**PROPOSITION 6.** *For each  $h \in \mathcal{H}$  having  $h(0) > 0$  there is a least integer  $n$  and a unique positive measure  $\mu$  in  $\mathcal{D}'_{0+}$  such that*

$$h(r, \theta) = \int_{\Gamma} (L^n \mathbf{P})(r, \theta - t) d\mu(t).$$

*Proof.* Let  $h$  be in  $\mathcal{H}$  with  $h(0) > 0$ ; i.e., let  $h$  be in  $P$ . Since  $P = \bigcup L^n H_+$  there exists a least integer  $n$  and a unique element  $u \in H_+$  such that  $h = L^n u$ . The classical Herglotz theorem implies that

$$u(r, \theta) = \int_{\Gamma} \mathbf{P}(r, \theta - t) d\mu(t)$$

for a unique  $\mu$  in  $\mathcal{D}'_{0+}$ , and thus

$$h(r, \theta) = (L^n u)(r, \theta) = \int_{\Gamma} (L^n \mathbf{P})(r, \theta - t) d\mu(t).$$

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