

## A 1-ALG SIMPLE CLOSED CURVE IN $E^3$ IS TAME

W. S. BOYD AND A. H. WRIGHT

**0. Introduction.** Let  $J$  be a simple closed curve in a 3-manifold  $M^3$ . We say  $M^3 - J$  is 1-ALG at  $p \in J$  (or has locally abelian fundamental group at  $p$ ) if and only if for each sufficiently small open set  $U$  containing  $p$ , there is an open set  $V$  such that  $p \in V \subset U$  and each loop in  $V - J$  which bounds in  $U - J$  is contractible to a point in  $U - J$ . Our main result is

**MAIN THEOREM.** *If  $J$  is a simple closed curve embedded in a 3-manifold  $M^3$  so that  $M^3 - J$  is 1-ALG at each point of  $J$ , then  $J$  is tame.*

The case where  $M^3$  is non-orientable can be reduced to the orientable case by looking at the orientable double covering space of  $M^3$ . Because any simple closed curve in an orientable 3-manifold  $M^3$  lies in a cube-with-handles in  $M^3$  (see, for example, [1, Theorem 1]), some neighbourhood of such a curve can be embedded in  $E^3$ . Thus, it suffices to prove the theorem in the case that  $M^3$  is  $E^3$ . Throughout the remainder of this paper, we will assume that  $J$  is a simple closed curve in  $E^3$  and that  $E^3 - J$  is 1-ALG at each point of  $J$ .

**THEOREM 1.**  *$E^3 - J$  is 1-ALG at each point of  $J$  if and only if  $E^3 - J$  is 1-ULC for homologically trivial loops (i.e., for each  $\epsilon > 0$ , there is a  $\delta > 0$  such that any  $\delta$ -loop in  $E^3 - J$  which bounds in  $E^3 - J$  is contractible to a point in an  $\epsilon$ -subset of  $E^3 - J$ ).*

*Proof.* Suppose that  $E^3 - J$  is 1-ALG at each point  $p \in J$ . By [6, Corollary X.4.8], for any simple closed curve  $J$  in  $E^3$ ,  $E^3 - J$  is 1-ulg for homologically trivial cycles. Thus, for each sufficiently small open set  $U$  containing  $p \in J$ , there is an open set  $V'$  with  $p \in V' \subset U$  such that each loop in  $V' - J$  which bounds in  $E^3 - J$  also bounds in  $U - J$ . As  $E^3 - J$  is 1-ALG at  $p \in J$ , there is an open set  $V \subset V'$  with  $p \in V \subset U$  such that any loop in  $V - J$  which bounds in  $U - J$  is contractible to a point in  $U - J$ . Therefore, if  $l$  is a loop in  $V - J$  which bounds in  $E^3 - J$ , then  $l$  bounds in  $U - J$  and hence is contractible to a point in  $U - J$ .

The converse is obvious.

As a consequence of Theorem 1, we need only check to see whether a small loop links  $J$  in order to know if it can be shrunk to a point missing  $J$  - we do not have to show that it bounds in some preassigned open subset of  $E^3 - J$ .

Note that for every  $\epsilon > 0$ , there is a  $\delta > 0$  such that if  $J_1$  and  $J_2$  are two simple closed curves in  $E^3 - J$ , each with unsigned linking number 1 with  $J$ ,

---

Received March 15, 1972 and in revised form, August 8, 1972.

and diameter  $(J_1 \cup J_2) < \delta$ , then  $J_1 \cup J_2$  bounds a singular annulus in  $E^3 - J$  of diameter less than  $\epsilon$ .

**1. Canonical neighbourhoods.** The results of this section hold for any simple closed curve  $J$  in an orientable 3-manifold.

Let  $N$  be a cube-with-handles which contains  $n$  disjoint polyhedral properly embedded disks  $D_1, D_2, \dots, D_n$  whose union separates  $N$  into  $n$  cubes-with-handles  $N_1, N_2, \dots, N_n$  such that  $N_i \cap N_{i+1} = D_i$ , and  $N_i \cap N_j = \emptyset$  if  $i \neq j - 1, j, j + 1$  (where the subscripts are taken mod  $n$ ). The disks  $D_1, \dots, D_n$  are called *sectioning* disks of  $N$ , and  $N_1, \dots, N_n$  are called *sections*. Then  $N$  is said to be a *canonical neighbourhood* of  $J$  if

- (1)  $J \subset \text{Int } N$ ;
- (2) for each sectioning disk  $D_i$  of  $N$ ,  $D_i \cap J$  is contained in a subarc of  $J$  which intersects no other sectioning disk of  $N$ ;
- (3)  $J$  is homotopic in  $\text{Int } N$  to a polyhedral simple closed curve which pierces each sectioning disk  $D_i$  exactly once.

We say that  $N$  is a *canonical  $\epsilon$ -neighbourhood* of  $J$  if for each  $i$ ,  $\text{diam } N_i < \epsilon$ , and is a *solid torus canonical neighbourhood* of  $J$  if each section is a 3-cell.

A *chain of sections* of a canonical neighbourhood  $N$  is a collection  $N_i, N_{i+1}, \dots, N_{i+k}$  of sections (where the subscripts are mod  $n$ , the total number of sections of  $N$ ) of  $N$ .

LEMMA 2. For any  $\epsilon > 0$ ,  $J$  has a canonical  $\epsilon$ -neighbourhood.

*Proof.* The neighbourhood constructed in Theorem 1 of [1] has all the required properties except for (2). However, if we take a neighbourhood of [1] whose sections have diameter less than  $\epsilon/3$ , and delete at least every other sectioning disk, then the resulting neighbourhood will have property (2).

LEMMA 3. Let  $N$  be a canonical neighbourhood of a simple closed curve  $J$ . For any  $\epsilon > 0$ , there is a canonical  $\epsilon$ -neighbourhood  $N'$  of  $J$  in  $\text{Int } N$  and an  $\epsilon$ -homeomorphism  $h$  of  $N$  onto itself such that

- (1) for any sectioning disk  $D_i$  of  $N$ , each component of  $h(D_i) \cap N'$  is contained in one section of  $N'$ ;
- (2)  $h$  is the identity on  $\partial N$  and outside of an  $\epsilon$ -neighbourhood of  $J \cap (\cup D_i)$ ;
- (3) for each sectioning disk  $D_i$  of  $N$ , there is a chain  $\eta_i$  of sections of  $N'$  so that  $h(D_i) \cap N'$  is contained in  $\eta_i$ , and  $\eta_i$  intersects the image under  $h$  of no other sectioning disk of  $N$ . Furthermore,  $\eta_i \cap \eta_j = \emptyset$  if  $i \neq j$ .

**2. Solid torus neighbourhoods.** Fix a canonical neighbourhood  $N^0$  of  $J$ , and on this neighbourhood fix a meridian  $m_0$  which is the boundary of a sectioning disk of  $N^0$ . If  $l$  is an oriented simple closed curve in  $\text{Int}(N^0) - J$ , we will speak of  $\text{lk}(l, m_0)$  and  $\text{lk}(l, J)$ , the linking numbers of  $l$  with respect to  $m_0$  and  $J$  respectively. Note that if  $N$  is a second canonical neighbourhood of  $J$  in  $N^0$  with  $l \subset \text{Int } N$ , and  $m$  is the boundary of a sectioning disk of  $N$ , then  $\text{lk}(l, m) = \pm \text{lk}(l, m_0)$ .

LEMMA 4. *For every open set  $U$  with  $J \subset U \subset N^0$ , there is an open set  $V$  with  $J \subset V \subset U$  such that if  $l$  is a simple closed curve in  $V - J$  with  $\text{lk}(l, J) = 0$  and  $\text{lk}(l, m_0) = 0$ , then  $l$  is homotopic to zero in  $U - J$ .*

*Proof.* Choose an  $\epsilon > 0$  and a canonical  $\epsilon$ -neighbourhood  $V$  such that any nonlinking  $\epsilon$  simple closed curve in  $V - J$  can be shrunk to a point in  $U - J$ . It is sufficient to consider polygonal simple closed curves  $l$  in

$$(\text{Int } V) - J \text{ with } \text{lk}(l, m_0) = \text{lk}(l, J) = 0,$$

and with  $l$  in general position with respect to the sectioning disks of  $V$ . If  $p, q$  are points of  $l$  at which  $l$  pierces some sectioning disk  $D$  of  $V$  in opposite directions, then  $p, q$  can be joined by an arc  $\alpha$  in  $D - J$ . If  $\alpha_1, \alpha_2$  are the two arcs of  $l - \{p, q\}$  then  $l$  is homotopic to the sum of the two simple closed curves  $\alpha_1 \cup \alpha$  and  $\alpha_2 \cup \alpha$ , where  $\text{lk}(\alpha_1 \cup \alpha, m_0) = \text{lk}(\alpha_2 \cup \alpha, m_0) = 0$ . By proper choice of  $\alpha$ , we will have, in addition, that  $\text{lk}(\alpha_2 \cup \alpha, J) = \text{lk}(\alpha_1 \cup \alpha, J) = 0$ . Pushing  $\alpha_1 \cup \alpha$  and  $\alpha_2 \cup \alpha$  off  $D$ ,  $l$  is replaced by a collection of simple closed curves having the additional property of intersecting the union of the sectioning disks of  $V$  two fewer times. After a finite number of steps this procedure yields a collection of simple closed curves each of which lies in a section of  $V$  and whose sum is homotopic to  $l$  in  $V - J$ . As each of these bounds a singular disk in  $U - J$ ,  $l \simeq 0$  in  $U - J$ .

LEMMA 5. *Let  $U$  be an open subset of  $S^3$  with  $J \subset U$  and  $U \cap m_0 = \emptyset$ . Then the inclusion induces an epimorphism of  $H_1(U - J)$  onto*

$$H_1(S^3 - J - m_0) = Z \oplus Z.$$

*Proof.* The inclusion of the excisive couple  $(U, S^3 - J)$  of subsets of  $S^3$  into the excisive couple  $(S^3 - m_0, S^3 - J)$  of subsets of  $S^3$  induces a map from the Mayer-Vietoris sequence of  $(U, S^3 - J)$  to the Mayer-Vietoris sequence of  $(S^3 - m_0, S^3 - J)$  yielding the following commutative diagram:

$$\begin{array}{ccccccc} 0 & \longrightarrow & H_1(U - J) & \xrightarrow{\cong} & H_1(U) & \oplus & H_1(S^3 - J) \longrightarrow 0 \\ & & \downarrow i & & & \downarrow j & \\ 0 & \longrightarrow & H_1(S^3 - J - m_0) & \xrightarrow{\cong} & H_1(S^3 - m_0) & \oplus & H_1(S^3 - J) \longrightarrow 0. \end{array}$$

The map  $j$  is the sum of the maps

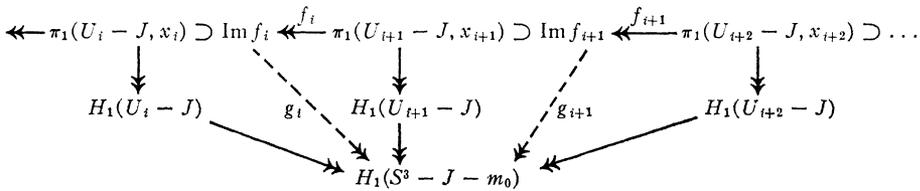
$$H_1(U) \rightarrow H_1(S^3 - m_0) \quad \text{and} \quad H_1(S^3 - J) \rightarrow H_1(S^3 - J),$$

both induced by inclusion. Clearly the second of these maps is onto. The first of these is also onto, because  $J$ , having linking number of 1 with respect to  $m_0$ , is a generator of  $H_1(S^3 - m_0)$  and lies in  $U$ . Thus  $j$  is an epimorphism, and it follows from the diagram that  $i$  is an epimorphism of  $H_1(U - J)$  onto

$H_1(S^3 - J - m_0)$ . Moreover,  $H_1(S^3 - J - m_0) = Z \oplus Z$  because it is isomorphic to  $H_1(S^3 - m_0) \oplus H_1(S^3 - J)$ .

LEMMA 6.  $S^3 - J$  has stable end  $\epsilon$  with  $\pi_1(\epsilon) = Z \oplus Z$  (for definitions, see [3]).

*Proof.* Choose a sequence  $U_1, U_2, \dots$  of connected neighbourhoods of  $J$  lying in  $S^3 - m_0$  with  $U_{i+1}$  lying in the open set  $V$  given by Lemma 4 for  $U = U_i$  and with  $J = \cap U_i$ . Choose a point  $x_i \in U_i - J$  and a path  $\alpha_i$  in  $U_i - J$  from  $x_i$  to  $x_{i+1}$ . Define  $f_i : \pi_1(U_{i+1} - J, x_{i+1}) \rightarrow \pi_1(U_i - J, x_i)$  to be the inclusion followed by the homomorphism induced by  $\alpha_i$ . Consider the following commutative diagram



where each of the (inclusion) maps to  $H_1(S^3 - J - m_0)$  is onto by Lemma 5, each of the maps  $\pi_1(U_j - J, x_j) \rightarrow H_1(U_j - J)$  is onto and each

$$\pi_1(U_{j+1} - J, x_j) \rightarrow \text{Im } f_j = \text{Image } f_j$$

is onto. Thus each of the maps  $g_i$  (dotted arrows) which is the composition of the maps

$$\text{Im } f_j \subset \pi_1(U_j - J, x_j) \rightarrow H_1(U_j - J) \rightarrow H_1(S^3 - J - m_0)$$

is onto. To show each  $g_i$  is an isomorphism choose  $x \in \text{Im } f_i$  in the kernel of  $g_i$ . There is a loop  $l$  in  $\pi_1(U_{i+1} - J, x_{i+1})$  such that  $f_i(l) = x$ . As  $g_i f_i(l) = 0$ ,  $l$  is homologous to zero in  $S^3 - J - m_0$  so that  $\text{lk}(l, J) = \text{lk}(l, m_0) = 0$ . By Lemma 4,  $l \simeq 0$  in  $\pi_1(U_i - J, x_i)$ . It follows that  $x = f_i(l) \simeq 0$  in  $\text{Im } f_i$ . Thus each  $g_i$  is an isomorphism of  $\text{Im } f_i$  onto  $Z \oplus Z$ , whence

$$f_i : \text{Im } f_{i+1} \rightarrow \text{Im } f_i$$

is also an isomorphism.

We have shown that the sequence

$$\pi_1(U_1 - J, x_1) \xleftarrow{f_1} \pi_1(U_2 - J, x_2) \xleftarrow{f_2} \dots$$

induces isomorphisms on the sequence

$$\text{Im } f_1 \xleftarrow{f_1} \text{Im } f_2 \xleftarrow{f_2} \dots$$

so that  $\epsilon$ , the end of  $S^3 - J$  is stable and

$$\begin{aligned}\pi_1(\epsilon) &= \varprojlim \{ \pi_1(U_i - J, x_i), f_i \} = \varprojlim \{ \text{Im } f_i, f_i \} \\ &= H_1(S^3 - J - m_0) = Z \oplus Z.\end{aligned}$$

We state the following easy to prove lemma without proof.

**LEMMA 7.** *Let  $O$  and  $O'$  be the complementary domains of a polyhedral torus in  $S^3$  and suppose that  $O'$  contains an unknotted simple closed curve which is not homologous to zero in  $O'$ . Then  $\text{Cl}(O)$  is a solid torus.*

**THEOREM 8.**  *$J$  is definable by solid tori.*

*Proof.* It is clear from Lemma 6 that  $S^3 - J$  satisfies the hypotheses of Theorem 1 of [3]. Thus there is a 2-manifold  $S \subset S^3$  and a neighbourhood  $O$  of  $J$  such that  $O - J \approx S \times [0, \infty)$ . As  $\pi_1(\epsilon) = Z \oplus Z$ , where  $\epsilon$  is the end of  $S^3 - J$ ,  $S$  must be a torus. Define  $O_t = \{S \times [t, \infty)\} \cup J$ . As we may assume that  $m_0 \subset S^3 - O$ , the previous lemma tells us that  $O_t$  is a solid torus for each  $t$ . Because  $J = \bigcap \{O_t : t = 1, 2, \dots\}$ ,  $J$  is definable by solid tori.

Because we have not used the full strength of the 1-ALG condition, we have proved the following theorem:

**THEOREM 9.** *Let  $J$  be a simple closed curve in an orientable 3-manifold and let  $J$  satisfy the following condition: For every sufficiently small open set  $U$  with  $J \subset U$ , there is an open set  $V$  with  $J \subset V \subset U$  such that any loop in  $V - J$  which is homologous to zero in  $U - J$  is also homotopic to zero in  $U - J$ . Then  $J$  has arbitrarily close neighbourhoods whose closures are solid tori.*

*Remark.* If a simple closed curve on the boundary of one of the solid tori of Theorem 8 is homologous to zero in  $S^3 - m_0 - J$ , then it bounds a disk on the boundary of the solid torus.

### 3. Cutting off feelers and foldbacks.

**LEMMA 10.** *Let  $\epsilon > 0$ . Then there is a canonical  $\epsilon$ -neighbourhood  $N$  of  $J$ , and a solid torus neighbourhood  $T$  of  $J$ , with  $T \subset \text{Int } N$ , so that, if  $D$  is a sectioning disk of  $N$ ,  $\partial T \cap D$  is a finite collection of simple closed curves each of which link  $J$  (and hence are meridional on  $T$ ).*

*Remark.* This lemma says that we can “cut the feelers” off  $T$ .

*Proof.* Let  $N$  be a canonical  $(\epsilon/8)$ -neighbourhood of  $J$ . We can suppose that the number of sections of  $N$  is divisible by 4 and that the sectioning disks of  $N$  intersect  $J$  in a 0-dimensional set. Using Theorems 1 and 8, we find a solid torus neighbourhood  $T$  of  $J$  with  $\partial T$  in general position with respect to the sectioning disks of  $N$  and with  $T$  so close to  $J$  that, for any sectioning disk  $D$  of  $N$ , and for any simple closed curve  $l$  of  $\partial T \cap D$  which bounds a

disk on  $\partial T$ ,  $l$  bounds a singular disk in  $(\text{Int } N) - J$  which intersects no other sectioning disk of  $N$ .

We now fix a sectioning disk  $D_i$ . From this point on, we consider our subscripts on sectioning disks to be mod  $n$ , where  $n$  is the number of sections of  $N$ . There are pairwise disjoint disks  $E_1, E_2, \dots, E_m$  in  $\partial T$  with  $\partial E_j \subset D_i$  so any simple closed curve of  $\partial T \cap D_i$  which does not link  $J$  lies in some  $E_j$ . Let  $E_j'$  be the closure of the component of  $E_j - D_{i-1} - D_{i+1}$  which contains  $\partial E_j$ . Then  $E_j'$  is a punctured disk, and we can fill in the holes of  $E_j'$  with singular disks which do not hit  $D_i, J$ , and the remaining  $D_k$ 's. Thus we obtain a singular Dehn disk with the same boundary as  $E_j$  and which lies in four sections of  $N$ . We apply Dehn's lemma to obtain nonsingular disks  $E_1'', \dots, E_m''$  with the same properties. Using a disk trading argument, we can assume that these disks are pairwise disjoint.

By a general position argument, we can assume that  $\partial E_j'' \subset \partial T$  while  $\text{Int } E_j'' \cap \partial T$  is a finite collection of simple closed curves. Each of these simple closed curves bounds a disk on  $\partial T$ . Then, using a disk-trading argument, we can cut  $\partial T$  off  $\cup \text{Int } E_j''$ . Then, if  $\partial E_j''$  still lies on  $\partial T$ , we replace the disk it bounds on  $\partial T$  with  $E_j''$ . We now have that each simple closed curve of  $\partial T \cap D_i$  which bounds a disk on  $\partial T$ , bounds a disk on  $\partial T$  which lies in four sections of  $N$ . Now, we use another disk-trading argument to cut  $D_i$  off  $\partial T$  to obtain a new sectioning disk  $D_i'$  which intersects  $\partial T$  only in curves that link  $J$ . Then  $D_i'$  lies in four sections of  $N$ .

Let  $D_j$  be any sectioning disk of  $N$  except  $D_{i-1}, D_i$ , or  $D_{i+1}$ . In our modifications of  $T$ , we may have changed  $\partial T \cap D_j$ . However, with the new  $T$ ,  $\partial T \cap D_j$  will be a subset of what it was with the old  $T$ . Thus, we still have that for any simple closed curve  $l$  of  $\partial T \cap D_j$  which bounds a disk on  $\partial T$ ,  $l$  bounds a singular disk in  $(\text{Int } N) - J$  which intersects no other sectioning disk of  $N$ .

We now go to the sectioning disk  $D_{i+4}$  and repeat the above process to get a disk  $D_{i+4}'$  and a new solid torus, still called  $T$ . In this way we can find a new sequence of sectioning disks  $D_i', D_{i+4}', D_{i+8}', \dots, D_{i-4}'$  of  $N$ , so that  $N$ , with the new sectioning disks and sections, has the required properties.

LEMMA 11. *Let  $\epsilon > 0$ . Then there is a canonical  $\epsilon$ -neighbourhood  $N$  of  $J$ , and a solid torus neighbourhood  $T$  of  $J$ , with  $T \subset \text{Int } N$ , so that, for any sectioning disk  $D$  of  $N$ , any two simple closed curves of  $\partial T \cap D$  bound an annulus on  $\partial T$  which links  $J$  and which intersects no other sectioning disk of  $N$ .*

*Remark.* This theorem cuts the long foldbacks off  $\partial T$ .

*Proof.* Let  $N$  be a canonical  $(\epsilon/8)$ -neighbourhood of  $J$ . Let  $\eta$  be less than the distance from  $J$  to  $\partial N$  and less than the minimum distance between the sectioning disks of  $N$ . Let  $\delta$  be chosen for  $\eta/4$  using the 1-ULC condition for homologically trivial loops as specified in Theorem 1. Let  $N'$  be a canonical  $\delta$ -neighbourhood of  $J$  and let  $T$  be a solid torus neighbourhood of  $J$  in  $\text{Int } N'$

so that, for each sectioning disk  $D'$  of  $N'$ , each component of  $\partial T \cap D'$  is a simple closed curve which links  $J$ . Using Lemma 3, after a  $\delta$ -adjustment of the sectioning disks of  $N$ , we can assume that  $N'$  intersects the sectioning disks of  $N$  as specified in Lemma 3. By a disk-trading argument similar to that done in the proof of Lemma 10, we can also assume that for each sectioning disk  $D$  of  $N$ ,  $D$  has been adjusted so that  $\partial T \cap D$  consists of simple closed curves which link  $J$ . The sectioning disks of  $N$  now lie homeomorphically within  $2\delta$  of where they originally lay. Since  $\delta < \eta/4$ , the minimum distance between the sectioning disks is still greater than  $\eta/2$ .

We now have the condition on  $T$  which we will use in the remainder of the proof; namely, for any sectioning disk  $D$  of  $N$ , any two simple closed curves of  $\partial T \cap D$  which lie in one section of  $N'$  bound a singular annulus missing  $J$  which lies in the two adjacent sections of  $N$ . (See the remark at the end of Section 0.)

Without loss of generality we can assume that the number of sections of  $N$  is divisible by four. We now fix a sectioning disk  $D_i$  of  $N$ . We can consider  $\partial T$  as the union of two annuli,  $C$  and  $A$ , so that  $\partial A = \partial C \subset D_i$  and  $C \cap D_i = \partial C$ . Furthermore,  $C$  and  $A$  can be chosen so that any simple closed curve consisting of two arcs, one in  $C$  spanning between the boundary components of  $C$ , and one in  $D_i$ , must link  $m_0$ . (For the definition of  $m_0$  see the beginning of Section 2.) The corresponding simple closed curve in  $A \cup D_i$  would not link  $m_0$ . Let  $N'_j$  be a section of  $N'$  so that  $D_{i-1}$  separates the end sectioning disks of  $N'_j$ , and let  $N'_k$  be a section of  $N'$  so that  $D_{i+1}$  separates the end sectioning disks of  $N'_k$ . We wish to replace  $A$  by an annulus which lies in four sections of  $N$ . If  $A$  does not satisfy this condition, then let  $A_1^*$  and  $A_2^*$  be the disjoint minimal subannuli of  $A$  with  $\partial A \subset \partial A_1^* \cup \partial A_2^*$  and with

$$\partial A_j^* - \partial A \subset (N'_j \cap D_{i-1}) \cup (N'_k \cap D_{i+1}), \quad j = 1, 2.$$

Then  $A_1^* \cup A_2^*$  must be contained in the chain of sections of  $N'$  from  $N'_j$  to  $N'_k$  which lies in the chain of four sections of  $N$  around  $D_i$ . Thus,  $A_1^* \cup A_2^*$  also lies in this chain of four sections of  $N$ .

*Case 1.*  $A_1^*$  and  $A_2^*$  both have a boundary component in  $D_{i+1} \cap N'_j$ : in this case, there must be a singular annulus missing  $J$  joining the two boundary components of  $A_1^* \cup A_2^*$  which lie in  $D_{i+1}$ . This singular annulus can be chosen to miss  $D_i$  and  $D_{i+2}$ . Piecing together this singular annulus with  $A_1^*$  and  $A_2^*$ , we obtain a singular annulus missing  $J$ ,  $D_{i-1}$ , and  $D_{i+2}$ , with the same boundary as  $A$ , and with no singularities in a neighbourhood of the boundary. Using Dehn's lemma as stated in Theorem 1.1 of [5] we can find either: (1) a nonsingular annulus  $A'$  lying in four sections of  $N$ , missing  $J$ , and with  $\partial A' = \partial A$ ; or (2) a nonsingular disk missing  $J$  whose boundary is contained in  $\partial A$ . However, (2) is impossible since each component of  $\partial A$  links  $J$ .

Case 2.  $A_1^*$  and  $A_2^*$  both have one boundary component lying in  $D_{i-1}$ : this is similar to Case 1.

Case 3.  $A_1^*$  has a boundary component in  $D_{i-1}$  and  $A_2^*$  has a boundary component in  $D_{i+1}$  (or vice versa): in this case we can find a subannulus  $A_3^*$  in  $A - A_1^* - A_2^*$  with one boundary component in  $D_{i-1} \cap N_j'$  and one boundary component in  $D_{i+1} \cap N_k'$ , and lying in four sections of  $N$ . We can then join the boundary components of  $A_1^*$  and  $A_3^*$  which lie in  $D_{i-1}$  with a singular annulus missing  $J$ ,  $D_{i-2}$ , and  $D_i$ . Similarly, we can join the boundary components of  $A_2^*$  and  $A_3^*$  which lie in  $D_{i+1}$  with a singular annulus missing  $J$ ,  $D_i$ , and  $D_{i+2}$ . Piecing together these two singular annuli with  $A_1^*$ ,  $A_3^*$  and  $A_2^*$ , we get a singular annulus lying in four sections of  $N$ , with the same boundary as  $A$ , and with no singularities in some neighbourhood of the boundary. By applying Dehn's lemma, we can replace this singular annulus with a nonsingular annulus  $A'$  missing  $J$ , and with  $\partial A = \partial A'$ .

In all three cases we have constructed a nonsingular annulus  $A'$  so that

$$\partial A' = \partial A \subset D_i \quad \text{and} \quad A' \cap (D_{i-2} \cup D_{i+2}) = \emptyset.$$

Using general position, we can assume that each component of  $(\text{Int } A') \cap (\text{Int } C')$  is a simple closed curve. If one of these simple closed curves bounds a disk on  $A'$ , we can find an innermost such simple closed curve on  $A'$ . We replace the disk this simple closed curve bounds on  $C$  with the disk it bounds on  $A'$  and then push the disk off  $A'$ . In this way, we can assume that each simple closed curve of  $A' \cap C$  links  $J$  and is nontrivial on both  $A'$  and  $C$ .

Choose an arc  $\alpha$  which spans from one boundary component of  $C$  to the other and intersects each simple closed curve of  $C \cap A'$  once. By our choice of  $C$ ,  $\alpha$  crosses each sectioning disk of  $N$  except  $D_i$  algebraically once. We can choose a subannulus  $C'$  of  $C$  so that  $C' \cap A' = \partial C'$  and so that the subarc of  $\alpha$  which spans  $C'$  intersects each sectioning disk of  $N$  except possibly for  $D_{i-1}$ ,  $D_i$  and  $D_{i+1}$  algebraically once. Then  $\partial C'$  bounds a subannulus  $A''$  of  $A'$ . Together,  $C'$  and  $A''$  make up a torus which we claim bounds a solid torus which contains  $J$ . To prove this claim, we consider  $C' \cap D_{i+2}$ . By our construction of  $C$  and  $C'$ , we have that

$$C' \cap D_{i+2} \subset C \cap D_{i+2} \subset \partial T \cap D_{i+2}.$$

Hence, each component of  $C' \cap D_{i+2}$  is a simple closed curve which links  $J$ . We choose a component of  $C' \cap D_{i+2}$  which is innermost on  $D_{i+2}$ ; this is a simple closed curve on the torus  $C' \cup A''$  which links  $J$  and which bounds a disk whose interior misses  $C' \cap A''$ . Thus,  $C' \cup A''$  bounds a solid torus which we will now call  $T$ . Since  $\partial T \cap D_i \subset A'' \subset A'$ , any two simple closed curves of  $\partial T \cap D_i$  bound an annulus which links  $J$  and which is contained in four sections of  $N$ .

We now repeat this process using  $D_{i+4}$  in place of  $D_i$ . After modifying  $T$  for every fourth sectioning disk of  $N$ , we delete all but every fourth sectioning disk of  $N$  and combine sections.

**THEOREM 12.** *For any  $\epsilon > 0$ ,  $J$  has a solid torus canonical  $\epsilon$ -neighbourhood.*

*Proof.* Let  $N$  and  $T$  be the neighbourhoods of  $J$  as described in Lemma 11 for  $\epsilon/3$ . For each sectioning disk  $D_i$  of  $N$ , choose a simple closed curve of  $\partial T \cap D_i$  which is innermost on  $D_i$ . Since this simple closed curve links  $J$ , the disk  $D_i'$  which it bounds in  $\text{Int } D_i$  must be a meridional disk for  $T$ . Then we let  $D_1', D_2', \dots, D_n'$  be sectioning disks for  $T$ . These sectioning disks divide  $T$  into sections, each with diameter less than  $\epsilon$ .

#### 4. Constructing a piercing disk.

**LEMMA 13.** *For any  $\epsilon > 0$ , there is a solid torus canonical  $\epsilon$ -neighbourhood  $T$  of  $J$  with the following property:*

*If  $D_i$  is a sectioning disk of  $T$  and  $J_1, J_2$  are two simple closed curves in  $D_i - J$ , each of which has linking number 1 with  $J$ , then  $J_1 \cup J_2$  bounds a singular annulus in  $S^3 - J$  which does not intersect any section of  $T$  except  $T_i$  and  $T_{i+1}$ .*

*Proof.* Let  $N$  be a canonical  $(\epsilon/8)$ -neighbourhood of  $J$ , and suppose that the number of sections of  $N$  is divisible by 4. Let  $\eta$  be less than the minimum distance between any two non-adjacent sections of  $N$ . Using the 1-ULC condition for homologically trivial loops as defined in Theorem 1, pick a  $\delta > 0$  so that any loop of diameter less than  $\delta$  which does not link  $J$  bounds a singular disk missing  $J$  of diameter less than  $\eta/2$ . Using Lemma 3 and Theorem 12, we can find a solid torus canonical  $\delta/2$ -neighbourhood  $T$  of  $J$  and a  $\delta/2$ -homeomorphism which adjusts the sectioning disks of  $N$  so that  $T$  lies in  $N$  as specified in Lemma 3 with  $N'$  replaced by  $T$ . Then the minimum distance between non-adjacent sections of  $N$  is still greater than  $\eta/2$  after the sectioning disks were adjusted.

In every fourth section of  $N$ , choose one sectioning disk of  $T$ , and then delete all the remaining sectioning disks of  $T$  and combine sections accordingly. Then any section of  $T$  lies in six sections of  $N$ , and  $T$  is a solid torus canonical  $\epsilon$ -neighbourhood of  $J$ . Let  $D_i'$  be a sectioning disk of  $T$ , and let  $J_1$  and  $J_2$  be simple closed curves in  $D_i' - J$  each of which has linking number one with  $J$ . Then  $J_1$  and  $J_2$  bound a singular annulus of diameter less than  $\eta/2$  missing  $J$ . This singular annulus must then intersect at most the section of  $N$  containing  $D_i'$  plus the two adjacent sections of  $N$ . Thus, the singular annulus can only intersect the sections of  $T$  adjacent to  $D_i'$ .

**LEMMA 14.** *Let  $\epsilon > 0$ . Then there is a solid torus canonical  $\epsilon$ -neighbourhood  $T$  of  $J$  and a  $\delta > 0$  so that if  $T'$  is any solid torus canonical  $\delta$ -neighbourhood of  $J$ , and if  $D_i$  is a sectioning disk of  $T$  and  $l$  is a simple closed curve of  $D_i \cap \partial T'$ , then  $\partial D_i$  and  $l$  bound an annulus  $A$  in  $T - \text{Int } T'$  such that*

$$\text{Int } A \subset (\text{Int } T) - T'$$

*and  $A$  lies in a chain of four sections of  $T$ .*

*Proof.* Let  $T$  be a solid torus canonical  $\epsilon$ -neighbourhood of  $J$  constructed

as in Lemma 13. Since  $T$  is a canonical neighbourhood of  $J$ , for each sectioning disk  $D_j$  of  $T$ ,  $D_j \cap J$  is contained in a subarc of  $J$  which intersects no other sectioning disk of  $T$ . We choose  $\delta$  so small that if  $T'$  is a solid torus canonical  $\delta$ -neighbourhood of  $J$  and  $D_j$  is a sectioning disk of  $T$ , then  $T' \cap D_j$  is contained in a chain of sections of  $T'$  which intersects no other sectioning disk of  $T$ .

We fix a solid torus canonical  $\delta$ -neighbourhood  $T'$ , a sectioning disk  $D_i$  of  $T$ , and a simple closed curve  $l$  of  $D_i \cap \partial T'$  which links  $J$ . Let  $l^*$  be a simple closed curve of  $D_{i+1} \cap \partial T'$  which links  $J$ . Then  $\partial D_{i+1}$  and  $l^*$  bound a singular annulus which intersects  $T$  only in the sections of  $T$  adjacent to  $D_{i+1}$ . Hence this singular annulus misses  $D_i$ . We can now piece together an annulus on  $\partial T$  from  $\partial D_i$  to  $\partial D_{i+1}$ , the singular annulus just constructed, and an annulus on  $\partial T'$  from  $l$  to  $l^*$  to obtain a singular annulus contained in the union of a chain of 3-sections of  $T$  with no singularities in a neighbourhood of its boundary. We apply Dehn's lemma to this annulus to obtain a nonsingular annulus  $A_0$  with the same properties. We suppose that  $\text{Int}(A_0)$  is in general position with respect to  $\partial T$  and  $\partial T'$ , and thus that  $\text{Int}(A_0) \cap (\partial T \cup \partial T')$  is a finite collection of simple closed curves. By a disk-trading argument we can suppose that none of these simple closed curves bounds a disk on  $A_0$ ,  $\partial T$  or  $\partial T'$ . We can then find a subannulus  $A_0'$  of  $A_0$  which spans from  $\partial T$  to  $\partial T'$  with  $\text{Int } A_0' \subset (\text{Int } T) - T'$ . Note that either  $\partial D_i \subset \partial A_0'$  or  $\partial D_i \cap \partial A_0' = \emptyset$ . We then piece together a subannulus of  $\partial T$  from  $\partial D_i$  to  $A_0' \cap \partial T$  (if necessary),  $A_0'$ , and a subannulus of  $\partial T'$  from  $l$  to  $A_0' \cap \partial T'$  to obtain an annulus bounded by  $\partial D_i$  and  $l$  which lies in  $T - \text{Int } T'$ . We push the interior of this annulus off  $\partial T \cup \partial T'$  to form the annulus  $A$ .

**THEOREM 15.** *At each point  $p \in J$ , there is a disk  $D$  so that  $J$  pierces  $D$  at  $p$ . Hence,  $J$  is tame.*

*Proof.* Let  $\epsilon_1, \epsilon_2, \epsilon_3, \dots$  be a sequence of positive numbers with  $\epsilon_i < 1/i$ . Using Lemma 4, we can construct a sequence of solid torus canonical  $\epsilon_i$ -neighbourhoods  $T^1, T^2, T^3, \dots$  so that  $T^{i+1}$  lies in  $T^i$  as specified by Lemma 14. For each  $i$ , let  $D^i$  be a sectioning disk of  $T^i$  which lies in a section of  $T^i$  which contains  $p$ . Using Lemma 14, we can construct an  $8\epsilon_i$ -annulus  $A^i$  from  $\partial D^i$  to  $\partial D^{i+1}$  in  $T^i - \text{Int } T^{i+1}$ . Then  $D = \cup A_i \cup \{p\}$  is the required disk. Theorem 1 of [4] then shows that  $J$  is tame.

*Remark.* At this point it would not be difficult to complete an elementary proof that  $J$  is tame which would not require reference to McMillan's paper [4]. We have all the necessary elements to construct a 'regular' neighbourhood of  $J$ .

Cannon [2] now has a proof of the corresponding theorem for graphs.

REFERENCES

1. W. S. Boyd and A. H. Wright, *Taming wild simple closed curves with monotone maps*, Can. J. Math. 24 (1972), 768-788.

2. J. W. Cannon, ULC *properties in neighborhoods of embedded surfaces and curves in  $E^3$* , Can. J. Math. *25* (1973), 31–73.
3. L. S. Husch and T. M. Price, *Finding a boundary for a 3-manifold*, Ann. of Math. *91* (1970), 223–235.
4. D. R. McMillan, *Local properties of the embedding of a graph in a three-manifold*, Can. J. Math. *18* (1966), 517–528.
5. Arnold Shapiro and J. H. C. Whitehead, *A proof and extension of Dehn's lemma*, Bull. Amer. Math. Soc. *64* (1958), 174–178.
6. R. L. Wilder, *Topology of manifolds*, Amer. Math. Soc. Colloq. Publ., vol. *32* (Amer. Math. Soc., Providence, 1963).

*Western Michigan University,  
Kalamazoo, Michigan*