

# ESSENTIALLY COMMUTATIVE $C^*$ -ALGEBRAS WITH ESSENTIAL SPECTRUM HOMEOMORPHIC TO $S^{2n-1}$

KUNYU GUO

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## Abstract

This paper gives a complete classification of essentially commutative  $C^*$ -algebras whose essential spectrum is homeomorphic to  $S^{2n-1}$  by their characteristic numbers. Let  $\mathcal{A}_1, \mathcal{A}_2$  be such two  $C^*$ -algebras; then they are  $C^*$ -isomorphic if and only if they have the same  $n$ -th characteristic number. Furthermore, let  $\gamma_n(\mathcal{A}) = m$ ; then  $\mathcal{A}$  is  $C^*$ -isomorphic to  $C^*(M_{z_1}, \dots, M_{z_n})$  if  $m = 0$ ,  $\mathcal{A}$  is  $C^*$ -isomorphic to  $C^*(T_{z_1}, \dots, T_{z_{n-1}}, T_{z_n}^m)$  if  $m \neq 0$ . Some examples are given to show applications of the classification theorem. We finally remark that the proof of the theorem depends on a construction of a complete system of representatives of  $\text{Ext}(S^{2n-1})$ .

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## 1. Introduction

Let  $\mathcal{A}$  be a  $C^*$ -algebra of operators on a separable Hilbert space  $H$ . In what follows we assume always that  $\mathcal{A}$  contains the identity operator  $I$  and the ideal  $\mathcal{K}$  of compact operators. We say that  $\mathcal{A}$  is *essentially commutative* if  $AB - BA$  is compact for all  $A, B \in \mathcal{A}$ . A natural problem is how to classify essentially commutative  $C^*$ -algebras in  $C^*$ -isomorphism sense. Then the problem is to find invariants and models. First if two such  $C^*$ -algebras are  $C^*$ -isomorphic, then the isomorphism is necessarily implemented by a unitary operator [Dou]. Let  $\mathcal{A}$  be essentially commutative, and  $M_{\mathcal{A}}$  be the maximal ideal space of  $\mathcal{A}/\mathcal{K}$  which is called the *essential spectrum* of  $\mathcal{A}$ . For a compact metrizable space  $X$ , let  $\Sigma_X$  denote the class of all essentially commutative

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$C^*$ -algebras  $\mathcal{A}$  whose essential spectrum is homeomorphic to  $X$ . Now taking  $\mathcal{A}$  in  $\Sigma_X$ , one hence has a natural extension of  $\mathcal{K}$  by  $C(X)$

$$0 \longrightarrow \mathcal{K} \longrightarrow \mathcal{A} \xrightarrow{\phi} C(X) \longrightarrow 0.$$

The classification problem thus is equivalent to the classification of extensions of  $\mathcal{K}$  by  $C(X)$  in the following sense. Let  $(\mathcal{A}_1, \phi_1)$  and  $(\mathcal{A}_2, \phi_2)$  be two extensions of  $\mathcal{K}$  by  $C(X)$ . We call them *weakly equivalent* if there exists the following commutative diagram

$$\begin{array}{ccccccc} 0 & \longrightarrow & \mathcal{K} & \longrightarrow & \mathcal{A}_1 & \xrightarrow{\phi_1} & C(X) \longrightarrow 0 \\ & & \downarrow \theta_1 & & \downarrow \theta_2 & & \downarrow \theta_3 \\ 0 & \longrightarrow & \mathcal{K} & \longrightarrow & \mathcal{A}_2 & \xrightarrow{\phi_2} & C(X) \longrightarrow 0, \end{array}$$

where  $\theta_1, \theta_2$  and  $\theta_3$  are  $C^*$ -isomorphisms. Now let  $\text{Ext}_w(X)$  denote the set of the classes of weak equivalence. From Blackadar [Bl], one knows that  $\text{Ext}_w(X)$  is a semigroup, but in general, not a group. Hence intuitively, the classification problem for  $\Sigma_X$  is closely related to the BDF-theory [BDF1, BDF2] and homotopy theory. For general compact metrizable space  $X$ , it is extremely difficult to classify  $\Sigma_X$  in  $C^*$ -isomorphism sense. In [Guo1], we introduce an invariant called the characteristic number to study essentially normal operators. In the present paper, we will develop this invariant, and use it to give  $\Sigma_{S^{2n-1}}$  a complete classification, where  $S^{2n-1}$  is the boundary of the unit ball  $B_n$  in  $\mathbb{C}^n$ . For convenience, we write  $\Sigma_n$  for  $\Sigma_{S^{2n-1}}$ . Firstly, we use the mapping degrees on the unit sphere to give a complete system of representatives of  $\text{Ext}(S^{2n-1})$ , and hence shows that the  $n$ -th characteristic number  $\gamma_n$  is a complete invariant for the class  $\Sigma_n$  in  $C^*$ -isomorphism sense. Some examples are given to show the applications of the classification theorem. Since the generalized Poincaré conjecture is true in the case  $n \neq 3$  (see [Sma1, Sma2]), our example shows that Toeplitz algebra  $C^*(\Omega)$  on Poincaré domain  $\Omega \subset \mathbb{C}^n$ ,  $n \neq 2$  is necessarily  $C^*$ -isomorphic to Toeplitz algebra  $C^*(B_n)$  on the unit ball in  $\mathbb{C}^n$ . In the case  $n = 2$ ,  $C^*(\Omega)$  is isomorphic to  $C^*(B_2)$  if and only if the Poincaré conjecture is true for  $\partial\Omega$ . This fact is proved by the different method in [Guo2].

## 2. Some basic lemmas

Let  $\mathcal{A}$  be essentially commutative. If a family  $\{T_\lambda | \lambda \in \Lambda\}$ ,  $\mathcal{K}$  and the identity operator  $I$  generate  $\mathcal{A}$ , the family  $\{T_\lambda | \lambda \in \Lambda\}$  is called a *set of generators* of  $\mathcal{A}$ . The *rank* of  $\mathcal{A}$ , by definition, is the minimum cardinality of such a family, and is denoted by  $\text{rank}(\mathcal{A})$ . A  $C^*$ -algebra is said to be *finitely generated* if  $\text{rank}(\mathcal{A})$  is finite. Let

$\text{rank}(A) = n$ , and  $\{T_1, T_2, \dots, T_n\}$  be a set of generators of  $\mathcal{A}$ . This induces a natural homeomorphism

$$\tau : M_{\mathcal{A}} \rightarrow \Delta$$

by  $\tau(m) = (\hat{T}_1(m), \dots, \hat{T}_n(m))$ , where  $\hat{T}$  denotes the Gelfand transform of  $T$  onto  $C(M_{\mathcal{A}})$  and  $\Delta = \{(\hat{T}_1(m), \dots, \hat{T}_n(m)) \mid m \in M_{\mathcal{A}}\} \subset C^n$ . It is obvious that the topological dimension of  $\Delta$  ( $\leq 2n$ ) is uniquely determined by  $\mathcal{A}$ . For the unit sphere  $S^{2n-1}$  of  $C^n$ , we have the following basic fact.

**LEMMA 2.1.** *Let the essential spectrum  $M_{\mathcal{A}}$  of  $\mathcal{A}$  be homeomorphic to  $S^{2n-1}$ . Then  $\text{rank}(\mathcal{A}) = n$ , and there exists a set  $\{T_1, T_2, \dots, T_n\}$  of generators of  $\mathcal{A}$  such that*

$$\tau : M_{\mathcal{A}} \rightarrow S^{2n-1}; \quad \tau(m) = (\hat{T}_1(m), \dots, \hat{T}_n(m))$$

is a homeomorphism.

**PROOF.** If the essential spectrum  $M_{\mathcal{A}}$  of  $\mathcal{A}$  is homeomorphic to  $S^{2n-1}$ , then one has a natural extension of  $\mathcal{K}$  by  $C(S^{2n-1})$

$$(2.1) \quad 0 \longrightarrow \mathcal{K} \longrightarrow \mathcal{A} \xrightarrow{\phi} C(S^{2n-1}) \longrightarrow 0.$$

Now take  $T_i$  in  $\phi^{-1}(z_i)$  for  $i = 1, 2, \dots, n$ . It is easily checked that the family  $\{T_1, T_2, \dots, T_n\}$  is a set of generators of  $\mathcal{A}$ , and

$$\tau : M_{\mathcal{A}} \rightarrow S^{2n-1}; \quad \tau(m) = (\hat{T}_1(m), \dots, \hat{T}_n(m))$$

is a homeomorphism. Since

$$2 \text{rank}(\mathcal{A}) \geq 2n - 1,$$

this implies  $\text{rank}(\mathcal{A}) = n$ . □

From Lemma 2.1, each  $\mathcal{A}$  in  $\Sigma_n$  yields an extension (2.1) of  $\mathcal{K}$  by  $C(S^{2n-1})$  and hence yields the following exact sequence

$$(2.2) \quad 0 \longrightarrow \mathcal{K} \otimes M_k \longrightarrow \mathcal{A} \otimes M_k \xrightarrow{\phi \otimes 1} C(S^{2n-1}) \otimes M_k \longrightarrow 0$$

for the algebra  $M_k$  of  $k \times k$  complex matrices. For  $A \in \mathcal{A} \otimes M_k$ ,  $\tilde{A}$ , the image of  $A$  in  $C(S^{2n-1}) \otimes M_k$ , is called the symbol of  $A$ . It is easily seen that  $A$  is Fredholm if and only if  $\tilde{A}$  has non-vanishing determinant.

**LEMMA 2.2.** *Let  $n > 1$  and  $k < n$ . Then for any Fredholm operator  $A$  in  $\mathcal{A} \otimes M_k$ , we have  $\text{index}(A) = 0$ .*

PROOF. Let  $GL(n, C)$  denote the complex linear group. Consider a continuous map

$$F : S^{2n-1} \rightarrow GL(n, C).$$

The first column  $F_1$  of the matrix  $F$  defines a map

$$F_1 : S^{2n-1} \rightarrow C^n - \{0\}$$

so that  $f = F_1/|F_1|$  is a map from  $S^{2n-1}$  to  $S^{2n-1}$ . This map has a degree,  $\text{deg}(f)$ , up to a sign, the number of points in  $h^{-1}(p)$ , where  $h$  is a differentiable approximation to  $f$  and  $p$  is a general point (see [Ati] or [Hir]). For  $F$ , we then define the degree of  $F$  by

$$\text{deg}(F) = \frac{(-1)^{n-1} \text{deg}(f)}{(n-1)!}.$$

Defining  $\text{index}(\tilde{A})$  by  $\text{index}(A)$ , then  $\text{index}(\tilde{A}) = \text{index}(\tilde{A}, I_{n-k})$ , where  $I_{n-k}$  is the  $(n-k) \times (n-k)$  identity matrix, and  $(\tilde{A}, I_{n-k})$  denotes the matrix

$$(\tilde{A}, I_{n-k}) = \begin{pmatrix} \tilde{A} & 0 \\ 0 & I_{n-k} \end{pmatrix}.$$

Let  $F_1$  be the first column of the matrix  $(\tilde{A}, I_{n-k})$ . It is obvious that the image of  $f = F_1/|F_1| : S^{2n-1} \rightarrow S^{2n-1}$  is a proper closed subset of  $S^{2n-1}$ . One thus concludes  $\text{deg}(f) = 0$  by [BT] or [Hir]. Let continuous maps  $\hat{A}$  and  $\hat{I}_n$  from  $S^{2n-1}$  to  $GL(n, C)$  be given respectively by  $(\tilde{A}, I_{n-k})$  and the  $n \times n$  identity matrix  $I_n$ . Since

$$\text{deg}(\hat{A}) = \text{deg}(\hat{I}_n) = 0,$$

the theorem of Bott implies that  $\hat{A}$  can be continuously deformed to  $\hat{I}_n$  (see [Ati]). Combining the above discussion with Douglas [Dou], we see that

$$\text{index}(A) = \text{index}(\tilde{A}) = \text{index}(\tilde{A}, I_{n-k}) = \text{index}(I_n) = \text{index}(I) = 0. \quad \square$$

In [Guo1], we introduced an invariant called the characteristic number to study essentially normal operators. Lemma 2.2 motivates us to introduce characteristic numbers for  $C^*$ -algebras. For any essentially commutative  $C^*$ -algebra  $\mathcal{A}$ , since the image of all Fredholm operators in  $\mathcal{A}$  is a multiplicative group in the Calkin algebra, it follows that the indices of all Fredholm operators in  $\mathcal{A}$  form a subgroup  $\Gamma$  of the integer group  $\mathbb{Z}$ , that is, there exists a unique non-negative integer  $m$  such that  $\Gamma = m\mathbb{Z}$ . The characteristic number  $\gamma(\mathcal{A})$  of  $\mathcal{A}$ , by definition, is the above  $m$ . We also define the  $n$ -th characteristic number  $\gamma_n(\mathcal{A})$  of  $\mathcal{A}$  by  $\gamma(\mathcal{A} \otimes M_n)$ . By the inclusion of  $\mathcal{A} \otimes M_n$  in  $\mathcal{A} \otimes M_{n+1}$  which sends  $A$  to  $(A, I)$ , this forces that  $\gamma_{n+1}(\mathcal{A})$  is a factor of  $\gamma_n(\mathcal{A})$  for any natural number  $n$ .

LEMMA 2.3. *Let  $\mathcal{A}$  be in the class  $\Sigma_n$ . Then*

$$\gamma_1(\mathcal{A}) = \gamma_2(\mathcal{A}) = \dots = \gamma_{n-1}(\mathcal{A}) = 0$$

and

$$\gamma_n(\mathcal{A}) = \gamma_{n+1}(\mathcal{A}) = \dots .$$

PROOF. From Lemma 2.2, we only need to show that

$$\gamma_n(\mathcal{A}) = \gamma_{n+1}(\mathcal{A}) = \dots .$$

Let  $F : S^{2n-1} \rightarrow GL(N, C)$  be a continuous map, here  $N > n$ . Then by Atiyah [Ati], there is a continuous map

$$G : [0, 1] \times S^{2n-1} \rightarrow GL(N, C)$$

such that  $G(0, z) = F(z)$  and

$$G(1, z) = \begin{pmatrix} H(z) & 0 \\ 0 & I_{N-n} \end{pmatrix},$$

where  $I_{N-n}$  is the  $(N - n) \times (N - n)$  identity matrix. The argument used in the proof of Lemma 2.2 can then be exploited to show that  $\gamma_N(\mathcal{A}) = \gamma_n(\mathcal{A})$ . □

To understand the importance of characteristic numbers a little better we shall see in Section 3 that  $\gamma_n$  is a complete invariant of  $C^*$ -algebras in  $\Sigma_n$  in  $C^*$ -isomorphism sense.

### 3. The equivalence classes of $\text{Ext}(S^{2n-1})$

Let us begin with facts from the BDF-theory [BDF1, BDF2]. Let  $X$  be a compact metrizable space. An *extension* of  $\mathcal{K}$  by  $C(X)$  is a pair  $(\mathcal{E}, \phi)$ , where  $\mathcal{E}$  is a  $C^*$ -subalgebra of operators on some separable Hilbert space which contains  $\mathcal{K}$  and the identity operator  $I$ , and  $\phi$  is a  $C^*$ -homomorphism of  $\mathcal{E}$  onto  $C(X)$  with kernel  $\mathcal{K}$ . In the language of homology, an extension  $(\mathcal{E}, \phi)$  is a short exact sequence

$$0 \longrightarrow \mathcal{K} \xrightarrow{i} \mathcal{E} \xrightarrow{\phi} C(X) \longrightarrow 0.$$

Extensions  $(\mathcal{E}_1, \phi_1)$  and  $(\mathcal{E}_2, \phi_2)$  are called *equivalent* if there exists a  $C^*$ -isomorphism  $\psi : \mathcal{E}_2 \rightarrow \mathcal{E}_1$  such that  $\phi_2 = \phi_1 \psi$ . The set of equivalence classes of extensions of  $\mathcal{K}$  by  $C(X)$  is denoted  $\text{Ext}(X)$ . In [BDF2], they proved that  $\text{Ext}(X)$  is a group, and the correspondence  $X \mapsto \text{Ext}(X)$  yields a homotopy invariant covariant functor. It is well known that one of the applications of the BDF-theory is to classify essentially normal operators modulo the compacts under unitary equivalence (see [BDF1]).

Below, we shall concentrate on working out explicitly a complete system of representatives for the equivalence classes of extensions of  $\mathcal{K}$  by  $C(S^{2n-1})$ . In the case  $n = 1$ , a complete system of representatives of  $\text{Ext}(S^1)$  is worked out explicitly by Toeplitz extension on the unit circle  $S^1$  in [BDF1]. In the case  $n > 1$ , the periodicity theorem [BDF2] implies  $\text{Ext}(S^{2n-1}) = \mathbb{Z}$ . Let  $L^2_a(B_n)$  be the Bergman space on the unit ball  $B_n$  in  $\mathbb{C}^n$ , and let  $C^*(B_n)$  be the  $C^*$ -algebra generated by all Toeplitz operators on  $L^2_a(B_n)$  with symbols in  $C(\overline{B}_n)$ . The Coburn exact sequence [Cob]

$$0 \longrightarrow \mathcal{K} \xrightarrow{i} C^*(B_n) \xrightarrow{\pi} C(S^{2n-1}) \longrightarrow 0$$

is a natural extension of  $\mathcal{K}$  by  $C(S^{2n-1})$ . From Venugopal Krishna [Ven], we see that this extension is a generator of  $\text{Ext}(S^{2n-1})$  (see also [BDF2]). By the theorem of Bott in [Ati], there is a natural isomorphism

$$\pi_{2n-1}(GL(n, \mathbb{C})) \cong K^1(S^{2n-1}) \cong \mathbb{Z},$$

where  $\pi_{2n-1}(GL(n, \mathbb{C}))$  is the group of homotopy classes of continuous maps from  $S^{2n-1}$  to  $GL(n, \mathbb{C})$ . Applying the BDF-theory [BDF2], the homomorphism

$$\gamma_\infty : \text{Ext}(S^{2n-1}) \rightarrow \text{Hom}(\pi_{2n-1}(GL(n, \mathbb{C})), \mathbb{Z}) (\cong \mathbb{Z})$$

is surjective, and hence is an isomorphism. For an extension  $(\mathcal{E}, \phi)$ ,  $\gamma_\infty(\mathcal{E})$  is defined by

$$\gamma_\infty(\mathcal{E})[f_{ij}] = \text{index}[\phi^{-1}(f_{ij})].$$

Let  $L^2(S^{2n-1})$  denote the Hilbert space of square-integrable functions on  $S^{2n-1}$ . For  $f \in C(S^{2n-1})$ , we denote by  $M_f$  multiplication operator on  $L^2(S^{2n-1})$ . It is well known that  $(\mathcal{E}_0, \pi_0)$  is the zero element in  $\text{Ext}(S^{2n-1})$ , where

$$\mathcal{E}_0 = C^*(M_{z_1}, \dots, M_{z_n}) = \{M_f + K \mid f \in C(S^{2n-1}), K \in \mathcal{K}\},$$

and  $\pi_0(M_f + K) = f$ . Now let  $\sigma : S^{2n-1} \rightarrow S^{2n-1}$  be a continuous map with the mapping degree  $\text{deg}(\sigma) \neq 0$ ,  $\sigma$  is then surjective. We use  $\mathcal{E}_\sigma$  to denote the  $C^*$ -algebra  $\{T_{f \circ \sigma} + K \mid f \in C(S^{2n-1}), K \in \mathcal{K}\}$ , where  $T_{f \circ \sigma}$  is Toeplitz operator with symbol  $f \circ \sigma$  on the Bergman space  $L^2_a(B_n)$ , and  $f \circ \sigma$  is the standard Poisson extension of  $f \circ \sigma$  onto  $\overline{B}_n$ . This gives an extension  $(\mathcal{E}_\sigma, \pi_\sigma)$ , where  $\pi_\sigma(T_{f \circ \sigma} + K) = f$ . In fact, it is easily seen that  $(\mathcal{E}_\sigma, \pi_\sigma) = \sigma_*(C^*(B_n), \pi)$ , and hence if  $\sigma_1$  and  $\sigma_2$  are homotopic, the homotopy invariance of  $\text{Ext}$  then implies that  $(\mathcal{E}_{\sigma_1}, \pi_{\sigma_1})$  and  $(\mathcal{E}_{\sigma_2}, \pi_{\sigma_2})$  are equivalent.

For  $i = \pm 1, \pm 2, \dots$ , take  $\sigma_i$  to be a continuous map from  $S^{2n-1}$  to  $S^{2n-1}$  with mapping degree  $\text{deg}(\sigma_i) = i$ . We have thus the following.

**LEMMA 3.1.** *The extensions  $(\mathcal{E}_{\sigma_i}, \pi_{\sigma_i})$  ( $i = \pm 1, \pm 2, \dots$ ) together with the trivial extension  $(\mathcal{E}_0, \pi_0)$  form a complete system of representatives of  $\text{Ext}(S^{2n-1})$ .*

PROOF. Let  $m$  be a non-zero integer. By [BDF2], one has

$$\gamma_\infty(\mathcal{E}_{\sigma_m})[f_{ij}] = \text{index} [T_{f_{ij}, \sigma_m}] = \text{deg}(\sigma_m) \text{index} [T_{f_{ij}}] = m \text{index} [T_{f_{ij}}]$$

and

$$\gamma_\infty(mC^*(B_n))[f_{ij}] = m \text{index} [T_{f_{ij}}].$$

It follows that  $(\mathcal{E}_{\sigma_m}, \pi_{\sigma_m})$  and  $(mC^*(B_n), \pi^{(m)})$  are equivalent. Note that the Toeplitz extension  $(C^*(B_n), \pi)$  is a generator of  $\text{Ext}(S^{2n-1})$ , we thus conclude that the extensions  $(\mathcal{E}_{\sigma_i}, \pi_{\sigma_i})$  ( $i = \pm 1, \pm 2, \dots$ ) together with the extension  $(\mathcal{E}_0, \pi_0)$  form a complete system of representatives of  $\text{Ext}(S^{2n-1})$ . □

LEMMA 3.2. *Let  $\sigma : S^{2n-1} \rightarrow S^{2n-1}$  be a continuous map. Then we have*

$$\gamma_n(\mathcal{E}_\sigma) = |\text{deg}(\sigma)|.$$

PROOF. Apply Venugopalkrishna [Ven, Theorem 1.5] and the multiplication formula of mapping degree [Hir]. □

LEMMA 3.3. *Let  $\sigma', \sigma'' : S^{2n-1} \rightarrow S^{2n-1}$  be continuous maps. Then  $\mathcal{E}_{\sigma'}$  and  $\mathcal{E}_{\sigma''}$  are  $C^*$ -isomorphic if and only if*

$$|\text{deg}(\sigma')| = |\text{deg}(\sigma'')|.$$

PROOF. If  $\mathcal{E}_{\sigma'}$  and  $\mathcal{E}_{\sigma''}$  are  $C^*$ -isomorphic, then the isomorphism is implemented by a unitary operator. This implies thus that

$$\gamma_n(\mathcal{E}_{\sigma'}) = \gamma_n(\mathcal{E}_{\sigma''})$$

and hence by Lemma 3.2,

$$|\text{deg}(\sigma_1)| = |\text{deg}(\sigma_2)|.$$

If  $\text{deg}(\sigma') = \text{deg}(\sigma'')$ , then Hopf lemma ([Hir]) implies that the maps  $\sigma', \sigma'' : S^{2n-1} \rightarrow S^{2n-1}$  are homotopic, and hence the homotopy invariance of  $\text{Ext}$  shows that  $\mathcal{E}_{\sigma'}$  and  $\mathcal{E}_{\sigma''}$  are isomorphic as  $C^*$ -algebras. If  $\text{deg}(\sigma') = -\text{deg}(\sigma'')$ , write  $\sigma'' = (\phi_1, \phi_2, \dots, \phi_n)$  and define  $\sigma'''$  by  $(\phi_1, \phi_2, \dots, \overline{\phi_n})$ , then

$$\text{deg}(\sigma') = \text{deg}(\sigma''')$$

and hence  $\mathcal{E}_{\sigma'}$  and  $\mathcal{E}_{\sigma'''}$  are  $C^*$ -isomorphic. Since  $\mathcal{E}_{\sigma''} = \mathcal{E}_{\sigma'''}$ , it follows that  $\mathcal{E}_{\sigma'}$  and  $\mathcal{E}_{\sigma''}$  are  $C^*$ -isomorphic. □

THEOREM 3.4. *Let  $\mathcal{E}$  and  $\mathcal{F}$  be in  $\Sigma_n$ . Then  $\mathcal{E}$  and  $\mathcal{F}$  are  $C^*$ -isomorphic if and only if*

$$\gamma_n(\mathcal{E}) = \gamma_n(\mathcal{F}).$$

PROOF. Assume first that  $\mathcal{E}$  and  $\mathcal{F}$  are  $C^*$ -isomorphic. Then the equality  $\gamma_n(\mathcal{E}) = \gamma_n(\mathcal{F})$  is immediate. Conversely, since  $\mathcal{E}$  and  $\mathcal{F}$  are respectively  $C^*$ -isomorphic to one of  $\mathcal{E}_0, \mathcal{E}_{\sigma_1}, \mathcal{E}_{\sigma_2}, \dots$ , Lemmas 3.1–3.3 imply that if  $\gamma_n(\mathcal{E}) = \gamma_n(\mathcal{F})$ , then  $\mathcal{E}$  and  $\mathcal{F}$  are isomorphic as  $C^*$ -algebras.  $\square$

From Theorem 3.4, we see that  $n$ -th characteristic number is a complete invariant for  $C^*$ -algebras in  $\Sigma_n$  in  $C^*$ -isomorphism sense. In next section we will give examples to show applications of the classification Theorem 3.4.

Now we consider Toeplitz algebras on pseudoregular domains ( $\subset \mathbb{C}^n$ ) with smooth boundary. As pointed out in [Sal, SSU], pseudoregular domains include the strongly pseudoconvex domains, pseudoconvex domains with real analytic boundary, and more generally, domains of finite type. Let  $\Omega$  be pseudoregular domain with smooth boundary. Following [Sal, SSU], on the Bergman space  $L^2_a(\Omega)$ , the  $C^*$ -algebra  $C^*(\Omega)$  generated by Toeplitz operators with symbols in  $C(\bar{\Omega})$  is essentially commutative, and its essential spectrum is  $\partial\Omega$ . In [SSU], they proved that for each  $\lambda \in \Omega$ , Toeplitz tuple  $T_z - \lambda = \{T_{z_1} - \lambda_1, \dots, T_{z_n} - \lambda_n\}$  is Fredholm, and  $\text{index}(T_z - \lambda) = (-1)^n$ . By [Cur] and Lemma 2.3, one sees that if  $\partial\Omega$  is homeomorphic to  $S^{2n-1}$ , then  $\gamma_n(C^*(\Omega)) = 1$ . Since  $\gamma_n(C^*(B_n)) = 1$ , Theorem 3.4 immediately yields the following.

EXAMPLE 1. Let  $\Omega$  be a pseudoregular domain with smooth boundary. Then  $\partial\Omega$  and  $S^{2n-1}$  are homeomorphic if and only if  $C^*(\Omega)$  is isomorphic to  $C^*(B_n)$  as  $C^*$ -algebras.

For a pseudoregular domain  $\Omega$  in  $\mathbb{C}^n$ , we say that  $\Omega$  is a Poincaré domain if its boundary  $\partial\Omega$  is homotopy equivalent to the unit sphere  $S^{2n-1}$ , that is,  $\partial\Omega$  is a homotopy  $(2n - 1)$ -sphere. The generalized Poincaré conjecture says if every closed  $n$ -manifold  $M$  which is a homotopy  $n$ -sphere is homeomorphic to the  $n$ -sphere (see [Sma1, Sma2]). Smale [Sma2] showed that the generalized Poincaré conjecture is true in the case  $n > 4$ . Freedman [Fre] proved the case  $n = 4$ . For  $n = 1, 2$ , it is well known that the generalized conjecture is true (see [Hir]). Therefore the famous Poincaré conjecture says that every closed 3-manifold which is a homotopy 3-sphere is homeomorphic to the 3-sphere. This has never been answered. Therefore, for each Poincaré domain  $\Omega$  in  $\mathbb{C}^n$  ( $n \neq 2$ ), its boundary  $\partial\Omega$  is actually homeomorphic to the  $(2n - 1)$ -sphere. Example 1 shows thus the following.

EXAMPLE 2. Let  $\Omega$  be a Poincaré domain in  $\mathbb{C}^n$  ( $n \neq 2$ ). Then

$$C^*(\Omega) \cong C^*(B_n).$$

Example 2 is proved by different method in [Guo2]. Example 2 suggests that for the Poincaré conjecture in the case of  $\partial\Omega$ , an operator algebraic proof is perhaps possible. Of course, the validity of the Poincaré conjecture for  $\partial\Omega$  remains unknown.

EXAMPLE 3. Let  $0 < p, q < \infty$  and  $\Omega_{p,q} = \{z \in \mathbb{C}^2 \mid |z_1|^p + |z_2|^q < 1\}$ .  $\Omega_{p,q}$  is pseudoconvex (because  $\log(\Omega_{p,q})_+$  is convex); when  $p, q \geq 2$ ,  $\Omega_{p,q}$  is Levi pseudoconvex; and  $\Omega_{p,q}$  is strongly pseudoconvex if and only if  $p = q = 2$ . From [CS], on the Bergman space  $L_a^2(\Omega_{p,q})$ , one sees that Toeplitz algebra  $C^*(\Omega_{p,q})$  (generated by Toeplitz operators with symbols in  $C(\overline{\Omega_{p,q}})$ ) is essentially commutative, and its essential spectrum is  $\partial\Omega_{p,q}$ , also for each  $\lambda \in \Omega_{p,q}$ ,  $\text{index}(T_z - \lambda) = 1$ . Then by the radial projection,  $\partial\Omega_{p,q}$  and  $S^3$  are homeomorphic, and hence  $C^*(\Omega_{p,q})$  and  $C^*(B_2)$  are isomorphic as  $C^*$ -algebras. However, for  $p$  or  $q \neq 2$ , it is easy to prove that there does not exist any proper holomorphic mapping that maps the unit ball  $B_2$  onto  $\Omega_{p,q}$ . In this example, we restricted ourselves to  $n = 2$ , but it is clear that all results hold for  $n \geq 2$ .

EXAMPLE 4. Considering the domain  $\Omega = \{z \in \mathbb{C}^2 \mid |z_1|^2 + |z_2|^2 + |z_1 z_2|^2 < 1\}$ , it is easy to check that  $\Omega$  is a strongly pseudoconvex domain with smooth boundary. Then by the radial projection,  $\partial\Omega$  and  $S^3$  are homeomorphic, and hence  $C^*(\Omega)$  and  $C^*(B_2)$  are isomorphic as  $C^*$ -algebras. However, by the Cartan Theorem, the unit ball  $B_2$  and  $\Omega$  are never holomorphically equivalent.

#### 4. The construction of representatives of the class $\Sigma_n$

In this section, we shall construct explicitly a complete system of representatives of the class  $\Sigma_n$ . Let  $m$  be a positive integer. Define the map  $\sigma_m : S^{2n-1} \rightarrow S^{2n-1}$  by

$$\sigma_m(z_1, \dots, z_n) = \left( z_1, \dots, z_{n-1}, |z_n| \frac{z_n^m}{|z_n|^m} \right)$$

and the map  $\sigma_{-m} : S^{2n-1} \rightarrow S^{2n-1}$  by

$$\sigma_{-m}(z_1, \dots, z_n) = \left( z_1, \dots, z_{n-1}, |z_n| \frac{\bar{z}_n^m}{|z_n|^m} \right).$$

We claim

$$\text{deg}(\sigma_m) = m; \quad \text{deg}(\sigma_{-m}) = -m.$$

Write  $\Omega_m$  for pseudoconvex domain

$$\{(z_1, \dots, z_n) \mid |z_1|^2 + \dots + |z_{n-1}|^2 + |z_n|^{2/m} < 1\}.$$

The domain above is pseudoconvex because  $\log(\Omega_m)_+$  is convex. Denote by  $\partial\Omega_m$  the boundary of  $\Omega_m$ , that is,

$$\partial\Omega_m = \{(z_1, \dots, z_n) \mid |z_1|^2 + \dots + |z_{n-1}|^2 + |z_n|^{2/m} = 1\}.$$

Since  $\overline{\Omega_m}$  has the standard orientation, its boundary  $\partial\Omega_m$  inherits an orientation (except some special points), also called ‘standard’. This means that  $(e_1, \dots, e_{2n-1})$  is an

orienting basis for  $\partial\Omega_m$  if  $(e_1, \dots, e_{2n-1}, e_{2n})$  is an orienting basis for  $\overline{\Omega}_m$  and  $e_{2n}$  points into  $\Omega_m$  at  $z \in \partial\Omega_m$ . Define a map  $\delta_m$  from  $S^{2n-1}$  onto  $\partial\Omega_m$  by

$$\delta_m(z_1, \dots, z_n) = (z_1, \dots, z_{n-1}, z_n^m).$$

A straightforward calculation of the Jacobi matrix yields that the mapping degree  $\text{deg}(\delta_m) = m$ . Furthermore, we establish an orientation-preserving homeomorphism  $\eta_m : \partial\Omega_m \rightarrow S^{2n-1}$  by

$$\eta_m(z_1, \dots, z_{n-1}, z_n) = \left( z_1, \dots, z_{n-1}, |z_n|^{1/m} \frac{z_n}{|z_n|} \right).$$

It is easily checked that

$$\sigma_m = \eta_m \circ \delta_m$$

and hence

$$\text{deg}(\sigma_m) = \text{deg}(\eta_m) \text{deg}(\delta_m) = 1m = m.$$

Similarly, define an anti-orientation homeomorphism  $\eta_{-m} : \partial\Omega_m \rightarrow S^{2n-1}$  by

$$\eta_{-m}(z_1, \dots, z_{n-1}, z_n) = \left( z_1, \dots, z_{n-1}, |z_n|^{1/m} \frac{\bar{z}_n}{|z_n|} \right).$$

It is easily seen that  $\sigma_{-m} = \eta_{-m} \circ \delta_m$ , and  $\text{deg}(\sigma_{-m}) = -m$  follows. The claim is proved.

Let  $C^*(T_{z_1}, \dots, T_{z_{n-1}}, T_{z_n^m})$  be the  $C^*$ -algebra generated by  $T_{z_1}, \dots, T_{z_{n-1}}, T_{z_n^m}$ , the identity operator and all compact operators on the Bergman space  $L_a^2(B_n)$ . We define extensions

$$(C^*(T_{z_1}, \dots, T_{z_{n-1}}, T_{z_n^m}), \pi_m) \quad \text{and} \quad (C^*(T_{z_1}, \dots, T_{z_{n-1}}, T_{z_n^m}), \pi_{-m})$$

of  $\mathcal{K}$  by  $C(S^{2n-1})$  respectively by

$$\pi_m(T_{f(z_1, \dots, z_{n-1}, z_n^m)} + K) = f|_{\partial\Omega_m} \circ \eta_m^{-1}$$

and

$$\pi_{-m}(T_{f(z_1, \dots, z_{n-1}, z_n^m)} + K) = f|_{\partial\Omega_m} \circ \eta_{-m}^{-1},$$

here  $f \in C(\overline{\Omega}_m)$ ,  $K \in \mathcal{K}$ .

We are now in a position to give a main result in this section.

**THEOREM 4.1.** (1)  $\mathcal{E}_{\sigma_m} = \mathcal{E}_{\sigma_{-m}} = C^*(T_{z_1}, \dots, T_{z_{n-1}}, T_{z_n^m})$ .

(2) *The extensions*

$$(C^*(T_{z_1}, \dots, T_{z_{n-1}}, T_{z_n^m}), \pi_m) \quad \text{and} \quad (C^*(T_{z_1}, \dots, T_{z_{n-1}}, T_{z_n^m}), \pi_{-m})$$

(here  $m = 1, 2, \dots$ ) and the trivial extension  $(\mathcal{E}_0, \pi_0)$  form a complete system of representatives of  $\text{Ext}(S^{2n-1})$ .

PROOF. (1). It is obvious that the relation  $\mathcal{E}_{\sigma_m} = \mathcal{E}_{\sigma_{-m}}$  is true. Then an operator  $A \in \mathcal{E}_{\sigma_m}$  if and only if  $A$  has form  $A = T_{f \circ \sigma_m} + \text{compact}$ ,  $f \in C(S^{2n-1})$ , and  $B \in C^*(T_{z_1}, \dots, T_{z_{n-1}}, T_{z_n^m})$  if and only if  $B$  has form  $B = T_{f(z_1, \dots, z_{n-1}, z_n^m)} + \text{compact}$ ,  $f \in C(\overline{\Omega}_m)$ . The orientation-preserving homeomorphism  $\eta_m$  and the relation  $\sigma_m = \eta_m \circ \delta_m$  imply then

$$\mathcal{E}_{\sigma_m} = C^*(T_{z_1}, \dots, T_{z_{n-1}}, T_{z_n^m}).$$

(2). Apply Lemma 3.1 and the above (1). □

From Lemma 3.2, Theorem 3.4, and Theorem 4.1, we immediately obtain the following:

COROLLARY 4.2. *Let  $\mathcal{E} \in \Sigma_n$ . Then*

- (1) *if  $\gamma_n(\mathcal{E}) = 0$ , then  $\mathcal{E}$  and  $\mathcal{E}_0$  are  $C^*$ -isomorphic;*
- (2) *if  $\gamma_n(\mathcal{E}) = m > 0$ , then  $\mathcal{E}$  and  $C^*(T_{z_1}, \dots, T_{z_{n-1}}, T_{z_n^m})$  are  $C^*$ -isomorphic.*

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Department of Mathematics  
Fudan University  
Shanghai, 200433  
P. R. China  
e-mail: kyguo@fudan.edu.cn