



# Spectral Properties of a Family of Minimal Tori of Revolution in the Five-dimensional Sphere

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*Abstract.* The normalized eigenvalues  $\Lambda_i(M, g)$  of the Laplace–Beltrami operator can be considered as functionals on the space of all Riemannian metrics  $g$  on a fixed surface  $M$ . In recent papers several explicit examples of extremal metrics were provided. These metrics are induced by minimal immersions of surfaces in  $\mathbb{S}^3$  or  $\mathbb{S}^4$ . In this paper a family of extremal metrics induced by minimal immersions in  $\mathbb{S}^5$  is investigated.

## 1 Introduction

Let  $M$  be a closed surface and let  $g$  be a Riemannian metric on  $M$ . Then the Laplace–Beltrami operator  $\Delta: C^\infty(M) \rightarrow C^\infty(M)$  is given by the formula

$$\Delta f = -\frac{1}{\sqrt{|g|}} \frac{\partial}{\partial x^i} \left( \sqrt{|g|} g^{ij} \frac{\partial f}{\partial x^j} \right).$$

The spectrum of  $\Delta$  consists only of eigenvalues. Let us denote them by

$$0 = \lambda_0(M, g) < \lambda_1(M, g) \leq \lambda_2(M, g) \leq \lambda_3(M, g) \leq \dots,$$

where the eigenvalues are written with their multiplicities.

In this paper the family of functionals

$$\Lambda_i(M, g) = \lambda_i(M, g) \text{Area}(M, g)$$

is investigated. Let us fix  $M$ . We are interested in investigating  $\sup \Lambda_i(M, g)$ , where the supremum is taken over the space of all Riemannian metrics on  $M$ .

An upper bound for  $\Lambda_1(M, g)$  in terms of the genus of  $M$  was provided in [28] and later the existence of an upper bound for  $\Lambda_i(M, g)$  was shown in [17]. Several recent papers [5–7, 11, 12, 19, 22, 23] deal with finding the exact values of this supremum in the space of all Riemannian metrics on several particular surfaces. We refer the reader to the introduction of [25] for more details.

In an attempt to solve this problem, the following definition was introduced in several papers; see *e.g.*, [6, 22].

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**Definition 1.1** A Riemannian metric  $g$  on a closed surface  $M$  is called an *extremal metric* for a functional  $\Lambda_i(M, g)$  if for any analytic deformation  $g_t$  such that  $g_0 = g$  the following inequality holds:

$$\frac{d}{dt} \Lambda_i(M, g_t) \Big|_{t=0^+} \leq 0 \leq \frac{d}{dt} \Lambda_i(M, g_t) \Big|_{t=0^-}.$$

For the correctness of this definition we refer the reader to [1, 2, 7].

A real breakthrough in finding explicit examples of (smooth) extremal metrics became possible due to connection with the theory of minimal surfaces in spheres discovered in [7]. Let  $\psi: M \looparrowright \mathbb{S}^n$  be a minimal immersion in the unit sphere. We denote by  $\Delta$  the Laplace–Beltrami operator on  $M$  associated with the metric induced by the immersion  $\psi$ . Let us introduce Weyl’s counting function

$$N(\lambda) = \#\{i \mid \lambda_i(M, g) < \lambda\}.$$

The following theorem provides a general approach to finding smooth extremal metrics.

**Theorem 1.2** (El Soufi, Ilias [7]) *Let  $\psi: M \looparrowright \mathbb{S}^n$  be a minimal immersion of a surface in the unit sphere  $\mathbb{S}^n$  endowed with the canonical metric  $g_{can}$ . Then the metric  $\psi^* g_{can}$  on  $M$  is extremal for the functional  $\Lambda_{N(2)}(M, g)$ .*

In the recent papers [15, 18, 24, 25] this connection was used to provide several examples of extremal metrics on the torus and the Klein bottle. These metrics were induced by minimal immersions of the corresponding surfaces in  $\mathbb{S}^3$  and  $\mathbb{S}^4$ . In this paper a family of minimally immersed surfaces in  $\mathbb{S}^5$  is investigated. For any pair of positive integers  $m, n$  such that  $m \geq n$  and  $(m, n) = 1$ , we consider a doubly  $2\pi$ -periodic immersion  $\phi_{m,n}: \mathbb{R}^2 \rightarrow \mathbb{S}^5$ , given by the formula

$$(1.1) \quad \phi_{m,n}(x, y) = \left( \sqrt{\frac{m+n}{2m+n}} e^{imy} \sin x, \sqrt{\frac{m+n}{m+2n}} e^{iny} \cos x, \sqrt{\frac{n \cos^2 x}{m+2n} + \frac{m \sin^2 x}{2m+n}} e^{-i(m+n)y} \right),$$

where  $\mathbb{S}^5$  is considered as the set of unit length vectors in  $\mathbb{C}^3$ . We denote the image of  $\phi_{m,n}$  by  $M_{m,n}$ . To the best of author’s knowledge, the explicit formula (1.1) first appeared in the introduction of [20]. This immersion can be obtained due to a general construction by Mironov (see [21]). We should mention that  $M_{m,n}$  were described in conformal coordinates in [9, 13]. The main result of this paper is the following theorem.

**Main Theorem** *For any pair of positive integers  $m, n$  such that  $m \geq n$  and  $(m, n) = 1$ , the immersion  $\phi_{m,n}$  is minimal. The corresponding surface  $M_{m,n}$  is a torus. If  $mn \equiv 0 \pmod{2}$ , then the metric induced on  $M_{m,n}$  by the immersion is extremal for the functional  $\Lambda_{4(m+n)-3}(\mathbb{T}^2, g)$ . If  $mn \equiv 1 \pmod{2}$ , then the metric induced on  $M_{m,n}$  by the immersion is extremal for the functional  $\Lambda_{2(m+n)-3}(\mathbb{T}^2, g)$ .*

The proof of this theorem is similar to the proof of the main theorem in [24] by Penskoï. However, we should mention that the exposition here is much simplified;

e.g., we do not use the theory of the Magnus–Winkler–Ince equation. We also fill a gap by giving a rigorous proof of [24, Proposition 20].

We provide the exact value of the corresponding functional in terms of elliptic integrals of the first and the second kind given respectively by the formulae

$$K(k) = \int_0^1 \frac{1}{\sqrt{1-x^2}\sqrt{1-k^2x^2}} dx, \quad E(k) = \int_0^1 \frac{\sqrt{1-k^2x^2}}{\sqrt{1-x^2}} dx.$$

Following the paper [15] we also prove the non-maximality of the metric on  $M_{m,n}$ .

**Proposition 1.3** *If  $mn \equiv 0 \pmod{2}$ , then*

$$\Lambda_{4(m+n)-3}(M_{m,n}) = 16\pi \left( \sqrt{m^2 + 2mn} E \left( \sqrt{\frac{m^2 - n^2}{m^2 + 2mn}} \right) - \frac{mn}{\sqrt{m^2 + 2mn}} K \left( \sqrt{\frac{m^2 - n^2}{m^2 + 2mn}} \right) \right).$$

*If  $mn \equiv 1 \pmod{2}$ , then*

$$\Lambda_{2(m+n)-3}(M_{m,n}) = 8\pi \left( \sqrt{m^2 + 2mn} E \left( \sqrt{\frac{m^2 - n^2}{m^2 + 2mn}} \right) - \frac{mn}{\sqrt{m^2 + 2mn}} K \left( \sqrt{\frac{m^2 - n^2}{m^2 + 2mn}} \right) \right).$$

*For every pair  $\{m, n\} \neq \{1, 1\}$  the metric on  $M_{m,n}$  is not maximal for the corresponding functional.*

**Remark 1.4** It is easy to check that  $\phi_{1,1}$  is an immersion of the flat equilateral torus in  $\mathbb{S}^5$  by first eigenfunctions, and as it was shown in [22] that this metric is maximal for the functional  $\Lambda_1(\mathbb{T}^2, g)$ .

The paper is organized in the following way. In Section 2.1 we describe  $M_{m,n}$  as a part of a general construction from [21] by Mironov. Then in Section 2.3 we reduce the problem of finding  $N(2)$  for  $\Delta$  to the similar problem for a family of periodic Sturm–Liouville operators. Finally, Section 3 contains the proof of Main Theorem, and Section 4 is dedicated to the proof of Proposition 1.3.

## 2 Preliminaries

### 2.1 Construction of Minimal Lagrangian Submanifolds in $\mathbb{C}^n$ by Mironov

Let  $M$  be a  $k$ -dimensional submanifold of  $\mathbb{R}^n$  given by equations

$$e_{1j}u_1^2 + \cdots + e_{nj}u_n^2 = d_j, \quad j = 1, \dots, n-k,$$

where  $d_j \in \mathbb{R}$  and  $e_{ij} \in \mathbb{Z}$ . Since  $\dim M = k$ , the vectors  $e_j = (e_{j1}, \dots, e_{j(n-k)}) \in \mathbb{Z}^{n-k}$ ,  $j = 1, \dots, n-k$  form a lattice  $\Lambda$  of maximal rank in  $\mathbb{R}^{n-k}$ . Let us denote by  $\Lambda^*$  the dual lattice to  $\Lambda$ ,

$$\Lambda^* = \{y \in \mathbb{R}^{n-k} \mid (e_i, y) \in \mathbb{Z}, i = 1, \dots, n-k\},$$

where  $(x, y) = x_1y_1 + \cdots + x_{n-k}y_{n-k}$ .

Consider the map  $\phi: M \times (\mathbb{R}^{n-k}/\Lambda^*) \rightarrow \mathbb{C}^n$  given by the explicit formula

$$\phi(u_1, \dots, u_n, y) = (u_1 e^{2\pi i(e_1, y)}, \dots, u_n e^{2\pi i(e_n, y)}).$$

We endow  $\mathbb{C}^n$  with the standard symplectic form

$$\omega = dx^1 \wedge dy^1 + \dots + dx^n \wedge dy^n.$$

Recall that an immersion  $\psi: N \hookrightarrow \mathbb{C}^n$  is called Lagrangian if  $\psi^* \omega = 0$ .

**Theorem 2.1** (Mironov [21]) *Suppose that  $e_1 + \dots + e_n = 0$ . Then the immersion  $\phi$  is a minimal Lagrangian immersion.*

Let us now consider a particular case

$$M = \{ (x_1, x_2, x_3) \mid mx_1^2 + nx_2^2 - (m+n)x_3^2 = 0 \} \subset \mathbb{R}^3.$$

Then by Theorem 2.1, the immersion  $\phi$  is a minimal Lagrangian immersion. It is easy to see that in this case  $\text{Im } \phi$  is a cone  $C(M_{m,n})$  over  $M_{m,n}$ . It is a standard fact that  $C(M_{m,n})$  is minimal in  $\mathbb{C}^3$  if and only if  $M_{m,n}$  is minimal in  $\mathbb{S}^5 \subset \mathbb{C}^3$ ; see e.g., [26].

### 2.2 Symmetries of $\phi_{m,n}$

The goal of this section is to prove the following proposition.

**Proposition 2.2** *Suppose  $m \neq n$ . If  $mn \equiv 1 \pmod{2}$ , then one has  $\phi_{m,n}(x, y) = \phi_{m,n}(x + \pi, y + \pi)$  and  $\phi_{m,n}|_{[0, 2\pi) \times [0, 2\pi)}$  is a double cover almost everywhere. If  $mn \equiv 0 \pmod{2}$ , then  $\phi_{m,n}|_{[0, 2\pi) \times [0, 2\pi)}$  is one-to-one almost everywhere. Thus  $M_{m,n}$  is a torus for each  $m, n > 0$ ,  $(m, n) = 1$ .*

**Remark 2.3** In fact, according to the paper [21], one can omit the words “almost everywhere” in the previous proposition.

**Proof** Since  $(m, n) = 1$ , there are no symmetries of the form  $(x, y) \mapsto (x, y + \alpha)$ . Examining the third coordinate of  $\phi_{m,n}$ , we see that the only possible symmetry has the form

$$(x, y) \mapsto \left( (-1)^{\varepsilon_1} x + (-1)^{\varepsilon_2} \pi, y + \frac{2\pi}{m+n} \right),$$

where  $\varepsilon_i = 0, 1$ . Substituting this into the first two coordinates of  $\phi_{m,n}$  we obtain the statement of the proposition. ■

### 2.3 Associated Periodic Sturm–Liouville Problem

In this section we reduce the problem of finding  $N(2)$  for the Laplace–Beltrami operator on  $M_{m,n}$  to a similar problem for the associated Sturm–Liouville operator.

Let us introduce the notations

$$\begin{aligned} \sigma(x) &= \sqrt{m^2 + 4mn + n^2 - (m^2 - n^2) \cos 2x}, \\ \rho(x) &= (m+n)(m+n - (m-n) \cos 2x). \end{aligned}$$

Direct calculations show that the metric on  $M_{m,n}$  is given by

$$\rho(x)\left(\sigma(x)^{-2}dx^2 + \frac{1}{2}dy^2\right).$$

Then a straightforward calculation shows that the following formula holds for the Laplace–Beltrami operator,

$$(2.1) \quad \Delta f = -\frac{1}{\rho(x)}\left(\sigma(x)\frac{\partial}{\partial x}\left(\sigma(x)\frac{\partial f}{\partial x}\right) + 2\frac{\partial^2 f}{\partial y^2}\right).$$

**Proposition 2.4** Assume  $mn \equiv 0 \pmod{2}$ . The number  $\lambda$  is the eigenvalue of Laplace–Beltrami operator (2.1) if and only if there exists  $l \in \mathbb{Z}_{\geq 0}$  such that there is a solution of the following associated periodic Sturm–Liouville problem:

$$(2.2) \quad -\sigma(x)\frac{d}{dx}\left(\sigma(x)\frac{dg(x)}{dx}\right) + 2l^2g(x) = \lambda\rho(x)g(x),$$

$$g(x + 2\pi) \equiv g(x).$$

The corresponding eigenspace is spanned by the functions of the form  $g(l, x) \sin lx$  and  $g(l, x) \cos lx$ , where  $l$  is any positive integer number such that a solution of equation (2.2) exists and  $g(l, x)$  is the corresponding solution.

If  $mn \equiv 1 \pmod{2}$ , then the statement remains the same with the boundary conditions

$$(2.3) \quad g(x + \pi) \equiv (-1)^l g(x).$$

**Proof** Let us remark that  $\Delta$  commutes with  $\frac{\partial^2}{\partial y^2}$ . Thus, these operators have a common basis of eigenfunctions of the form  $g(l, x) \cos lx$  and  $g(l, x) \sin lx$ . By substituting these eigenfunctions into formula (2.1) we obtain equation (2.2). Since any function on  $M_{m,n}$  should be doubly  $2\pi$ -periodic, we have  $l \in \mathbb{Z}_{\geq 0}$  and boundary conditions in (2.2).

In the case  $mn \equiv 1 \pmod{2}$ , any function  $f \in C^\infty(M_{m,n})$  should satisfy the condition  $f(x + \pi, y + \pi) = f(x, y)$ . This condition implies immediately boundary conditions (2.3). ■

For a general Sturm–Liouville problem the following classic proposition holds; see e.g., [4].

**Proposition 2.5** Consider a periodic Sturm–Liouville problem in the form

$$(2.4) \quad -\frac{d}{dt}\left(p(t)\frac{dg(t)}{dt}\right) + q(t)g(t) = \lambda r(t)g(t),$$

$$g(t + t_0) \equiv g(t),$$

where  $p(t), r(t) > 0$  and  $p(t + t_0) \equiv p(t), q(t + t_0) \equiv q(t), r(t + t_0) \equiv r(t)$ . Let us denote by  $\lambda_i$  and  $g_i(t)$  ( $i = 0, 1, 2, \dots$ ) the eigenvalues and eigenfunctions of problem (2.4). Then the following inequalities hold:

$$\lambda_0 < \lambda_1 \leq \lambda_2 < \lambda_3 \leq \lambda_4 < \lambda_5 \leq \lambda_6 \leq \dots$$

For  $\lambda = \lambda_0$  there exists a one-dimensional eigenspace spanned by  $g_0(t)$ . For  $i \geq 0$  if  $\lambda_{2i+1} < \lambda_{2i+2}$ , then there is a one-dimensional  $\lambda_{2i+1}$ -eigenspace spanned by  $g_{2i+1}(t)$  and there is a one-dimensional  $\lambda_{2i+2}$ -eigenspace spanned by  $g_{2i+2}(t)$ . If  $\lambda_{2i+1} = \lambda_{2i+2}$ , then

there is a two-dimensional eigenspace spanned by  $g_{2i+1}(t)$  and  $g_{2i+2}(t)$  with eigenvalue  $\lambda = \lambda_{2i+1} = \lambda_{2i+2}$ .

The eigenfunction  $g_0(t)$  has no zeros on  $[0, t_0)$ . The eigenfunctions  $g_{2i+1}(t)$  and  $g_{2i+2}(t)$  each have exactly  $2i + 2$  zeros on  $[0, t_0)$ .

**Proposition 2.6** For  $l \geq 0$  the eigenvalues  $\lambda_i(l)$  of problem (2.2) are strictly increasing functions of the parameter  $l$ .

**Proof** The Raleigh quotient for equation (2.2) is defined by the formula

$$R_l[f] = \frac{\int_0^{2\pi} (\sigma(x)(f')^2 + \frac{2l^2}{\sigma(x)}f^2) dx}{\int_0^{2\pi} \frac{\rho(x)}{\sigma(x)}f^2 dx}.$$

By the variational characterization of the eigenvalues (see e.g., [10]), one has

$$\lambda_k(l) = \inf_{E_k} \sup_{f \in E_k} R_l[f],$$

where the infimum is taken over all  $(k + 1)$ -dimensional subspaces  $E_k$  in the space of all  $2\pi$ -periodic functions of the Sobolev space  $H^1[0, 2\pi]$ . Moreover, the infimum is reached on the space  $V_k(l)$  formed by the first  $(k + 1)$  eigenfunctions. Let us remark that  $R_{l_1}[f] < R_{l_2}[f]$  if  $0 \leq l_1 < l_2$ .

Then  $\lambda_k(l_1) \leq \sup_{f \in V_k(l_2)} R_{l_1}[f]$ . The latter supremum is reached on some function  $g \in V_k(l_2)$ . Thus one has

$$\lambda_k(l_1) \leq R_{l_1}[g] < R_{l_2}[g] \leq \sup_{f \in V_k(l_2)} = \lambda_k(l_2),$$

which completes the proof. ■

### 3 Proof of Main Theorem

We need the following classic theorem (see e.g., [16]).

**Theorem 3.1** Let  $M \looparrowright \mathbb{S}^n$  be a minimally immersed surface of the unit sphere  $\mathbb{S}^n \subset \mathbb{R}^{n+1}$ . Then the restrictions  $x^1|_M, \dots, x^{n+1}|_M$  on  $M$  of the standard coordinate functions of  $\mathbb{R}^{n+1}$  are eigenfunctions of the Laplace–Beltrami operator on  $M$  with eigenvalue 2.

According to Theorem 3.1, the components of  $\phi_{m,n}$  are eigenfunctions of the Laplace–Beltrami operator on  $M_{m,n}$ . Since the function

$$\sqrt{\frac{n \cos^2 x}{m + 2n} + \frac{m \sin^2 x}{2m + n}}$$

does not have zeroes on  $[0, 2\pi)$ , we have by Proposition 2.5 that

$$g_0(m + n, x) = \sqrt{\frac{n \cos^2 x}{m + 2n} + \frac{m \sin^2 x}{2m + n}}$$

and  $\lambda_0(m + n) = 2$ . By Proposition 2.6 one has  $\lambda_0(l) < 2$  for  $l < m + n$ . The function  $\cos ny \cos x$  corresponds to  $l = n$ , whereas the function  $\cos my \sin x$  corresponds to  $l = m$ . At the same time both  $\sin x$  and  $\cos x$  have 2 zeroes on  $[0, 2\pi)$ . Thus, again by

Proposition 2.5, either  $\lambda_1(m) = 2$  and  $\lambda_2(n) = 2$  or  $\lambda_1(n) = 2$  and  $\lambda_2(m) = 2$ . In the latter case we have a contradiction, since  $m > n$  and by Proposition 2.6  $2 = \lambda_1(n) < \lambda_1(m) \leq \lambda_2(m) = 2$ . Thus,  $\lambda_1(l) < 2$  for  $l < m$  and  $\lambda_2(l) < 2$  for  $l < n$ . The last part of the proof of the Main Theorem is based on the following proposition, which we prove later in this section.

**Proposition 3.2** *The eigenvalue  $\lambda_3(l)$  of problem (2.2) satisfies the inequality  $\lambda_3(0) > 2$ .*

Recall that for every  $\lambda_i(l)$  with  $l > 0$  there are two eigenfunctions of the Laplace–Beltrami operator on  $M_{m,n}$ . This observation completes the proof in the case  $mn \equiv 0 \pmod{2}$ .

If  $mn \equiv 1 \pmod{2}$ , then one has to take into account the symmetry  $(x, y) \mapsto (x + \pi, y + \pi)$ ; i.e., if  $l$  is even, then we need to count only  $\pi$ -periodic solutions of equation (2.2), and if  $l$  is odd, then we need to count only  $\pi$ -antiperiodic solutions of (2.2). Application of Proposition 2.5 with  $t_0 = \pi, 2\pi$  yields the fact that  $g_{2i+1}$  and  $g_{2i+2}$  are  $\pi$ -antiperiodic if and only if  $i$  is odd and  $\pi$ -periodic otherwise. Obvious calculations now complete the proof of the Main Theorem. ■

The rest of this section is dedicated to the proof of Proposition 3.2.

### 3.1 Lamé Equation

In this section we recall several facts concerning the Lamé equation, usually written as

$$(3.1) \quad \frac{d^2 \phi}{dz^2} + (h - \widehat{n}(\widehat{n} + 1)k^2 \operatorname{sn}^2 z) \phi = 0.$$

We write  $\widehat{n}$ , since  $n$  is already used as a parameter in the family  $M_{m,n}$ .

We use a trigonometric form of the Lamé equation

$$(3.2) \quad [1 - (k \cos y)^2] \frac{d^2 \phi}{dy^2} + k^2 \sin y \cos y \frac{d\phi}{dy} + [h - \widehat{n}(\widehat{n} + 1)(k \cos y)^2] \phi = 0.$$

Equation (3.2) can be obtained from equation (3.1) using the following change of variables

$$\operatorname{sn} z = \cos y \quad \iff \quad y = \frac{\pi}{2} - \operatorname{am} z,$$

where  $\operatorname{am}$  is the Jacobi amplitude function; see e.g., [8].

In order to prove Proposition 3.2 we need the following proposition.

**Proposition 3.3** *Assume  $\widehat{n} = 1$ . Then the eigenvalue  $h_3(k)$  is greater than 2 for every  $0 < k < 1$ .*

**Proof** According to [27] the number  $h_3(k)$  can be characterized as the first eigenvalue of problem (3.2) with boundary conditions

$$(3.3) \quad \phi(y + \pi) \equiv \phi(y) \quad \phi(y) \equiv -\phi(\pi - y).$$

First let us rewrite equation (3.2) in the form

$$(3.4) \quad \frac{d}{dx} \left( \sqrt{1 - (k \cos x)^2} \frac{d\phi}{dx} \right) + \frac{h - 2(k \cos x)^2}{\sqrt{1 - (k \cos x)^2}} \phi = 0.$$

Let us denote  $p(x) = \sqrt{1 - (k \cos x)^2}$ . We introduce an auxiliary Sturm–Liouville problem of the form

$$(3.5) \quad -\frac{d}{dx} \left( p(x) \frac{d\phi}{dx} \right) + p(x)\phi = \lambda p(x)\phi.$$

It is easy to see that a function  $\phi(x)$  is a solution of equation (3.4) with  $h(k) = 2$  if and only if  $\phi(x)$  is a solution of equation (3.5) with  $\lambda(k) = 3$ .

Therefore  $h_3(k) \neq 2$  if and only if the Rayleigh quotient

$$(3.6) \quad R_k[f] = \frac{\int_0^\pi p(k, x)((f')^2 + f^2) dx}{\int_0^\pi p(k, x)f^2 dx}$$

is greater than 3 for any function  $f$  satisfying condition (3.3). Indeed, by the variational characterization of the eigenvalues the first eigenvalue  $\widehat{\lambda}_0(k)$  of the problem (3.5) with boundary conditions (3.3) is equal to  $\inf R[f]$ , where the infimum is taken over the subspace  $\mathcal{L}$  of functions  $f \in H^1[0, \pi]$  satisfying conditions (3.3).

Then let us remark that the Rayleigh quotient (3.6) is a decreasing function of  $k$ . Indeed, if  $k_1 > k_2$ , then  $p(k_1, x) < p(k_2, x)$ , and we have

$$\int_0^\pi p(k_1, x)(f')^2 dx < \int_0^\pi p(k_2, x)(f')^2 dx.$$

By adding  $\int_0^\pi p(k_1, x)f^2 dx$  and  $\int_0^\pi p(k_2, x)f^2 dx$  to both sides, we obtain

$$\int_0^\pi p(k_1, x)((f')^2 + f^2) dx < \int_0^\pi p(k_2, x)f^2 dx + \int_0^\pi p(k_2, x)((f')^2 + f^2) dx < \int_0^\pi p(k_1, x)f^2 dx + \int_0^\pi p(k_1, x)((f')^2 + f^2) dx.$$

This inequality implies  $R_{k_1}[f] < R_{k_2}[f]$ .

Therefore, since  $p(1, x) = \sin x$  on  $[0, \pi]$ , one has the inequality

$$(3.7) \quad \widehat{\lambda}_0(k) > \inf_{f \in \mathcal{L}} \frac{\int_0^\pi ((f')^2 + f^2) \sin x dx}{\int_0^\pi f^2 \sin x dx}.$$

Any function  $f \in \mathcal{L}$  can be expressed in the form  $g(\cos x)$ , where  $g$  is a function in the segment  $[-1, 1]$  such that

$$\int_{-1}^1 \frac{g^2(t)}{\sqrt{1-t^2}} dt < \infty, \quad \int_{-1}^1 (g'(t))^2 \sqrt{1-t^2} dt < \infty, \quad g(t) \equiv -g(-t).$$

Consequently,  $g$  lies in a wider space  $\mathcal{H}$  given by

$$\mathcal{H} = \{ g(t) \in L^2[-1, 1] \mid g'(t)\sqrt{1-t^2} \in L^2[-1, 1], g(t) \equiv -g(-t) \}.$$

Given any function  $g \in \mathcal{H}$  consider the orthonormal basis in  $L^2[-1, 1]$  formed by normalized Legendre polynomials  $\sqrt{(2n+1)/2}P_n(t)$ . Let us recall that the Legendre

polynomials satisfy the Legendre equation,

$$\frac{d}{dt} \left( (1-t^2) \frac{dP_n(t)}{dt} \right) = -n(n+1)P_n(t).$$

Suppose that

$$g(t) = \sum_{i=1}^{\infty} a_n \sqrt{\frac{2n+1}{2}} P_n(t)$$

is a Fourier expansion for  $g(t)$  that starts with  $i = 1$  due to the oddity of  $g(t)$ . Then  $g'(t)\sqrt{1-t^2} \in L^2[-1, 1]$  and the associated Legendre functions  $P_n^1(t) = \sqrt{1-t^2}P_n'(t)$  form an orthogonal basis in  $L^2$  and let

$$g'(t)\sqrt{1-t^2} = \sum_{i=1}^{\infty} b_m \sqrt{\frac{2m+1}{2}} P_m^1(t).$$

Recall that for  $m, n \geq 1$  one has the orthogonality property

$$\int_{-1}^1 P_n^1(t)P_m^1(t) dt = \frac{2n(n+1)}{2n+1} \delta_{m,n}.$$

If by  $(\cdot, \cdot)$  we denote the  $L^2$ -inner product in  $\mathcal{H}$ , then

$$\begin{aligned} \sqrt{\frac{2}{2n+1}} n(n+1) b_n &= (g'(t)\sqrt{1-t^2}, P_n^1(t)) = -\left( g(t), \frac{d}{dt} \left( (1-t^2) \frac{dP_n(t)}{dt} \right) \right) \\ &= (g(t), n(n+1)P_n(t)) = \sqrt{\frac{2}{2n+1}} n(n+1) a_n. \end{aligned}$$

It follows that  $a_n = b_n$ . Now the expression under the inf on the right-hand side of inequality (3.7) in terms of  $g(t)$  has the form

$$R_1[g] = \frac{\int_{-1}^1 (1-t^2)g'^2(t) + g^2(t) dt}{\int_{-1}^1 g^2(t) dt}.$$

Substituting the series for  $g(t)$  and  $g'(t)\sqrt{1-t^2}$  into this quotient, we see that the infimum is reached on  $g(t) = P_1(t) = t$ , and the quotient is equal to 3. Thus,  $\widehat{\lambda}_0(k) > 3$  for  $0 < k < 1$ .

Then it is easy to see that  $h_3(0) = 4$  and  $h_3(k)$  depend continuously on  $k$ . Since  $h_3(k) \neq 2$ , one has  $h_3(k) > 2$  for every  $k \in (0, 1)$ . ■

### 3.2 Proof of Proposition 3.2

Let us first remark that equation (2.2) is the Lamé equation with parameters

$$k^2 = \frac{m^2 - n^2}{m^2 + 2mn}, \quad h = \frac{(m^2 + mn)\lambda - l^2}{m^2 + 2mn}, \quad \widehat{n}(\widehat{n} + 1) = \lambda.$$

Suppose the contradiction to the statement, i.e.,  $\lambda_3(0) < 2$ . Then, since  $\lambda_3(n) > \lambda_2(n) = 2$ , there exists a number  $l_2$  such that  $\lambda_3(l_2) = 2$ . Then for  $l = l_2$ , equation (2.2) with  $\lambda = 2$  has a solution with 4 zeroes on  $[0, 2\pi)$ . Therefore, so does the Lamé

equation with  $\widehat{n}(\widehat{n}+1) = \lambda$ . But such a solution corresponds to either  $h_3(k)$  or  $h_4(k)$ , and one has

$$h_4(k) \geq h_3(k) \geq 2 \quad \text{or} \quad \frac{2(m^2 + mn) - l_2^2}{m^2 + 2mn} \geq 2,$$

which implies  $l_2^2 < 0$ . We obtain a contradiction. ■

### 4 Value of the Corresponding Functional

In this section we prove Proposition 1.3. We start with the formula for the area of  $M_{m,n}$ .

$$\begin{aligned} (4.1) \quad \text{Area}(M_{m,n}) &= \frac{2\pi}{\sqrt{2}} \int_0^{2\pi} \frac{m^2 + 2mn + n^2 - (m^2 - n^2) \cos 2x}{\sqrt{m^2 + 4mn + n^2 - (m^2 - n^2) \cos 2x}} dx \\ &= 8\pi \int_0^{\frac{\pi}{2}} \frac{m^2 + mn - (m^2 - n^2) \sin^2 x}{\sqrt{m^2 + 2mn - (m^2 - n^2) \sin^2 x}} dx \\ &= 8\pi \left( \sqrt{m^2 + 2mn} E\left(\sqrt{\frac{m^2 - n^2}{m^2 + 2mn}}\right) - \frac{mn}{\sqrt{m^2 + 2mn}} K\left(\sqrt{\frac{m^2 - n^2}{m^2 + 2mn}}\right) \right). \end{aligned}$$

If  $mn \equiv 1 \pmod 2$ , then one has to take into account the symmetry  $(x, y) \mapsto (x + \pi, y + \pi)$ , hence this number has to be divided by 2.

Now, following [14], we prove the non-maximality of the metric on  $M_{m,n}$ . Let us recall two propositions from [14].

**Proposition 4.1** *The following inequality holds:  $\sup \Lambda_n(\mathbb{T}^2, g) > 8\pi n$ .*

**Proposition 4.2** *For every  $k \in [0, 1]$ , one has*

$$K(k) - \frac{2}{2 - k^2} E(k) \geq 0.$$

By Proposition 4.1 the following proposition implies non-maximality of the tori  $M_{m,n}$ .

**Proposition 4.3** *If  $mn \equiv 1 \pmod 2$  and  $m \neq 1$ , then the following inequality holds:*

$$8\pi(2(m + n) - 3) \geq \Lambda_{2m+2n-3}(M_{m,n}).$$

*If  $mn \equiv 0 \pmod 2$ , then the following inequality holds:*

$$8\pi(4(m + n) - 3) \geq \Lambda_{4m+4n-3}(M_{m,n}).$$

**Proof** Assume  $mn \equiv 1 \pmod 2$ . Then by formula (4.1),

$$\begin{aligned} (4.2) \quad \Lambda_{2m+2n-3}(M_{m,n}) &= 2 \text{Area}(M_{m,n}) \\ &= 8\pi \left( \sqrt{m^2 + 2mn} E\left(\sqrt{\frac{m^2 - n^2}{m^2 + 2mn}}\right) - \frac{mn}{\sqrt{m^2 + 2mn}} K\left(\sqrt{\frac{m^2 - n^2}{m^2 + 2mn}}\right) \right). \end{aligned}$$

Let us apply Proposition 4.2 with  $k = \sqrt{\frac{m^2 - n^2}{m^2 + 2mn}}$ . Then we have

$$-\frac{m^2 + 4mn + n^2}{2m^2 + 4mn} K(k) \leq E(k).$$

Applying this inequality to formula (4.2), we have

$$\Lambda_{2m+2n-3} \leq 8\pi\sqrt{m^2 + 2mn} \left(1 - \frac{2mn}{m^2 + 4mn + n^2}\right) E(k).$$

Therefore, in order to prove the first inequality, it is sufficient to obtain the inequality

$$(4.3) \quad \sqrt{m^2 + 2mn} \left(1 - \frac{2mn}{m^2 + 4mn + n^2}\right) E(k) \leq 2m + 2n - 3.$$

Let us divide both parts of inequality (4.3) by  $m$  and denote the ratio  $\frac{n}{m}$  by  $x \in [0, 1]$ . Then formula (4.3) transforms into

$$\sqrt{1+2x} \left(1 - \frac{2x}{1+4x+x^2}\right) E\left(\sqrt{\frac{1-x^2}{1+2x}}\right) \leq 2(1+x) - \frac{3}{m}.$$

Since  $E(\widehat{k}) \leq \frac{\pi}{2}$  for each  $\widehat{k} \in [0, 1]$ , this inequality could be obtained from

$$(4.4) \quad \frac{6}{m} \leq 4(1+k) - \pi\sqrt{1+2k}.$$

Inequality (4.4) holds for  $m \geq 7$ . Thus we have several exceptional cases:

$$\{m, n\} = \{3, 1\}, \{5, 1\}, \{5, 3\}, \{7, 1\}, \{7, 3\}, \{7, 5\}.$$

For these cases, inequality (4.3) can be verified explicitly using the tables of elliptic integrals in [3].

Proof of the second inequality is obtained in the same way. There are also exceptional cases:  $\{m, n\} = \{2, 1\}, \{3, 2\}$ . ■

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