

## ABELIAN SEMIGROUPS OF MATRICES ON $\mathbb{C}^n$ AND HYPERCYCLICITY

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*Abstract* We give a complete characterization of a hypercyclic abelian semigroup of matrices on  $\mathbb{C}^n$ . For finitely generated semigroups, this characterization is explicit and it is used to determine the minimal number of matrices in normal form over  $\mathbb{C}$  that form a hypercyclic abelian semigroup on  $\mathbb{C}^n$ . In particular, we show that no abelian semigroup generated by  $n$  matrices on  $\mathbb{C}^n$  can be hypercyclic.

*Keywords:* hypercyclic; matrices; orbit; dense orbit; semigroup; abelian

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### 1. Introduction

Let  $M_n(\mathbb{C})$  be the set of all square matrices over  $\mathbb{C}$  of order  $n \geq 1$  and let  $GL(n, \mathbb{C})$  be the group of invertible matrices of  $M_n(\mathbb{C})$ . Let  $G$  be an abelian subsemigroup of  $M_n(\mathbb{C})$ . For a vector  $v \in \mathbb{C}^n$ , we consider the orbit of  $G$  through  $v$ :  $G(v) = \{Av : A \in G\} \subset \mathbb{C}^n$ . A subset  $E \subset \mathbb{C}^n$  is called  $G$ -invariant if  $A(E) \subset E$  for any  $A \in G$ . The orbit  $G(v) \subset \mathbb{C}^n$  is dense (respectively, somewhere dense) in  $\mathbb{C}^n$  if  $\overline{G(v)} = \mathbb{C}^n$  (respectively,  $\overline{G(v)} \neq \emptyset$ ), where  $\overline{E}$  (respectively,  $\overset{\circ}{E}$ ) denotes the closure (respectively, the interior) of a subset  $E \subset \mathbb{C}^n$ . The semigroup  $G$  is called *hypercyclic* if there exists a vector  $v \in \mathbb{C}^n$  such that  $G(v)$  is dense in  $\mathbb{C}^n$ . We refer the reader to the recent book [4] and to [9] for a thorough account on hypercyclicity.

Recently, there has been much research around this subject. We mention, in particular, [1–3, 5–8, 12] for the abelian case and [10] for the non-abelian case. Feldman showed in [8] that in  $\mathbb{C}^n$  there exists a hypercyclic semigroup generated by an  $(n + 1)$ -tuple of diagonal matrices on  $\mathbb{C}^n$ , and that no semigroup generated by an  $n$ -tuple of diagonalizable matrices on  $\mathbb{C}^n$  or  $\mathbb{R}^n$  can be hypercyclic. Costakis *et al.* proved in [7] that if one removes the diagonalizability condition, there exists an  $n$ -tuple of non-diagonalizable matrices on  $\mathbb{R}^n$  that is hypercyclic. Recently, Costakis and Parissis proved in [5] that the minimal number of matrices in Jordan form on  $\mathbb{R}^n$  that form a hypercyclic tuple is  $n + 1$ .

In the non-abelian case, Javaheri shows in [10] that there exists a 2-generator hypercyclic semigroup in any dimension in both real and complex cases.

The main purpose of this paper is to investigate the following: when can an abelian subsemigroup of  $M_n(\mathbb{C})$  be hypercyclic?

Shkarin [12] and Abels and Manoussos [1] considered the same topic, in particular the minimal number of generators of a finitely abelian hypercyclic semigroup of matrices on  $\mathbb{C}^n$  and  $\mathbb{R}^n$ . They have, independently, proved similar results to Corollaries 1.8 and 1.9. The methods of proof in [1, 12] and in this paper are quite different and have different consequences.

We firstly give a general result answering the above question for any abelian *subsemigroup* of  $M_n(\mathbb{C})$ , by providing an effective way of checking that a given semigroup is hypercyclic. Note that in [3] the authors answer this question for any abelian *subgroup* of  $GL(n, \mathbb{C})$ , so this paper can be viewed as a continuation of that work. We point out that, as the results obtained for groups are not used to get those for semigroups, the present paper is almost independent of [3].

Secondly, we prove that the minimal number of matrices required to form a hypercyclic abelian semigroup in  $\mathcal{K}_\eta(\mathbb{C})$ , having a normal form of length  $r$  (see the definition below), is exactly  $2n - r + 1$  (see Corollary 1.7). In particular,  $n + 1$  is the minimal number of matrices on  $\mathbb{C}^n$  required to form a hypercyclic abelian semigroup on  $\mathbb{C}^n$ ; this was recently shown in [2], answering a question raised by Feldman in [8, § 6].

To state our main results, we need to introduce the following notation and definitions.

Let  $\mathbb{N}$  be the set of non-negative integers, and set  $\mathbb{N}_0 = \mathbb{N} \setminus \{0\}$ . Let  $n \in \mathbb{N}_0$  be fixed. By a partition of  $n$  we mean a finite sequence of positive integers  $\eta = (n_1, \dots, n_r)$  such that  $\sum_{i=1}^r n_i = n$ . The number  $r$  will be called the *length* of the partition. Given a partition  $\eta = (n_1, \dots, n_r)$ , we define the following:

$$\mathcal{K}_\eta(\mathbb{C}) := \mathbb{T}_{n_1}(\mathbb{C}) \oplus \dots \oplus \mathbb{T}_{n_r}(\mathbb{C}),$$

where  $\mathbb{T}_m(\mathbb{C})$  ( $m = 1, 2, \dots, n$ ) is the set of lower-triangular matrices over  $\mathbb{C}$  with only one eigenvalue.

Obviously,  $\mathcal{K}_\eta(\mathbb{C})$  is a subsemigroup of  $M_n(\mathbb{C})$ . We have the following:

- $\mathcal{K}_\eta^*(\mathbb{C}) := \mathcal{K}_\eta(\mathbb{C}) \cap GL(n, \mathbb{C})$  is a subsemigroup of  $GL(n, \mathbb{C})$ ;
- $\mathbb{T}_m^*(\mathbb{C}) = \mathbb{T}_m(\mathbb{C}) \cap GL(m, \mathbb{C})$  is a subgroup of  $GL(m, \mathbb{C})$ ;
- $\mathcal{B}_0 = (e_1, \dots, e_n)$  is the canonical basis of  $\mathbb{C}^n$ ;
- $I_n$  is the identity matrix on  $\mathbb{C}^n$ .

For a row vector  $v \in \mathbb{C}^n$ , we denote by  $v^T$  the transpose of  $v$ . We also have that

- $u_\eta = [e_{\eta,1}, \dots, e_{\eta,r}]^T \in \mathbb{C}^n$ , where  $e_{\eta,k} = [1, 0, \dots, 0]^T \in \mathbb{C}^{n_k}$ ,  $k = 1, \dots, r$ ,
- $e_\eta^{(k)} = [(e_\eta^{(k)})_1, \dots, (e_\eta^{(k)})_r] \in \mathbb{C}^n$ , where, for every  $j = 1, \dots, r$ ,

$$(e_\eta^{(k)})_j = \begin{cases} 0 \in \mathbb{C}^{n_j} & \text{if } j \neq k, \\ e_{\eta,k} & \text{if } j = k. \end{cases}$$

The following ‘normal form of  $G$ ’ allows us to deduce the results for an arbitrary semigroup.

For every abelian subsemigroup  $G$  of  $M_n(\mathbb{C})$ , there exists a  $P \in \text{GL}(n, \mathbb{C})$  such that  $P^{-1}GP \subset \mathcal{K}_\eta(\mathbb{C})$  for some partition  $\eta$  of  $n$  (see Proposition 2.4).

Given a positive integer  $r \in \{1, \dots, r\}$ , we say that the semigroup  $G$  has ‘a normal form of length  $r$ ’ if  $G$  has a normal form in  $\mathcal{K}_\eta(\mathbb{C})$  for some partition  $\eta$  with length  $r$ .

Consider the matrix exponential map  $\exp: M_n(\mathbb{C}) \rightarrow \text{GL}(n, \mathbb{C})$ . Set  $\exp(M) = e^M$ .

For such a choice of matrix  $P$ , we let

$$\begin{aligned} \mathfrak{g}_\eta &= \exp^{-1}(G) \cap (P(\mathcal{K}_\eta(\mathbb{C}))P^{-1}), \\ \mathfrak{g}_\eta(u) &= \{Bu : B \in \mathfrak{g}_\eta\}, \quad u \in \mathbb{C}^n. \end{aligned}$$

Finally, we define

$$G^* = G \cap \text{GL}(n, \mathbb{C}).$$

Our principal results can now be stated as follows.

**Theorem 1.1.** *Let  $G$  be an abelian subsemigroup of  $\mathcal{K}_\eta(\mathbb{C})$  for some partition  $\eta$  with length  $r$ .*

(1) *The following are equivalent:*

- (i)  *$G$  is hypercyclic,*
- (ii) *the orbit  $G(u_\eta)$  is dense in  $\mathbb{C}^n$ ,*
- (iii)  *$\mathfrak{g}_\eta(u_\eta)$  is an additive subsemigroup, dense in  $\mathbb{C}^n$ .*

(2) *Assume that  $G^*$  is finitely generated by  $p$  matrices ( $p \geq 1$ ) and let  $B_1, \dots, B_p \in \mathcal{K}_\eta(\mathbb{C})$  such that  $e^{B_1}, \dots, e^{B_p}$  generate  $G^*$ . Then  $G$  is hypercyclic if and only if*

$$\sum_{k=1}^p \mathbb{N}B_k u_\eta + \sum_{k=1}^r 2i\pi \mathbb{Z}e_\eta^{(k)}$$

*is dense in  $\mathbb{C}^n$ .*

An immediate consequence is the following corollary.

**Corollary 1.2.** *Let  $G$  be an abelian subsemigroup of  $M_n(\mathbb{C})$  with normal form in  $\mathcal{K}_\eta(\mathbb{C})$ , where  $\eta$  has length  $r$ , and if  $P \in \text{GL}(n, \mathbb{C})$  such that  $P^{-1}GP \subset \mathcal{K}_\eta(\mathbb{C})$ , then we have the conditions below.*

(1) *The following are equivalent:*

- (i)  *$G$  is hypercyclic,*
- (ii) *the orbit  $G(Pu_\eta)$  is dense in  $\mathbb{C}^n$ ,*
- (iii)  *$\mathfrak{g}_\eta(Pu_\eta)$  is dense in  $\mathbb{C}^n$ .*

- (2) Assume that  $G^*$  is finitely generated by  $p$  matrices ( $p \geq 1$ ) and let  $B_1, \dots, B_p \in M_n(\mathbb{C})$  such that  $P^{-1}B_jP \in \mathcal{K}_\eta(\mathbb{C})$  and the  $e^{B_j}$ ,  $1 \leq j \leq p$ , generate  $G^*$ . Then  $G$  is hypercyclic if and only if

$$\sum_{k=1}^p \mathbb{N}B_k P u_\eta + \sum_{k=1}^r 2i\pi \mathbb{Z} P e_\eta^{(k)}$$

is dense in  $\mathbb{C}^n$ .

**Remark 1.3.** If all matrices of  $G \setminus I_n$  are non-invertible (i.e.  $G^* = \{I_n\}$ ), then  $G$  is not hypercyclic (see Proposition 4.4).

**Corollary 1.4.** If  $G$  is an abelian semigroup having a normal form of length  $r \in \{1, \dots, n\}$  and generated by  $(2n - r)$  matrices of  $M_n(\mathbb{C})$ , it has no dense orbit.

**Corollary 1.5 (Ayadi [2]).** If  $G$  is an abelian semigroup generated by  $n$  matrices of  $M_n(\mathbb{C})$ , it has no dense orbit.

**Theorem 1.6.** For any partition  $\eta$  of length  $r$ , there exist  $(2n - r + 1)$  matrices in  $\mathcal{K}_\eta^*(\mathbb{C})$  that generate a hypercyclic abelian semigroup.

As a consequence, from Theorem 1.6 and Corollary 1.4, we obtain the following corollary.

**Corollary 1.7.** For every  $n \in \mathbb{N}_0$ ,  $r \in \{1, \dots, n\}$ , the minimum number of matrices of  $M_n(\mathbb{C})$  that generate a hypercyclic abelian semigroup having a normal form of length  $r$  is exactly  $2n - r + 1$ .

In particular, for  $r = n$ , we obtain Feldman's theorem.

**Corollary 1.8 (Feldman [8]).** The minimum number of diagonalizable matrices of  $M_n(\mathbb{C})$  that generate a hypercyclic abelian semigroup is  $n + 1$ .

For  $r < n$ , we obtain the following.

**Corollary 1.9 (Abels and Manoussos [1]; Shkarin [12]).** The minimum number of non-diagonalizable matrices of  $M_n(\mathbb{C})$  that generate a hypercyclic abelian semigroup is  $n + 2$ .

For  $r = 1$ , we obtain the following.

**Corollary 1.10 (Abels and Manoussos [1]).** The minimum number of matrices of  $\mathbb{T}_n(\mathbb{C})$  that generate a hypercyclic abelian semigroup is  $2n$ .

This paper has the following structure. In §2 we introduce the normal form of an abelian subsemigroup of  $M_n(\mathbb{C})$  and we give some related properties. In §3 we explore the characterization of hypercyclic abelian subsemigroups of  $\mathcal{K}_\eta^*(\mathbb{C})$ . The first part of Theorem 1.1 is proved in §4. In §5, we prove the second part of Theorem 1.1 and Corollaries 1.4 and 1.5. Theorem 1.6 is proved in §6. In §7, we give some examples for the cases  $n = 1, 2$ .

## 2. The normal form of abelian subsemigroups of $M_n(\mathbb{C})$ and some related properties

First recall the following proposition.

**Proposition 2.1.** *Let  $G$  be an abelian subgroup of  $\mathrm{GL}(n, \mathbb{C})$ . There then exists a  $P \in \mathrm{GL}(n, \mathbb{C})$  such that  $P^{-1}GP$  is an abelian subgroup of  $\mathcal{K}_\eta^*(\mathbb{C})$ , for some partition  $\eta$  of  $n$ .*

The proof of Proposition 2.1 results from combining the Lemmas 2.2 and 2.3.

**Lemma 2.2.** *Let  $G$  be an abelian subgroup of  $\mathrm{GL}(n, \mathbb{C})$ . There then exists a direct sum decomposition*

$$\mathbb{C}^n = \bigoplus_{k=1}^r E_k, \quad 1 \leq r \leq n, \quad (2.1)$$

where  $E_k$  is a  $G$ -invariant vector subspace of  $\mathbb{C}^n$  of dimension  $n_k$ ,  $1 \leq k \leq r$ , such that, for each  $A \in G$ , the restriction  $A_k$  of  $A$  to  $E_k$  has a unique eigenvalue  $\mu_{A,k}$ .

**Proof.** Given  $A \in G$ , let  $\mu_{A,k}$  be an eigenvalue and let  $E_{A,k} = \mathrm{Ker}(A - \mu_{A,k}I_n)^n$  be the associated generalized eigenspace. For any  $B \in G$  the space  $E_{A,k}$  is invariant under  $B$ . If  $B$  restricted to  $E_{A,k}$  has two distinct eigenvalues, then it can be decomposed further. The decomposition (2.1) is the maximal decomposition associated to all  $A \in G$ .  $\square$

The restriction of the group  $G$  to each subspace  $E_k$  can be put into triangular form. This follows from a standard induction argument (see [13, Chapter 1, §2, Corollary to Theorem 1]), used to prove the following.

**Lemma 2.3.** *Let  $G$  be an abelian subgroup of  $\mathrm{GL}(n, \mathbb{C})$ . Assume that every element of  $G$  has a unique eigenvalue. There then exists a matrix  $P \in \mathrm{GL}(n, \mathbb{C})$  such that  $P^{-1}GP$  is a subgroup of  $\mathbb{T}_n^*(\mathbb{C})$ .*

The analogous proposition to Proposition 2.1 for the subsemigroup is the following.

**Proposition 2.4.** *Let  $G$  be an abelian subsemigroup of  $M_n(\mathbb{C})$ . There then exists a  $P \in \mathrm{GL}(n, \mathbb{C})$  such that  $P^{-1}GP$  is an abelian subsemigroup of  $\mathcal{K}_\eta(\mathbb{C})$  for some partition  $\eta$  of  $n$ .*

**Proof.** For every  $A \in G$  there exists  $\lambda_A \in \mathbb{C}$  such that  $(A - \lambda_A I_n) \in \mathrm{GL}(n, \mathbb{C})$  (it suffices to take  $\lambda_A$  not an eigenvalue of  $A$ ). Define  $\hat{L}$  as the group generated by  $L := \{A - \lambda_A I_n : A \in G\}$ . Then  $\hat{L}$  is an abelian subgroup of  $\mathrm{GL}(n, \mathbb{C})$  and, by Proposition 2.1, there exists a  $P \in \mathrm{GL}(n, \mathbb{C})$  such that  $P^{-1}\hat{L}P \subset \mathcal{K}_\eta^*(\mathbb{C})$ , for some  $\eta \in \mathbb{N}_0^r$  and  $r \in \{1, \dots, n\}$ . As  $P^{-1}LP = \{P^{-1}AP - \lambda_A I_n : A \in G\}$ , we have  $P^{-1}GP \subset \mathcal{K}_\eta(\mathbb{C})$ ; this proves the proposition.  $\square$

The following results follow from basic properties of the matrix exponential map, and their proofs are left to the reader.

**Lemma 2.5.**  $\exp(\mathcal{K}_\eta(\mathbb{C})) = \mathcal{K}_\eta^*(\mathbb{C})$ .

**Lemma 2.6.** *Let  $A, B \in \mathcal{K}_\eta(\mathbb{C})$ . If  $e^A e^B = e^B e^A$ , then  $AB = BA$ .*

Let  $G$  be an abelian subsemigroup of  $\mathcal{K}_\eta^*(\mathbb{C})$ . Define

$$\mathcal{C}(G) := \{A \in \mathcal{K}_\eta(\mathbb{C}) : AB = BA \ \forall B \in G\}.$$

Since  $G$  is abelian,  $G \subset \mathcal{C}(G)$ .

**Lemma 2.7.** *Let  $G$  be an abelian subsemigroup of  $\mathcal{K}_\eta^*(\mathbb{C})$ . We have that*

- (i)  $\mathfrak{g}_\eta \subset \mathcal{C}(G)$  and all matrices of  $\mathfrak{g}_\eta$  commute,
- (ii)  $\exp(\mathfrak{g}_\eta) = G$ ,
- (iii)  $\exp(\mathcal{C}(G)) = \mathcal{C}(G) \cap \text{GL}(n, \mathbb{C}) = \mathcal{C}(G) \cap \mathcal{K}_\eta^*(\mathbb{C})$ .

**Proof.** (i) By Lemma 2.6, all elements of  $\mathfrak{g}_\eta$  commute; hence  $\mathfrak{g}_\eta \subset \mathcal{C}(\mathfrak{g}_\eta)$ . Let  $B \in \mathfrak{g}_\eta$  and  $A \in G$ , so  $e^B \in G$ . As  $G$  is abelian,  $Ae^B = e^B A$ ; hence  $e^A e^B = e^B e^A$ . Since  $A, B \in \mathcal{K}_\eta(\mathbb{C})$ , it follows by Lemma 2.6 that  $AB = BA$ , and therefore  $B \in \mathcal{C}(G)$ . We conclude that  $\mathfrak{g}_\eta \subset \mathcal{C}(G)$ .

(ii) We have  $\exp(\mathfrak{g}_\eta) \subset G$  by definition. Conversely, let  $A \in G$ . Since  $G \subset \mathcal{K}_\eta^*(\mathbb{C})$ , there exists  $B \in \mathcal{K}_\eta(\mathbb{C})$  such that  $e^B = A$  (see Lemma 2.5). Hence,  $B \in \exp^{-1}(G) \cap \mathcal{K}_\eta(\mathbb{C}) = \mathfrak{g}_\eta$ , and then  $A \in \exp(\mathfrak{g}_\eta)$ . It follows that  $\exp(\mathfrak{g}_\eta) = G$ ; this proves (ii).

(iii) Let  $A = e^B$ , where  $B \in \mathcal{C}(G)$ , and let  $C \in \mathcal{C}(G)$ . Then  $BC = CB$ , and therefore  $Ce^B = e^B C$ , or  $AC = CA$ . It follows that  $A \in \mathcal{C}(G)$ . Since  $B \in \mathcal{K}_\eta(\mathbb{C})$ , so  $A \in \mathcal{K}_\eta^*(\mathbb{C})$ , by Lemma 2.5. Conversely, let  $A \in \mathcal{C}(G) \cap \mathcal{K}_\eta^*(\mathbb{C})$ . By Lemma 2.5 there exists  $B \in \mathcal{K}_\eta(\mathbb{C})$  such that  $e^B = A$ . Let  $C \in G$ . Then  $Ce^B = e^B C$ , and hence  $e^C e^B = e^B e^C$ . Since  $B, C \in \mathcal{K}_\eta(\mathbb{C})$ , it follows by Lemma 2.6 that  $BC = CB$ . Therefore,  $B \in \mathcal{C}(G)$ , and hence  $A \in \exp(\mathcal{C}(G))$ .  $\square$

### 3. The hypercyclic abelian subsemigroup of $\mathcal{K}_\eta^*(\mathbb{C})$

Let  $G$  be an abelian subsemigroup of  $\mathcal{K}_\eta^*(\mathbb{C})$ . Let  $u \in \mathbb{C}^n$  and consider the linear map

$$\begin{aligned} \Phi_u : \mathcal{C}(G) &\rightarrow \mathbb{C}^n \\ A &\mapsto Au. \end{aligned}$$

Denote by  $\text{Vect}(G)$  the vector subspace of  $\mathcal{K}_\eta(\mathbb{C})$  generated by  $G$ .

**Proposition 3.1.** *Let  $G$  be an abelian subsemigroup of  $\mathcal{K}_\eta^*(\mathbb{C})$ . If  $\overline{G(u)} \neq \emptyset$  (respectively,  $\overline{\mathfrak{g}_\eta(u)} \neq \emptyset$ ) for some  $u \in \mathbb{C}^n$ , then  $\Phi_u$  is a linear isomorphism. Moreover,  $\Phi_u(\text{Vect}(G)) = \Phi_u(\mathcal{C}(G)) = \mathbb{C}^n$ .*

**Proof.**

**Case 1** ( $\overline{G(u)} \neq \emptyset$ ). Let us prove that  $\Phi_u$  is surjective: we have that  $\Phi_u(\mathcal{C}(G))$  is a vector subspace of  $\mathbb{C}^n$ . Since  $G \subset \mathcal{C}(G)$ , it follows that  $G(u) \subset \Phi_u(\mathcal{C}(G))$ . As  $\mathcal{C}(G)$  is a vector space,  $\emptyset \neq \overline{G(u)} \subset \Phi_u(\mathcal{C}(G))$ , and therefore  $\Phi_u(\mathcal{C}(G)) = \mathbb{C}^n$ . We also have  $G(u) \subset \Phi_u(\text{Vect}(G))$ , so, as above,  $\Phi_u(\text{Vect}(G)) = \mathbb{C}^n$ .

$\Phi_u$  is injective: let  $A \in \text{Ker}(\Phi_u)$ , so  $Au = 0$ . Let  $x \in \mathbb{C}^n$ ; then, by the above working there exists  $B \in \text{Vect}(G)$  such that  $x = Bu$ . As  $A \in \text{Ker}(\Phi_u) \subset \mathcal{C}(G)$  then  $AB = BA$ . Therefore,  $Ax = ABu = BAu = B(0) = 0$ . It follows that  $A = 0$ , and hence  $\text{Ker}(\Phi_u) = \{0\}$ .

**Case 2** ( $\overline{g_\eta(u)} \neq \emptyset$ ). We also have that  $\Phi_u(\mathcal{C}(G)) = \mathbb{C}^n$  since  $g_\eta \subset \mathcal{C}(G)$  (Lemma 2.7 (i)) and  $\emptyset \neq \overline{g_\eta(u)} \subset \Phi_u(\mathcal{C}(G))$ . □

**Corollary 3.2.** *If  $G$  is hypercyclic, then  $\mathcal{C}(G) = \text{Vect}(G) = \bar{G}$ ; in particular,  $\bar{G}$  is a vector space of dimension  $n$ .*

**Proof.** If  $\overline{G(u)} = \mathbb{C}^n$  for some  $u \in \mathbb{C}^n$ , then  $\overline{\Phi_u}$  is a linear isomorphism (see Proposition 3.1), and hence  $\mathcal{C}(G) = \Phi_u^{-1}(\mathbb{C}^n) = \Phi_u^{-1}(\overline{G(u)}) = \overline{\Phi_u^{-1}(G(u))} = \bar{G}$ ; this proves the corollary. □

We let  $U := \prod_{k=1}^r (\mathbb{C}^* \times \mathbb{C}^{n_k-1})$ . Then,  $U$  is open and dense in  $\mathbb{C}^n$ ; moreover,  $\mathbb{C}^n \setminus U$  is a union of  $r$   $G$ -invariant vector subspaces of  $\mathbb{C}^n$  of dimension  $n - 1$ .

**Lemma 3.3.** *Let  $u \in U$ .*

- (i) *If  $B \in \mathcal{K}_\eta(\mathbb{C})$  satisfies  $Bu \in U$ , then  $B \in \mathcal{K}_\eta^*(\mathbb{C})$ .*
- (ii) *If  $\overline{G(u)} \neq \emptyset$  (respectively,  $\overline{g_\eta(u)} \neq \emptyset$ ), then  $U = \Phi_u(\mathcal{C}(G) \cap \mathcal{K}_\eta^*(\mathbb{C}))$ .*

**Proof.** (i) Write

$$u = [u_1, \dots, u_r]^T, \quad Bu = v = [v_1, \dots, v_r]^T \in U,$$

with  $u_k = [a_{k,1}, \dots, a_{k,n_k}]^T \in \mathbb{C}^* \times \mathbb{C}^{n_k-1}$ ,  $v_k = [x_{k,1}, \dots, x_{k,n_k}]^T \in \mathbb{C}^* \times \mathbb{C}^{n_k-1}$ , and write  $B = \text{diag}(B_1, \dots, B_r)$ , with  $B_k \in \mathbb{T}_{n_k}(\mathbb{C})$ ,  $k = 1, \dots, r$ . Let  $\mu_k$  be the eigenvalue of  $B_k$ . From  $Bu = v$ , we get that  $\mu_k a_{k,1} = x_{k,1} \neq 0$  for every  $k = 1, \dots, r$ . It follows that  $\mu_k \neq 0$ . Therefore,  $B \in \text{GL}(n, \mathbb{C})$ , that is,  $B \in \mathcal{K}_\eta^*(\mathbb{C})$ .

(ii) If  $v \in U$ , then, by Proposition 3.1, there exists  $B \in \mathcal{C}(G)$  such that  $Bu = v$ ; hence, by (i),  $B \in \mathcal{K}_\eta^*(\mathbb{C})$  and so  $v \in \Phi_u(\mathcal{C}(G) \cap \mathcal{K}_\eta^*(\mathbb{C}))$ . Conversely, if  $v = Bu$ , where  $B \in \mathcal{C}(G) \cap \mathcal{K}_\eta^*(\mathbb{C})$ , then  $x_{k,1} = \mu_k a_{k,1} \neq 0$  for every  $k = 1, \dots, r$ . It follows that  $v \in U$ . □

**Lemma 3.4.** *Let  $G$  be an abelian subsemigroup of  $\mathcal{K}_\eta^*(\mathbb{C})$ . Assume that  $G$  has a somewhere dense (respectively, dense) orbit in  $\mathbb{C}^n$ ; then, for every  $v \in U$ ,  $G(v)$  is somewhere dense (respectively, dense) in  $\mathbb{C}^n$ .*

**Proof.** Let  $u \in \mathbb{C}^n$  such that  $\overline{G(u)} \neq \emptyset$ . Since  $\mathbb{C}^n \setminus U$  is a union of  $r$   $G$ -invariant vector subspaces of  $\mathbb{C}^n$  with dimension  $n - 1$ , it follows that  $u \in U$ . Let  $v \in U$ ; then, by Proposition 3.1,  $v = Bu$  for some  $B \in \text{Vect}(G)$ . Moreover, by Lemma 3.3,  $B \in \mathcal{K}_\eta^*(\mathbb{C})$ . It follows that  $\overline{G(v)} = B(\overline{G(u)})$  and, since  $B$  is invertible,  $\overline{G(v)} \neq \emptyset$ .

Now, if  $\overline{G(u)} = \mathbb{C}^n$ , then  $\overline{G(v)} = B(\overline{G(u)}) = B(\mathbb{C}^n) = \mathbb{C}^n$ .  $\square$

#### 4. Proof of the first part of Theorem 1.1

We require the following result.

**Proposition 4.1** (Rossmann [11, Proposition 7' p. 17]). *The restriction*

$$\exp|_{\mathbb{T}_n(\mathbb{C})} : \mathbb{T}_n(\mathbb{C}) \rightarrow \mathbb{T}_n^*(\mathbb{C})$$

*is a local diffeomorphism; in particular, it is an open map.*

**Corollary 4.2.** *The restriction  $\exp|_{\mathcal{K}_\eta(\mathbb{C})} : \mathcal{K}_\eta(\mathbb{C}) \rightarrow \mathcal{K}_\eta^*(\mathbb{C})$  is a local diffeomorphism; in particular, it is an open map.*

**Proof.** The proof results from Proposition 4.1 and the fact that

$$\exp|_{\mathcal{K}_\eta(\mathbb{C})} = \exp|_{\mathbb{T}_{n_1}(\mathbb{C})} \oplus \cdots \oplus \exp|_{\mathbb{T}_{n_r}(\mathbb{C})}.$$

$\square$

Recall that  $U := \prod_{k=1}^r (\mathbb{C}^* \times \mathbb{C}^{n_k-1})$  and, for  $u \in \mathbb{C}^n$ , the linear map  $\Phi_u$  is defined as  $\Phi_u : \mathcal{C}(G) \rightarrow \mathbb{C}^n$ ,  $A \mapsto Au$ .

**Proposition 4.3.** *Let  $G$  be an abelian subsemigroup of  $\mathcal{K}_\eta^*(\mathbb{C})$ . Assume that  $\overline{G(u_\eta)} \neq \emptyset$  or  $\overline{\mathfrak{g}_\eta(u_\eta)} \neq \emptyset$ , where  $u_\eta$  is defined in § 1. Then,  $f := \Phi_{u_\eta} \circ \exp|_{\mathcal{K}_\eta(\mathbb{C})} \circ \Phi_{u_\eta}^{-1}$  from  $\mathbb{C}^n$  to  $\mathbb{C}^n$  is well defined and satisfies the following:*

- (i)  $f$  is continuous and open;
- (ii)  $f(Bu_\eta) = e^B u_\eta$  for every  $B \in \mathcal{C}(G)$ ;
- (iii)  $f^{-1}(G(u_\eta)) = \mathfrak{g}_\eta(u_\eta)$  and  $f(\mathfrak{g}_\eta(u_\eta)) = G(u_\eta)$ ;
- (iv)  $f(\mathbb{C}^n) = U$ .

**Proof.** (i) By Proposition 3.1,  $\Phi_{u_\eta}$  is a linear isomorphism. So  $f := \Phi_{u_\eta} \circ \exp|_{\mathcal{K}_\eta(\mathbb{C})} \circ \Phi_{u_\eta}^{-1}$  is well defined and continuous. Moreover,  $f$  is a local diffeomorphism by Corollary 4.2, and therefore  $f$  is an open map.

(ii) For every  $B \in \mathcal{C}(G)$ , we have that  $\Phi_{u_\eta}^{-1}(Bu_\eta) = B$ . Therefore,

$$\begin{aligned} f(Bu_\eta) &= \Phi_{u_\eta} \circ \exp|_{\mathcal{K}_\eta(\mathbb{C})} \circ \Phi_{u_\eta}^{-1}(Bu_\eta) \\ &= \Phi_{u_\eta}(e^B) \\ &= e^B u_\eta. \end{aligned}$$

(iii) We have that

$$\begin{aligned} f^{-1}(G(u_\eta)) &= \Phi_{u_\eta} \circ \exp|_{\mathcal{K}_\eta(\mathbb{C})}^{-1} \circ \Phi_{u_\eta}^{-1}(G(u_\eta)) \\ &= \Phi_{u_\eta}(\exp|_{\mathcal{K}_\eta(\mathbb{C})}^{-1}(G)) \\ &= \Phi_{u_\eta}(\mathcal{G}_\eta) \\ &= \mathcal{G}_\eta(u_\eta). \end{aligned}$$

We also have that

$$\begin{aligned} f(\mathcal{G}_{u_\eta}) &= \Phi_{u_\eta} \circ \exp|_{\mathcal{K}_\eta(\mathbb{C})} \circ \Phi_{u_\eta}^{-1}(\mathcal{G}_\eta(u_\eta)) \\ &= \Phi_{u_\eta}(\exp|_{\mathcal{K}_\eta(\mathbb{C})}(\mathcal{G}_\eta)) \\ &= \Phi_{u_\eta}(G) \\ &= G(u_\eta). \end{aligned}$$

(iv) As  $\Phi_{u_\eta}^{-1}: \mathbb{C}^n \rightarrow \mathcal{C}(G)$  is an isomorphism, we get that

$$\begin{aligned} f(\mathbb{C}^n) &= \Phi_{u_\eta} \circ \exp|_{\mathcal{K}_\eta(\mathbb{C})} \circ \Phi_{u_\eta}^{-1}(\mathbb{C}^n) \\ &= \Phi_{u_\eta}[\exp|_{\mathcal{K}_\eta(\mathbb{C})}(\mathcal{C}(G))] \\ &= \Phi_{u_\eta}(\mathcal{C}(G) \cap \mathcal{K}_\eta^*(\mathbb{C})) && \text{(by Lemma 2.7 (iii))} \\ &= U && \text{(by Lemma 3.3 (ii)).} \end{aligned}$$

□

**Proposition 4.4.** *Let  $G$  be an abelian subsemigroup of  $M_n(\mathbb{C})$  and let  $u \in \mathbb{C}^n$ . Then  $G^*(u)$  is somewhere dense (respectively, dense) if and only if  $G(u)$  is.*

**Proof.** The first implication is trivial. Conversely, suppose that  $\overline{G(u)} \neq \emptyset$  (respectively,  $\overline{G(u)} = \mathbb{C}^n$ ). We can assume, using Proposition 2.4, that  $G \subset \mathcal{K}_\eta(\mathbb{C})$ . We let  $G' := G \setminus G^*$ .

- If  $G' = \emptyset$ , then  $G = G^*$  and so  $\overline{G^*(u)} \neq \emptyset$  (respectively,  $\overline{G^*(u)} = \mathbb{C}^n$ ).
- If  $G' \neq \emptyset$ , then

$$G(u) \subset \left( \bigcup_{A \in G'} \text{Im}(A) \right) \cup G^*(u).$$

Since every  $A \in G'$  is non-invertible,

$$\text{Im}(A) \subset \bigcup_{k=1}^r H_k,$$

where

$$H_k := \{u = [u_1, \dots, u_r]^T \in \mathbb{C}^n : u_j \in \mathbb{C}^{n_j}, u_k \in \{0\} \times \mathbb{C}^{n_k-1}, 1 \leq j \neq k \leq r\}.$$

It follows that

$$G(u) \subset \left( \bigcup_{k=1}^r H_k \right) \cup G^*(u),$$

and so

$$\overline{G(u)} \subset \left( \bigcup_{k=1}^r H_k \right) \cup \overline{G^*(u)}.$$

Since  $H_k$  has dimension  $n - 1$ ,  $\overset{\circ}{H}_k = \emptyset$ , for every  $1 \leq k \leq r$ , and therefore  $\overline{G^*(u)} \neq \emptyset$  (respectively,  $\overline{G^*(u)} = \mathbb{C}^n$ ).  $\square$

**Proof of the first part of Theorem 1.1.** Let  $G$  be an abelian subsemigroup of  $\mathcal{K}_\eta(\mathbb{C})$ . From Proposition 4.4 and since  $\mathfrak{g}_\eta = \mathfrak{g}_\eta^* := \exp^{-1}(G^*) \cap (\mathcal{K}_\eta(\mathbb{C}))$ , we may assume that  $G \subset \mathcal{K}_\eta^*(\mathbb{C})$ .

(ii)  $\implies$  (i). This is clear.

(i)  $\implies$  (ii). This follows directly from Proposition 4.4 and Lemma 3.4 (since  $u_\eta \in U$ ).

(iii)  $\implies$  (ii). Suppose that  $\overline{\mathfrak{g}_\eta(u_\eta)} = \mathbb{C}^n$ . Then  $\overline{\mathfrak{g}_\eta(u_\eta)} = \overline{\mathfrak{g}_\eta^*(u_\eta)} = \mathbb{C}^n$ . By applying Proposition 4.3 to  $G^*$ , there exists a continuous map  $f: \mathbb{C}^n \rightarrow \mathbb{C}^n$  such that  $f(\mathfrak{g}_\eta(u_\eta)) = G(u_\eta)$  and  $f(\mathbb{C}^n) = U$ . Hence, one has that  $U = f(\overline{\mathfrak{g}_\eta(u_\eta)}) \subset \overline{G(u_\eta)}$ . Therefore,  $\overline{G(u_\eta)} = \mathbb{C}^n$ .

(ii)  $\implies$  (iii). Suppose that  $\overline{G(u_\eta)} = \mathbb{C}^n$ . Since  $f$  is an open map, we have that  $f^{-1}(G(u_\eta)) = \mathfrak{g}_\eta(u_\eta)$ , and thus

$$\mathbb{C}^n = f^{-1}(\overline{G(u_\eta)}) \subset \overline{f^{-1}(G(u_\eta))} = \overline{\mathfrak{g}_\eta(u_\eta)}.$$

Hence,  $\overline{\mathfrak{g}_\eta(u_\eta)} = \mathbb{C}^n$ .  $\square$

## 5. Proof of the second part of Theorem 1.1, Corollaries 1.4 and 1.5

**Lemma 5.1 (Ayadi and Marzougui [3, Proposition 3.5]).** Let  $A, B \in \mathbb{T}_n(\mathbb{C})$ . If  $e^A = e^B$ , then  $A = B + 2ik\pi I_n$  for some  $k \in \mathbb{Z}$ .

**Proposition 5.2.** Let  $G$  be an abelian subsemigroup of  $\mathcal{K}_\eta^*(\mathbb{C})$  and let  $B_1, \dots, B_p \in \mathcal{K}_\eta(\mathbb{C})$  ( $p \geq 1$ ) such that  $e^{B_1}, \dots, e^{B_p}$  generate  $G$ . We have that

$$\mathfrak{g}_\eta(u_\eta) = \sum_{k=1}^p \mathbb{N}B_k u_\eta + \sum_{k=1}^r 2i\pi \mathbb{Z}e_\eta^{(k)}.$$

**Proof.** First we determine  $\mathfrak{g}_\eta$ . Let  $C \in \mathfrak{g}_\eta$ . Then  $C = \text{diag}(C_1, \dots, C_r) \in \mathcal{K}_\eta(\mathbb{C})$  and  $e^C \in G$ . So

$$e^C = \text{diag}(e^{C_1}, \dots, e^{C_r}) = e^{m_1 B_1} \dots e^{m_p B_p}$$

for some  $m_1, \dots, m_p \in \mathbb{N}$ . Since  $B_1, \dots, B_p \in \mathfrak{g}_\eta$ , they pairwise commute (see Lemma 2.7 (i)). Therefore,  $e^C = e^{m_1 B_1 + \dots + m_p B_p}$ . Set  $B_j = \text{diag}(B_{j,1}, \dots, B_{j,r})$ ; then

$$e^{C_k} = e^{m_1 B_{1,k} + \dots + m_p B_{p,k}}, \quad k = 1, \dots, r.$$

As  $C \in \mathfrak{g}_\eta$ , we also have that  $CB_j = B_j C$ , so  $C_k B_{j,k} = B_{j,k} C_k$ ,  $j = 1, \dots, p$ . It follows that

$$C_k = m_1 B_{1,k} + \dots + m_p B_{p,k} + 2i\pi s_k I_{n_k}$$

for some  $s_k \in \mathbb{Z}$  (see Lemma 5.1). Therefore,

$$\begin{aligned} C &= \text{diag} \left( \sum_{j=1}^p m_j B_{j,1} + 2i\pi s_1 I_{n_1}; \dots, \dots; \sum_{j=1}^p m_j B_{j,r} + 2i\pi s_r I_{n_r} \right) \\ &= \sum_{j=1}^p m_j B_j + \text{diag}(2i\pi s_1 I_{n_1}, \dots, 2i\pi s_r I_{n_r}). \end{aligned}$$

Set  $J_k := \text{diag}(J_{k,1}, \dots, J_{k,r})$ , where

$$J_{k,i} = \begin{cases} 0 \in \mathbb{T}_{n_i}(\mathbb{C}) & \text{if } i \neq k, \\ I_{n_k} & \text{if } i = k. \end{cases}$$

We have that

$$\text{diag}(2i\pi s_1 I_{n_1}, \dots, 2i\pi s_r I_{n_r}) = \sum_{k=1}^r 2i\pi s_k J_k,$$

and therefore

$$C = \sum_{j=1}^p m_j B_j + \sum_{k=1}^r 2i\pi s_k J_k.$$

We conclude that

$$\mathfrak{g}_\eta = \sum_{j=1}^p \mathbb{N} B_j + \sum_{k=1}^r 2i\pi \mathbb{Z} J_k.$$

Second, we determine  $\mathfrak{g}_\eta(u_\eta)$ . Let  $B \in \mathfrak{g}_\eta$ . We have that

$$B = \sum_{j=1}^p m_j B_j + \sum_{k=1}^r 2i\pi s_k J_k$$

for some  $m_1, \dots, m_p \in \mathbb{N}$  and  $s_1, \dots, s_r \in \mathbb{Z}$ . We also have that

$$\begin{aligned} J_k u_\eta &= \text{diag}(J_{k,1}, \dots, J_{k,r}) [e_{\eta,1}, \dots, e_{\eta,r}]^T \\ &= [(e_\eta^{(k)})_1, \dots, (e_\eta^{(k)})_r]^T \\ &= e_\eta^{(k)}. \end{aligned}$$

Hence,

$$Bu_\eta = \sum_{j=1}^p m_j B_j u_\eta + \sum_{k=1}^r 2i\pi s_k e_\eta^{(k)},$$

and therefore

$$g_\eta(u_\eta) = \sum_{j=1}^p \mathbb{N} B_j u_\eta + \sum_{k=1}^r 2i\pi \mathbb{Z} e_\eta^{(k)}.$$

This proves the proposition.  $\square$

**Proof of the second part of Theorem 1.2.** This results directly from Proposition 5.2 and the first part of Theorem 1.1.  $\square$

**Lemma 5.3.** *Let  $H = \mathbb{Z}u_1 + \cdots + \mathbb{Z}u_m$ , with  $u_k \in \mathbb{C}^n$ ,  $k = 1, \dots, m$ . If  $m \leq 2n$ , then  $H$  is nowhere dense in  $\mathbb{C}^n$ .*

**Proof.** By identifying  $\mathbb{C}^n$  with  $\mathbb{R}^{2n}$ , the proof comes from [12, Lemma 2.1].  $\square$

**Proof of Corollary 1.4.** First, it is clear by Lemma 5.3 that if  $H = \mathbb{Z}u_1 + \cdots + \mathbb{Z}u_m$ ,  $u_k \in \mathbb{C}^n$  with  $m \leq 2n$ , then  $H$  cannot be dense. Now, by applying Corollary 1.2 for  $p = 2n - r$ , one has that  $m = p + r = 2n$ , and Corollary 1.4 follows.  $\square$

**Proof of Corollary 1.5.** This follows from the fact that  $n \leq 2n - r$ , since  $r \leq n$ , and by applying Corollary 1.2.  $\square$

## 6. Proof of Theorem 1.6

We construct, for every  $r \in \{1, \dots, n\}$  and for every partition  $\eta$  of  $n$  of length  $r$ ,  $(2n - r + 1)$  matrices  $A_1, \dots, A_{2n-r+1} \in \mathcal{K}_\eta^*(\mathbb{C})$  generating a hypercyclic abelian semigroup.

We repeatedly use the following multidimensional version of Kronecker's theorem.

### Kronecker's theorem

Let  $\alpha_1, \dots, \alpha_n$  be negative real numbers such that the numbers  $1, \alpha_1, \dots, \alpha_n$  are linearly independent over  $\mathbb{Q}$ . Then the set

$$\mathbb{N}^n + \mathbb{N}[\alpha_1, \dots, \alpha_n]^T := \{[s_1, \dots, s_n]^T + k[\alpha_1, \dots, \alpha_n]^T : k, s_1, \dots, s_n \in \mathbb{N}\}$$

is dense in  $\mathbb{R}^n$ .

We deduce the complex version as follows.

**Corollary 6.1.** *Let  $\alpha_1, \dots, \alpha_n, \beta_1, \dots, \beta_n$  be negative real numbers such that the numbers  $1, \alpha_1, \dots, \alpha_n, \beta_1, \dots, \beta_n$  are linearly independent over  $\mathbb{Q}$ . Then  $\mathbb{N}^n + i\mathbb{N}^n + \mathbb{N}[\alpha_1 + i\beta_1, \dots, \alpha_n + i\beta_n]^T$  is dense in  $\mathbb{C}^n$ .*

**Proof.** This is clear by identifying  $\mathbb{C}^n$  with  $\mathbb{R}^{2n}$  in the obvious way.  $\square$

Recall that  $e_\eta^{(k)} = [(e_\eta^{(k)})_1, \dots, (e_\eta^{(k)})_r] \in \mathbb{C}^n$ , where, for every  $j = 1, \dots, r$ ,

$$(e_\eta^{(k)})_j = \begin{cases} 0 \in \mathbb{C}^{n_j} & \text{if } j \neq k, \\ e_{\eta,k} & \text{if } j = k. \end{cases}$$

An equivalent formulation is

$$e_\eta^{(1)} = e_1, \dots, e_\eta^{(k)} = e_{\ell_k}, \quad \text{where } \ell_1 = 1, \ell_k := \sum_{j=1}^{k-1} n_j + 1, \quad k = 2, \dots, r.$$

**Proposition 6.2.** *Let  $n \in \mathbb{N}_0$  and  $r \in \{1, \dots, n\}$ . There then exist  $(2n - r + 1)$  vectors  $u_1, \dots, u_{2n-r+1}$  of  $\mathbb{C}^n$  such that*

$$\sum_{k=1}^{2n-r+1} \mathbb{N}u_k + \sum_{k=1}^r 2i\pi \mathbb{Z}e_\eta^{(k)}$$

is dense in  $\mathbb{C}^n$ .

**Proof.** Let  $\alpha_1, \dots, \alpha_n, \beta_1, \dots, \beta_n$  be negative real numbers such that the numbers  $1, \alpha_1, \dots, \alpha_n, \beta_1, \dots, \beta_n$  are linearly independent over  $\mathbb{Q}$ . Define  $(e_{i_{r+1}}, \dots, e_{i_n}) := \mathcal{B}_0 \setminus (e_{\ell_1}, \dots, e_{\ell_r})$ , and define the matrix  $S$  by

$$Se_k = \begin{cases} 2i\pi e_\eta^{(k)} & \text{if } 1 \leq k \leq r, \\ e_{i_k} & \text{if } r + 1 \leq k \leq n. \end{cases}$$

We see that  $S \in \text{GL}(n, \mathbb{C})$ . Set  $u = [\alpha_1 + i\beta_1, \dots, \alpha_n + i\beta_n]^T$  and define

$$u_k := \begin{cases} Se_{r+k} & \text{if } 1 \leq k \leq n - r, \\ iSe_{r-n+k} & \text{if } n - r + 1 \leq k \leq 2n - r, \\ Su & \text{if } k = 2n - r + 1. \end{cases}$$

Set

$$H := \sum_{k=1}^{2n-r+1} \mathbb{N}u_k + \sum_{k=1}^r 2i\pi \mathbb{Z}e_\eta^{(k)}$$

and

$$H' := \sum_{k=1}^{n-r} \mathbb{N}e_{r+k} + \sum_{k=1}^n i\mathbb{N}e_k + \mathbb{N}u + \sum_{k=1}^r \mathbb{Z}e_k.$$

We then have that

$$\begin{aligned}
 S(H') &= \sum_{k=1}^{n-r} \mathbb{N}Se_{r+k} + \sum_{k=1}^n i\mathbb{N}Se_k + \mathbb{N}Su + \sum_{k=1}^r \mathbb{Z}Se_k \\
 &= \sum_{k=1}^{n-r} \mathbb{N}u_k + \sum_{k=1}^n \mathbb{N}iSe_k + \mathbb{N}u_{2n-r+1} + \sum_{k=1}^r \mathbb{Z}2i\pi e_\eta^{(k)} \\
 &= \sum_{k=1}^{n-r} \mathbb{N}u_k + \sum_{k=n-r+1}^{2n-r} \mathbb{N}u_k + \mathbb{N}u_{2n-r+1} + \sum_{k=1}^r 2i\pi \mathbb{Z}e_\eta^{(k)} \\
 &= H.
 \end{aligned}$$

Since  $\mathbb{N}^n + i\mathbb{N}^n + \mathbb{N}u \subset H'$ , we see that  $H'$  is dense in  $\mathbb{C}^n$  by Corollary 6.1, and thus so is  $H$ . This proves the proposition.  $\square$

**Proof of Theorem 1.6.** By Proposition 6.2, there exist  $u_1, \dots, u_{2n-r+1} \in \mathbb{C}^n$  such that

$$H := \sum_{k=1}^{2n-r+1} \mathbb{N}u_k + \sum_{k=1}^r 2i\pi \mathbb{Z}e_\eta^{(k)}$$

is dense in  $\mathbb{C}^n$ . Set

$$u_k = [u_{k,1}, \dots, u_{k,r}]^T$$

with  $u_{k,j} = [x_{j,1}^{(k)}, \dots, x_{j,n_j}^{(k)}]^T$ . Let  $B_1, \dots, B_{2n-r+1}$  be defined by

$$B_k = \text{diag}(B_{k,1}, \dots, B_{k,r}),$$

where

$$B_{k,j} = \begin{bmatrix} x_{j,1}^{(k)} & & & & 0 \\ \vdots & \ddots & & & \\ \vdots & 0 & \ddots & & \\ \vdots & \vdots & \ddots & \ddots & \\ x_{j,n_j}^{(k)} & 0 & \dots & 0 & x_{j,1}^{(k)} \end{bmatrix}, \quad 1 \leq j \leq r, \quad 1 \leq k \leq 2n-r+1.$$

Then  $B_k u_\eta = u_k$ .

Let  $G$  be the subsemigroup of  $\mathcal{K}_\eta^*(\mathbb{C})$  generated by  $e^{B_1}, \dots, e^{B_{2n-r+1}}$ .

Firstly, we check that  $G$  is abelian. For this, it suffices to show that  $B_k B_{k'} = B_{k'} B_k$  for every  $k, k' = 1, \dots, 2n-r+1$ .

Set  $B_{k,j} := N_{k,j} + x_{j,1}^{(k)} I_{n_j}$ , where

$$N_{k,j} = \begin{bmatrix} 0 & 0 \\ T_{k,j} & 0 \end{bmatrix} \in \mathbb{T}_{n_j}(\mathbb{C}) \quad \text{with } T_{k,j} = [x_{j,2}^{(k)}, \dots, x_{j,n_j}^{(k)}]^T, \quad j = 1, \dots, r.$$

We see that  $N_{k,j} N_{k',j} = N_{k',j} N_{k,j} = 0$  for every  $j = 1, \dots, r$ . Hence,  $B_{k,j} B_{k',j} = B_{k',j} B_{k,j}$  and so  $B_k B_{k'} = B_{k'} B_k$ .

Secondly, by Proposition 5.2, we have that

$$\begin{aligned} \mathbf{g}_\eta(u_\eta) &= \sum_{k=1}^{2n-r+1} \mathbb{N}B_k u_\eta + \sum_{k=1}^r 2i\pi\mathbb{Z}e_\eta^{(k)} \\ &= \sum_{k=1}^{2n-r+1} \mathbb{N}u_k + \sum_{k=1}^r 2i\pi\mathbb{Z}e_\eta^{(k)} \\ &= H. \end{aligned}$$

Therefore,  $\overline{\mathbf{g}_\eta(u_\eta)} = \mathbb{C}^n$  and, by Theorem 1.1,  $\overline{G(u_\eta)} = \mathbb{C}^n$ . □

### 7. Examples

**Example 7.1.** Let  $G$  be the subsemigroup of  $\mathbb{C}^*$  generated by  $a_1 = e^{2\pi}$ ,  $a_2 = e^{-2(\sqrt{2}+i\sqrt{3})\pi}$ . Then  $G$  is hypercyclic.

**Proof.** In this case, we have that  $\eta = (1)$ ,  $u_\eta = 1$  and  $\mathbf{g}_\eta = \exp^{-1}(G)$ . By Proposition 5.2,

$$\mathbf{g}_\eta(1) = 2\pi\mathbb{N} - 2(\sqrt{2} + i\sqrt{3})\pi\mathbb{N} + 2i\pi\mathbb{Z} = 2\pi L,$$

where

$$L := \mathbb{N} - (\sqrt{2} + i\sqrt{3})\mathbb{N} + i\mathbb{Z}.$$

As  $1, \sqrt{2}$  and  $\sqrt{3}$  are linearly independent over  $\mathbb{Q}$ , by Corollary 6.1,  $\mathbb{N} - (\sqrt{2} + i\sqrt{3})\mathbb{N} + i\mathbb{N} \subset L$  is dense in  $\mathbb{C}$ , and so is  $L$ . Therefore,  $\overline{\mathbf{g}_\eta(1)} = \mathbb{C}$  and, by Theorem 1.1,  $\overline{G(1)} = \mathbb{C}$ . □

**Example 7.2.** Let  $G$  be the semigroup generated by

$$A_1 = \text{diag}(e^{2\pi}, e^{2\pi}), \quad A_2 = \begin{bmatrix} 1 & 0 \\ 2\pi & 1 \end{bmatrix}, \quad A_3 = \begin{bmatrix} 1 & 0 \\ 2i\pi & 1 \end{bmatrix}$$

and

$$A_4 = e^{-2\pi(\sqrt{2}+i\sqrt{3})} \begin{bmatrix} 1 & 0 \\ 2\pi(1 - i\sqrt{5}) & 1 \end{bmatrix}.$$

Then  $G$  is abelian and hypercyclic.

**Proof.** By construction,  $G$  is an abelian subsemigroup of  $\mathbb{T}_2^*(\mathbb{C})$  and we have that  $u_\eta = e_1$  and  $A_k = e^{B_k}$ ,  $k = 1, \dots, 4$ , where

$$B_1 = \text{diag}(2\pi, 2\pi), \quad B_2 = \begin{bmatrix} 0 & 0 \\ 2\pi & 0 \end{bmatrix}, \quad B_3 = \begin{bmatrix} 0 & 0 \\ 2i\pi & 0 \end{bmatrix}$$

and

$$B_4 = \begin{bmatrix} -2\pi(\sqrt{2} + i\sqrt{3}) & 0 \\ 2\pi(1 - i\sqrt{5}) & -2\pi(\sqrt{2} + i\sqrt{3}) \end{bmatrix}.$$

By Proposition 5.2,

$$\begin{aligned} g_\eta(e_1) &= \sum_{k=1}^4 \mathbb{N}B_k e_1 + 2i\pi \mathbb{Z}e_1 \\ &= 2\pi L, \end{aligned}$$

where

$$L := \mathbb{N}e_1 + \mathbb{N}e_2 + i\mathbb{N}e_2 + \mathbb{N}[-\sqrt{2} - i\sqrt{3}, 1 - i\sqrt{5}]^T + i\mathbb{Z}e_1.$$

We let

$$K := \mathbb{N}e_1 + \mathbb{N}e_2 + i\mathbb{N}e_2 + \mathbb{N}[-\sqrt{2} - i\sqrt{3}, 1 - i\sqrt{5}]^T + i\mathbb{N}e_1.$$

Then

$$K = \mathbb{N}^2 + i\mathbb{N}^2 + \mathbb{N}[-\sqrt{2} - i\sqrt{3}, 1 - i\sqrt{5}]^T \subset L.$$

By Corollary 6.1,  $K$  is dense in  $\mathbb{C}^2$  since  $1$ ,  $-\sqrt{2}$ ,  $-\sqrt{3}$  and  $-\sqrt{5}$  are linearly independent over  $\mathbb{Q}$ , and so is  $L$ . We conclude by Theorem 1.1 that  $\overline{G(e_1)} = \mathbb{C}^2$ .  $\square$

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