

THE ASYMPTOTIC BEHAVIOUR OF EQUIDISTANT PERMUTATION ARRAYS

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1. Introduction. An *equidistant permutation array* (EPA) $A(r, \lambda; v)$ is a $v \times r$ array defined on a set V of r symbols such that every row is a permutation of V and any two distinct rows have precisely λ common entries. Define $R(r, \lambda)$ to be the largest value of v for which there exists an $A(r, \lambda; v)$. Deza [2] has shown that

$$R(r, \lambda) \leq \max \{n^2 + n + 1, \lambda + 2\}$$

where $n = r - \lambda$. Bolton [1] has shown that

$$(*) \quad R(r, \lambda) \geq 2 + \left\lfloor \frac{\lambda}{\left\lfloor \frac{n}{3} \right\rfloor} \right\rfloor.$$

In this paper, we show that equality holds in (*) for $\lambda > \lceil n/3 \rceil(n^2 + n)$. In order to do this we require several more definitions.

An (r, λ) -*design* D is a collection B of subsets (called *blocks*) of a finite set V of elements (called *varieties*) such that any two distinct elements of V are contained in precisely λ common blocks and every variety is contained in exactly r blocks of D . An (r, λ) -design D is said to be *resolvable* or *contain a resolution* R if the blocks of D can be partitioned into classes (called *resolution classes*) such that every variety of D is contained in precisely one block of each resolution class. We say that an (r, λ) -design D is *orthogonally resolvable* (denoted $OD(r, \lambda)$) if D contains resolutions R and R' and R_1, R_2, \dots, R_r and R'_1, R'_2, \dots, R'_r are the resolution classes of R and R' respectively such that for all i and j ($1 \leq i, j \leq r$) R_i and R'_j have at most one labelled block in common. (Note: We consider all blocks of D as labelled so that a given subset can occur repeatedly as distinct blocks.)

The following result of Deza, Mullin and Vanstone appeared in [3].

THEOREM 1.1. *There exists an $A(r, \lambda; v)$ if and only if there exists an $OD(r, \lambda)$ -design having v varieties.*

Theorem 1.1 shows the connection between EPAs and (r, λ) -designs. Using results on (r, λ) -designs, we will deduce asymptotic results for $R(r, \lambda)$.

Let D be an (r, λ) -design defined on a set V of v symbols. A block of D is said to be *complete* if it contains all of the varieties. D is said to contain a *complete set of singletons* if D contains v blocks each of size one whose union is V . An (r, λ) -design which contains λ complete blocks is called *trivial*. Let $v_0(r, \lambda)$

Received July 27, 1977 and in revised form, April 4, 1978.

be the smallest positive integer such that if D is any (r, λ) -design on $v > v_0(r, \lambda)$ varieties then D must be trivial. It has been shown [2] that

$$v_0(r, \lambda) \leq \max \{ \lambda + 2, n^2 + n + 1 \}.$$

For $\lambda > n^2 + n - 1$, Mullin [5] has proven that any (r, λ) -design with $v \geq v_0(r, \lambda)$ varieties only has block sizes 1, $v - 1$ and v . Such designs are called *near-trivial*. We will make use of this result in the following section.

2. Main result. Let D be an $OD(r, \lambda)$ -design having $v > n^2 + n + 1$ varieties for $n \geq 3$ and such that v is a maximum. Since D is an (r, λ) -design, Mullin's result implies that D is near-trivial. Hence, D contains only blocks of size 1, $v - 1$, and v . Call the blocks of size $v - 1$ in D the *body* of the design. Clearly, the body of the design can be partitioned into t copies of all $(v - 1)$ -subsets of a v -set for some non-negative integer t .

Suppose D has no blocks of size $v - 1$. Then D must be trivial and must contain at least v complete sets of singletons if it is to be orthogonally resolvable. This is impossible since $v > n$. We then deduce that $t \geq 1$.

Since D is an $OD(r, \lambda)$ -design, it must be resolvable and thus, for each component of the body there must be a complete set of singletons to form v resolution classes of D . (This follows since each block of cardinality $v - 1$ in the body requires a singleton block to form a resolution class). Hence, D must have at least t complete sets of singletons and we easily deduce that $t \leq \lfloor n/2 \rfloor$ where $\lfloor x \rfloor$ is called the *floor function* of x and denotes the greatest integer less than or equal to x . Denote these t complete sets of singletons by S_1, S_2, \dots, S_t . Suppose D contains s other complete sets of singletons denoted T_1, T_2, \dots, T_s and these are resolution classes in a resolution R of D .

Each component of the body of the design and each complete set of singletons contributes one to n . However a complete block contributes zero to n . Thus, $n = 2t + s$.

LEMMA 2.1. For $S_1, S_2, \dots, S_t, T_1, T_2, \dots, T_s$ and D as defined above we have $s \leq t$.

Proof. Since D is an $OD(r, \lambda)$ -design, it contains a second resolution R' which is orthogonal to R . R' must contain T_1', T_2', \dots, T_s' complete sets of singletons and S_1', S_2', \dots, S_t' complete sets of singletons associated with the body of D .

Consider $T_i', 1 \leq i \leq s$. T_i' can contain at most s blocks from T_1, T_2, \dots, T_s (at most one from each). Thus T_i' contains at least $v - s$ singletons from S_1, S_2, \dots, S_t . For this to be possible, for all $i, 1 \leq i \leq s$,

$$s(v - s) \leq tv.$$

This implies

$$(1) \quad s \leq \frac{v - \sqrt{v^2 - 4tv}}{2} \quad \text{or}$$

$$(2) \quad s \geq \frac{v + \sqrt{v^2 - 4tv}}{2}$$

Since $t \leq \lfloor n/2 \rfloor$, it is easy to see that

$$\frac{v - \sqrt{v^2 - 4tv}}{2} < t + 1.$$

Moreover, it readily follows that

$$\left\lfloor \frac{v - \sqrt{v^2 - 4tv}}{2} \right\rfloor = t.$$

Since $n = 2t + s$, and $t \geq 1$,

$$s < n.$$

Thus, if (2) is true

$$\frac{v + \sqrt{v^2 - 4vt}}{2} < n$$

which is impossible since $v > n^2 + n + 1$. This completes the proof of the lemma.

Now, if we let $S_1' = T_1, S_2' = T_2, \dots, S_s' = T_s, S_{s+1}' = S_1, \dots, S_t' = S_{t-s}, T_1' = S_{t-s+1}, \dots, T_s' = S_t$ then it is easily seen that R and R' are orthogonal resolutions. Since $t \geq s$ and $t + s \geq 2$, the above is always possible.

By Lemma 2.1, we have

$$n = 2t + s \leq 3t$$

which implies that $t \geq n/3$. Since t must be an integer

$$t \geq \lceil n/3 \rceil$$

where $\lceil x \rceil$ is called the *roof function* of x and means the least integer greater than or equal to x . It now follows that

$$(3) \quad \lceil n/3 \rceil \leq t \leq \lfloor n/2 \rfloor.$$

If D contains c complete blocks then

$$(4) \quad \begin{aligned} r &= t(v - 1) + n - t + c \quad \text{and} \\ \lambda &= t(v - 2) + c \end{aligned}$$

where $c < t$. The restriction that $c < t$ follows from the fact v is maximum. If $c > t$ then it is possible to construct an $OD(r, \lambda)$ -design having more than v varieties.

Since $r = n + \lambda$, (4) becomes

$$(5) \quad v - 2 = (\lambda - c)/t = \lfloor \lambda/t \rfloor.$$

Since v is a maximum, (5) implies that t must be a minimum and from (3) we get that $t = \lceil n/3 \rceil$. Therefore,

$$(6) \quad v = 2 + \left\lfloor \frac{\lambda}{\lceil \frac{n}{3} \rceil} \right\rfloor.$$

But (6) is true whenever $v > n^2 + n + 1$ which implies that

$$\lambda > \lceil n/3 \rceil (n^2 + n).$$

This proves the following theorem.

THEOREM 2.1.
$$R(r, \lambda) = 2 + \left\lfloor \frac{\lambda}{\lceil n/3 \rceil} \right\rfloor$$

whenever $\lambda > \lceil n/3 \rceil (n^2 + n)$.

3. Conclusion. Theorem 2.1 provides an asymptotic evaluation of $R(r, \lambda)$. Thus, for any value of n , there are only a finite number of values of $R(r, \lambda)$ to determine. This appears to be a difficult problem. For some of the known results in this area, the reader is referred to [4; 6; and 7].

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