

## ON ROSENBLOOM'S FIXED-POINT THEOREM AND RELATED RESULTS

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### Abstract

In this paper, we improve the Rosenbloom's fixed-point theorem and prove a related normality criterion. We also consider the corresponding unicity theorem for transcendental entire functions.

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### 1. Introduction and the main results

Let  $f(z)$  be a nonconstant meromorphic function in the whole complex plane. We use the following standard notations of value distribution theory,

$$T(r, f), m(r, f), N(r, f), \bar{N}(r, f), \dots$$

(see Hayman [5]). We denote by  $S(r, f)$  any function satisfying

$$S(r, f) = o\{T(r, f)\},$$

as  $r \rightarrow +\infty$ , possibly outside a set of finite measure.

A meromorphic function  $\alpha(z)$  is called a small function related to  $f(z)$  if  $T(r, \alpha) = S(r, f)$ .

Let  $S$  be a set of complex numbers. Write

$$E(S, f) = \bigcup_{a \in S} \{z \mid f(z) - a = 0\},$$

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where a solution to  $f(z) - a = 0$  with multiplicity  $m$  is counted  $m$  times in the above set.

In 1952, Rosenbloom [6] proved the following theorem.

**THEOREM 1.** *Let  $P(z)$  be a polynomial with  $\deg P \geq 2$ ,  $f(z)$  a transcendental entire function. Then*

$$(1.1) \quad \overline{\lim}_{r \rightarrow \infty} \frac{N(r, 1/(P(f) - z))}{T(r, f)} \geq 1.$$

In 1995, Zheng and Yang [12] proved

**THEOREM 2.** *Let  $P(z)$  be a polynomial with  $\deg P \geq 2$ ,  $f(z)$  a transcendental entire function, and  $\alpha(z)$  a nonconstant meromorphic function satisfying  $T(r, \alpha) = S(r, f)$ . Then*

$$(1.2) \quad T(r, f) \leq k\bar{N}\left(r, \frac{1}{P(f) - \alpha}\right) + S(r, f).$$

Here  $k = 2/(\deg P - 1)$  if  $P'(z)$  has only one zero; otherwise  $k = 2$ .

Naturally, we ask what is the best possible  $k$  in (1.2). In this paper, we have obtained such a  $k$  by proving the following result.

**THEOREM 3.** *Let  $P(z)$  be a polynomial with  $\deg P \geq 2$ ,  $f(z)$  a transcendental entire function, and  $\alpha(z)$  a meromorphic function satisfying  $T(r, \alpha) = S(r, f)$ . If  $\alpha(z)$  is a constant, we also require that there exists a constant  $A \neq \alpha$  such that  $P(z) - A$  has a zero of multiplicity at least 2. Then*

$$(1.3) \quad T(r, f) \leq k\bar{N}\left(r, \frac{1}{P(f) - \alpha}\right) + S(r, f).$$

Here  $k = 1/(\deg P - 1)$  if  $P'(z)$  has only one zero; otherwise  $k = 1$ .

Obviously, Theorem 3 improves Theorem 2 and implies the following corollary.

**COROLLARY 1.** *Let  $P(z)$  be a polynomial with  $\deg P \geq 2$ ,  $f(z)$  a transcendental entire function, and  $\alpha(z)$  a nonconstant meromorphic function satisfying  $T(r, \alpha) = S(r, f)$ . Then*

$$(1.4) \quad \overline{\lim}_{r \rightarrow \infty} \frac{\bar{N}(r, 1/(P(f) - \alpha))}{T(r, f)} \geq 1.$$

The following examples show that the condition in Theorem 3 when  $\alpha(z)$  is a constant is necessary and the number  $k$  in Theorem 3 is sharp.

EXAMPLE 1. Let  $f(z) = e^z - 1$ ,  $P(z) = (z + 1)^n + 1$ , where  $n \geq 2$  is a positive integer, and  $\alpha = 1$ . Thus  $P(z) - \alpha = P(z) - 1 = (z + 1)^n$ ,  $P(f) - \alpha = e^{nz}$ . Hence (1.3) does not hold. Obviously,  $\alpha$  is the only constant  $A$  such that  $P(z) - A$  has a zero with multiplicity  $\geq 2$ .

EXAMPLE 2. Let  $f(z) = e^z + z$ ,  $P(z) = z$ ,  $\alpha(z) = z$ . Thus  $P(f) - \alpha = e^z$  and (1.3) does not hold.

EXAMPLE 3. Let  $f(z) = e^z$ ,  $P(z) = (z + 1)^n$ , where  $n \geq 2$  is a positive integer, and  $\alpha = 1$ . Thus  $P(f) - 1 = (e^z + 1)^n - 1 = e^z \prod_{i=1}^{n-1} (e^z + 1 - e_i)$ , where  $e_i \neq 1$  is a distinct zero of  $z^n - 1$  ( $i = 1, 2, \dots, n - 1$ ). Thus we have

$$T(r, f) = \frac{1}{n-1} \bar{N} \left( r, \frac{1}{P(f) - 1} \right) + S(r, f).$$

Hence  $k = 1/(\deg P - 1) = 1/(n - 1)$  is sharp in Theorem 3.

EXAMPLE 4. Let  $f(z) = e^z + 1$ ,  $P(z) = z(z - 1)^2$  and  $\alpha = 0$ . Thus  $P(f) = (e^z + 1)e^{2z}$  and

$$T(r, f) = \bar{N} \left( r, \frac{1}{P(f)} \right) + S(r, f).$$

Thus  $k = 1$  is sharp in Theorem 3.

We know that for the second Nevanlinna fundamental theorem there exists a corresponding Montel's normality criterion [5] and for Hayman's inequality there exists Gu's normality criterion (see [3]). Naturally, we ask whether there exists a corresponding normality criterion for inequality (1.3). The following theorem gives a positive answer to this question.

**THEOREM 4.** *Let  $\mathcal{F}$  be a family of analytic functions in a domain  $D$ ,  $P(z)$  a polynomial with  $\deg P \geq 2$ . Suppose that  $\alpha(z)$  is either a nonconstant analytic function or a constant function such that  $P(z) - \alpha$  has at least two distinct roots. If  $P(f(z)) \neq \alpha(z)$  for each  $f(z) \in \mathcal{F}$ , then  $\mathcal{F}$  is normal in  $D$ .*

The following two examples illustrate that the conditions in Theorem 4 are necessary.

EXAMPLE 5. Take  $P(z) = z$ ,  $f_n(z) = z + e^{nz}$ ,  $D = \{|z| < 1\}$ . It is easy to see that  $P(f_n(z)) \neq z$  in  $D$  and the analytic family  $\{f_n(z)\}$  is not normal in  $D$ .

EXAMPLE 6. Let  $P(z) = z^k + 1$ , where  $k \geq 2$  is a positive integer,  $f_n(z) = e^{nz}$ ,  $\alpha(z) = 1$ ,  $D = \{|z| < 1\}$ . It is easy to see that  $P(f_n(z)) \neq 1$  and that  $f_n(z)$  are analytic in  $D$ . But  $\{f_n(z)\}$  is not normal in  $D$ .

Theorem 4 implies the following corollary.

COROLLARY 2. Let  $\mathcal{F}$  be a family of analytic functions in a domain  $D$ ,  $P(z)$  a polynomial with  $\deg P \geq 2$ . If  $P(f(z)) \neq z$  for each  $f(z) \in \mathcal{F}$ , then  $\mathcal{F}$  is normal in  $D$ .

By the second fundamental theorem, Nevanlinna obtained the five-valueunicity theorem. Naturally, we ask whether there exists a corresponding unicity theorem for inequality (1.3). In this paper, we prove the following result.

THEOREM 5. Let  $f(z)$  and  $g(z)$  be two transcendental entire functions,  $\alpha(z) \not\equiv 0$  a common small function related to  $f(z)$  and  $g(z)$ , and  $P(z) = z^6(z-1)$ . If  $P(f(z)) - \alpha(z)$  and  $P(g(z)) - \alpha(z)$  have the same zeros (counting multiplicity), then  $f(z) \equiv g(z)$ .

REMARK 1. Let  $f(z) = e^z$ ,  $g(z) = e^{-z}$ ,  $P(z) = z^6(z-1)$  and  $\alpha(z) \equiv 0$ . Obviously,  $P(f(z)) - \alpha(z)$  and  $P(g(z)) - \alpha(z)$  have the same zeros (counting multiplicity). But  $f(z) \not\equiv g(z)$ . Hence,  $\alpha(z) \not\equiv 0$  is necessary in Theorem 5.

From Theorem 5, we can easily obtain the following corollaries.

COROLLARY 3. Let  $f(z)$  and  $g(z)$  be two transcendental entire functions, and  $P(z) = z^6(z-1)$ . If  $P(f(z)) - z$  and  $P(g(z)) - z$  have the same zeros (counting multiplicity), then  $f(z) \equiv g(z)$ .

Note that  $P(z) = z^6(z-1)$ , and that  $P(f(z)) - 1$  and  $P(g(z)) - 1$  have the same zeros (counting multiplicity) if and only if  $E(S, f) = E(S, g)$ , where  $S = \{z \mid z^6(z-1) = 1\}$ . Thus Theorem 5 implies.

COROLLARY 4. Let  $S = \{z \mid z^6(z-1) = 1\}$ ,  $f(z)$  and  $g(z)$  be two transcendental entire functions. If  $E(S, f) = E(S, g)$ , then  $f(z) \equiv g(z)$ .

Note that Corollary 4 gives a positive answer to a question of Gross (see Gross [2], Yi [9]).

## 2. Proof of Theorem 3

In order to prove Theorem 3 we need the following lemmas.

LEMMA 2.1 (see [1, 5]). *Let  $f(z)$  be a meromorphic function. If there exist two functions  $a_i(z)$  such that  $T(r, a_i) = S(r, f)$ ,  $i = 1, 2$ , then*

$$T(r, f) \leq \bar{N}(r, f) + \bar{N}\left(r, \frac{1}{f - a_1}\right) + \bar{N}\left(r, \frac{1}{f - a_2}\right) + S(r, f).$$

LEMMA 2.2 ([10]). *Let*

$$P(z) = a_n z^n + a_{n-1} z^{n-1} + \dots + a_1 z + a_0,$$

where  $a_n (\neq 0)$ ,  $a_{n-1}, \dots, a_0$ , are constants.

*If  $f(z)$  is a meromorphic function, then*

$$T(r, P(f)) = nT(r, f) + S(r, f).$$

Next we prove Theorem 3.

PROOF. We consider two cases.

**Case 1.**  $\alpha(z)$  is a constant function. Then by the assumption in Theorem 3 we can choose a constant  $A$  such that  $P(z) - A$  has a zero (say  $a$ ) with multiplicity  $m \geq 2$ . Let  $a_1, a_2, \dots, a_{n-m}$  be the other zeros of  $P(z) - A$ , where  $n = \deg P$ . Then from Lemma 2.1, we have

$$\begin{aligned} T(r, P(f)) &\leq \bar{N}\left(r, \frac{1}{P(f) - \alpha}\right) + \bar{N}\left(r, \frac{1}{P(f) - A}\right) + S(r, P(f)) \\ &\leq \bar{N}\left(r, \frac{1}{P(f) - \alpha}\right) + \bar{N}\left(r, \frac{1}{f - a}\right) + \sum_{i=1}^{n-m} \bar{N}\left(r, \frac{1}{f - a_i}\right) + S(r, f) \\ (2.1) \quad &\leq \bar{N}\left(r, \frac{1}{P(f) - \alpha}\right) + \bar{N}\left(r, \frac{1}{f - a}\right) + (n - m)T(r, f) + S(r, f). \end{aligned}$$

On the other hand, by Lemma 2.2 we have

$$(2.2) \quad T(r, P(f)) = nT(r, f) + S(r, f).$$

If  $P'(z)$  has only one zero, then  $m = n$ . Thus we deduce from (2.1) and (2.2) that

$$T(r, f) \leq \frac{1}{\deg P - 1} \bar{N}\left(r, \frac{1}{P(f) - \alpha}\right) + S(r, f).$$

Otherwise,  $n - m \leq n - 2$ . Hence we deduce from (2.1) and (2.2) that

$$T(r, f) \leq \bar{N}\left(r, \frac{1}{P(f) - \alpha}\right) + S(r, f).$$

**Case 2.**  $\alpha(z)$  is a nonconstant meromorphic function satisfying  $T(r, f) = S(r, f)$ . In this case we can also choose  $A$  such that  $P(z) - A$  has a zero (say  $a$ ) with multiplicity  $m \geq 2$ . Using the same argument as in Case 1, we obtain (1.3). The proof of Theorem 3 is complete. □

### 3. Proof of Theorem 4

For the proof of Theorem 4, we need the Zalcman’s Lemma [11].

LEMMA 3.1. *If a family  $\mathcal{F}$  of functions analytic on the unit disc  $D$  is not normal at  $z = 0$ , then there exist a number  $0 < r < 1$ , a sequence of complex numbers  $z_n \rightarrow 0$ , a sequence of functions  $f_n(z) \in \mathcal{F}$ , a sequence of positive numbers  $\rho_n \rightarrow 0$  such that*

$$g_n(\xi) = f_n(z_n + \rho_n \xi) \rightarrow g(\xi)$$

*uniformly on any compact subset of  $C$ , where  $g(\xi)$  is a non-constant entire function.*

Now we prove Theorem 4.

PROOF. First, we prove the case when  $\alpha(z)$  is a nonconstant analytic function in the domain  $D$ . We consider two cases.

**Case I.**  $P(z) - \alpha(0)$  has at least two distinct zeros  $a$  and  $b$ .

Suppose that  $\mathcal{F}$  is not normal in  $D$ . Without loss of generality, we assume that  $\mathcal{F}$  is not normal at  $z = 0$ . By Lemma 3.1, there exist  $0 < r < 1$ ,  $z_n \rightarrow 0$ ,  $f_n \in \mathcal{F}$ ,  $\rho_n \rightarrow 0^+$  such that

$$g_n(\xi) = f_n(z_n + \rho_n \xi) \rightarrow g(\xi)$$

uniformly on compact subsets of  $C$ , where  $g(\xi)$  is a non-constant entire function.

Hence

$$(3.1) \quad P(f_n(z_n + \rho_n \xi)) - \alpha(z_n + \rho_n \xi) \rightarrow P(g(\xi)) - \alpha(0)$$

uniformly on any compact subset of  $C$ . Since  $P(f_n(z_n + \rho_n \xi)) - \alpha(z_n + \rho_n \xi) \neq 0$ , using Hurwitz’s theorem for (3.1), we get  $P(g(\xi)) \neq \alpha(0)$ . Thus  $g(\xi) \neq a, b$ . Noting that  $g(\xi)$  is an entire function, we deduce that  $g(\xi)$  is a constant (Picard’s theorem), which is a contradiction.

**Case II.**  $P(z) - \alpha(0)$  has only one zero.

We can write  $P(z) - \alpha(0) = (az - b)^n$  ( $a \neq 0, n \geq 2$ ). Obviously, there exists a neighbourhood (denoted by  $U$ ) of point  $z = 0$  such that  $\alpha(z) \neq \alpha(0)$  for all  $z \in U \setminus \{0\}$ .

We claim that  $\mathcal{F}$  is normal at  $z_0 (\neq 0) \in U$ . In fact, if  $\mathcal{F}$  is not normal at  $z_0$ , then by using the similar argument as in Case I, we obtain  $P(g(\xi)) \neq \alpha(z_0)$ , that is,  $(ag(\xi) - b)^n \neq \alpha(z_0) - \alpha(0)$ . Therefore,  $g(\xi)$  is not equal  $n$  distinct values  $(1/a)(\{\alpha(z_0) - \alpha(0)\}^{1/n} + b)$ . This means that  $g(\xi)$  is a constant, which is a contradiction.

Next we prove  $\mathcal{F}$  is normal at  $z_0 = 0$ . For any  $f_n(z) \in \mathcal{F}$  and  $C_r = \{z : |z| = r\} \subset U$ , we know  $\{f_n(z)\}$  is normal in  $C_r$ , by the former conclusion. Thus there exists a subsequence  $f_{n_k}$  such that

$$f_{n_k}(z) \rightarrow g(z),$$

uniformly on  $C_r$ .

If  $g(z) \neq \infty$ , then  $g(z)$  is analytic on  $C_r$ . Hence there exist an integer  $N$  and a positive number  $M$  such that

$$|f_{n_k}(z)| \leq M,$$

for all  $k \geq N$ ,  $z \in C_r$ . By the maximum modulus theorem, we have

$$|f_{n_k}(z)| \leq M,$$

for all  $k \geq N$ ,  $|z| \leq r$ . Hence  $\{f_{n_k}(z)\}$  is normal in  $\{z : |z| \leq r\}$  by Montel's normality criterion (see [5]). Thus there exists a subsequence of  $f_{n_k}(z)$  (which we continue to denote by  $f_{n_k}(z)$ ) such that

$$(3.2) \quad f_{n_k}(z) \rightarrow g(z),$$

uniformly on  $\{z : |z| \leq r\}$ .

If  $g(z) \equiv \infty$ , then there exist an integer  $N$  and a positive  $M > M(r, \alpha(z))$  such that

$$|P(f_{n_k}(z))| \geq M,$$

for all  $k \geq N$ ,  $z \in C_r$ , where  $M(r, \alpha) = \max_{|z| \leq r} \{|\alpha(z)|\}$ . Thus

$$|P(f_{n_k}(z)) - \alpha(z)| \geq M - M(r, \alpha) > 0,$$

for all  $k \geq N$ ,  $z \in C_r$ . Note that  $P(f_{n_k}(z)) - \alpha(z)$  has no zeros in  $\{z : |z| \leq r\}$ , and thus we have

$$|P(f_{n_k}(z)) - \alpha(z)| \geq M - M(r, \alpha),$$

for all  $k \geq N$ ,  $|z| \leq r$  by the minimum modulus theorem. This means that

$$(3.3) \quad f_{n_k}(z) \rightarrow \infty$$

uniformly on  $\{z : |z| \leq r\}$ . Thus we deduce from (3.2) and (3.3) that  $\mathcal{F}$  is normal at  $z = 0$ . Therefore,  $\mathcal{F}$  is normal in  $D$  in the case when  $\alpha(z)$  is a nonconstant analytic function in  $D$ .

If  $\alpha(z)$  is a constant, then by using the same argument as in Case I, we can prove  $\mathcal{F}$  is normal in  $D$ . Thus the proof of Theorem 4 is complete.  $\square$

#### 4. Proof of Theorem 5

In order to prove our result, we need the following lemma.

LEMMA 4.1. *Let  $f(z)$  be a meromorphic function. Then*

$$T\left(r, \frac{1}{f-a}\right) = T(r, f) + O(1); \quad T\left(r, \frac{f^{(k)}}{f^{(l)}}\right) = S(r, f),$$

where  $k, l$  are two integer satisfying  $k > l \geq 0$ ; and

$$(q-1)T(r, f) \leq N(r, f) + \sum_{i=1}^q N\left(r, \frac{1}{f-a_i}\right) - N_1(r, f) + S(r, f),$$

where  $a_i$  ( $i = 1, \dots, q$ ) are distinct constants and

$$N_1(r, f) = N\left(r, \frac{1}{f'}\right) + 2N(r, f) - N(r, f').$$

PROOF (of Theorem 5). In the proof we use the following notation.

$N_{(2)}(r, 1/(f-a))$  is the counting function which includes only multiple zeros of  $f(z) - a$ ,  $\bar{N}_{(2)}(r, 1/(f-a))$  the corresponding reduced counting function, and  $N_2(r, 1/(f-a)) = \bar{N}(r, 1/(f-a)) + \bar{N}_{(2)}(r, 1/(f-a))$ ,  $N_1(r, 1/(f-a)) = N(r, 1/(f-a)) - N_{(2)}(r, 1/(f-a))$ .

Set

$$F(z) = \frac{P(f(z))}{\alpha(z)}, \quad \text{and} \quad G(z) = \frac{P(g(z))}{\alpha(z)}.$$

It follows from assumptions of Theorem 5 that

$$(4.1) \quad N\left(r, \frac{1}{F-1}\right) = N\left(r, \frac{1}{G-1}\right) + S(r, f),$$

$$(4.2) \quad N_2(r, F) = N_2(r, G) = S(r, f).$$

If  $z_0$  is a zero of  $F(z)$  and not a pole of  $\alpha(z)$ , then  $z_0$  is either a zero of  $f(z)$  or  $f(z) - 1$ . Thus

$$(4.3) \quad \bar{N}\left(r, \frac{1}{F}\right) \leq \bar{N}\left(r, \frac{1}{f}\right) + \bar{N}\left(r, \frac{1}{f-1}\right) + S(r, f).$$

If  $z_1$  is a multiple zero of  $F(z)$  and not a pole of  $\alpha(z)$ , then  $z_1$  is a zero of  $f(z)$  or a multiple zero of  $f(z) - 1$ . Hence

$$(4.4) \quad \bar{N}_{(2)}\left(r, \frac{1}{F}\right) \leq \bar{N}\left(r, \frac{1}{f}\right) + \bar{N}_{(2)}\left(r, \frac{1}{f-1}\right) + S(r, f).$$

Thus we deduce from (4.2), (4.3), (4.4), Lemma 4.1, and Lemma 2.2 that

$$\begin{aligned}
 N_2\left(r, \frac{1}{F}\right) &= \bar{N}\left(r, \frac{1}{F}\right) + \bar{N}_{(2)}\left(r, \frac{1}{F}\right) \\
 &\leq 2\bar{N}\left(r, \frac{1}{f}\right) + \bar{N}\left(r, \frac{1}{f-1}\right) + \bar{N}_{(2)}\left(r, \frac{1}{f-1}\right) + S(r, F) \\
 &\leq 2\bar{N}\left(r, \frac{1}{f}\right) + N\left(r, \frac{1}{f-1}\right) + S(r, F) \\
 &\leq 3T(r, f) + S(r, F) \\
 (4.5) \quad &\leq \left(\frac{3}{7} + o(1)\right) T(r, F).
 \end{aligned}$$

In the same manner we obtain that

$$(4.6) \quad N_2\left(r, \frac{1}{G}\right) \leq \left(\frac{3}{7} + o(1)\right) T(r, G).$$

Therefore, we deduce from (4.5) and (4.6) that

$$(4.7) \quad N_2\left(r, \frac{1}{F}\right) + N_2\left(r, \frac{1}{G}\right) \leq \left(\frac{6}{7} + o(1)\right) T(r),$$

where  $T(r) = \max\{T(r, F), T(r, G)\}$ .

We claim that either  $F(z) \equiv G(z)$  or  $F(z)G(z) \equiv 1$ . Set

$$(4.8) \quad \Phi(z) = \frac{F''(z)}{F'(z)} - 2\frac{F'(z)}{F(z) - 1} - \frac{G''(z)}{G'(z)} + 2\frac{G'(z)}{G(z) - 1}$$

and suppose that  $\Phi(z) \not\equiv 0$ . Obviously,  $m(r, \Phi) = S(r, F) + S(r, G)$ .

If  $z_2$  is a common simple 1-point of  $F(z)$  and  $G(z)$ , substituting their Taylor series at  $z_2$  into (4.8), we see that  $z_2$  is a zero of  $\Phi(z)$ . Thus by Lemma 4.1 we have

$$\begin{aligned}
 N_{(1)}\left(r, \frac{1}{F-1}\right) &= N_{(1)}\left(r, \frac{1}{G-1}\right) \leq \bar{N}\left(r, \frac{1}{\Phi}\right) \\
 (4.9) \quad &\leq T(r, \Phi) + O(1) \leq N(r, \Phi) + S(r, F) + S(r, G).
 \end{aligned}$$

It is easy to show that  $\Phi(z)$  is analytic at a simple pole or a multiple 1-point of  $F(z)$  or  $G(z)$ . Hence if  $z_3$  is a pole of  $\Phi(z)$  and not a multiple pole of  $F(z)$  or  $G(z)$ , then  $z_3$  is a zero of  $F'(z)$  or  $G'(z)$ . Note that  $z_3$  is not a simple 1-point of  $F(z)$  or  $G(z)$ , so if  $z_3$  is also not a multiple zero of  $F(z)$  or  $G(z)$  then  $F'(z_3) = 0, F(z_3) \neq 0, 1$  or  $G'(z_3) = 0, G(z_3) \neq 0, 1$ . Thus we have

$$\begin{aligned}
 \bar{N}(r, \Phi) &\leq \bar{N}_{(2)}(r, F) + \bar{N}_{(2)}(r, G) + \bar{N}_{(2)}\left(r, \frac{1}{F}\right) \\
 &\quad + \bar{N}_{(2)}\left(r, \frac{1}{G}\right) + \bar{N}_0\left(r, \frac{1}{F'}\right) + \bar{N}_0\left(r, \frac{1}{G'}\right),
 \end{aligned}$$

where  $N_0(r, 1/F')$  is the counting function which only counts those zeros of  $F'$  but not those of  $F(F - 1)$ .

Substituting the above inequality into (4.9) and noting (4.2), we have

$$(4.10) \quad \begin{aligned} \bar{N}_{1)}\left(r, \frac{1}{F-1}\right) &\leq \bar{N}_{2)}\left(r, \frac{1}{F}\right) + \bar{N}_{2)}\left(r, \frac{1}{G}\right) + \bar{N}_0\left(r, \frac{1}{F'}\right) \\ &+ \bar{N}_0\left(r, \frac{1}{G'}\right) + S(r, F) + S(r, G). \end{aligned}$$

By the second fundamental theorem and (4.2), we have

$$(4.11) \quad T(r, F) \leq \bar{N}\left(r, \frac{1}{F}\right) + \bar{N}\left(r, \frac{1}{F-1}\right) - N_0\left(r, \frac{1}{F'}\right) + S(r, F),$$

$$(4.12) \quad T(r, G) \leq \bar{N}\left(r, \frac{1}{G}\right) + \bar{N}\left(r, \frac{1}{G-1}\right) - N_0\left(r, \frac{1}{G'}\right) + S(r, G).$$

Therefore, we deduce from (4.10), (4.11) and (4.12) that

$$(4.13) \quad \begin{aligned} T(r, F) + T(r, G) &\leq \bar{N}\left(r, \frac{1}{F}\right) + \bar{N}_{1)}\left(r, \frac{1}{F-1}\right) - N_0\left(r, \frac{1}{F'}\right) + S(r, F) \\ &+ \bar{N}\left(r, \frac{1}{G}\right) + \bar{N}\left(r, \frac{1}{G-1}\right) + \bar{N}_{2)}\left(r, \frac{1}{G-1}\right) \\ &- N_0\left(r, \frac{1}{G'}\right) + S(r, G) \\ &\leq N_2\left(r, \frac{1}{F}\right) + N_2\left(r, \frac{1}{G}\right) + N\left(r, \frac{1}{G-1}\right) \\ &+ S(r, F) + S(r, G). \end{aligned}$$

Without loss of generality, we assume that  $T(r, G) \leq T(r, F)$  for  $r \in I$  which is a set of infinite measure. Thus, (4.13) implies

$$T(r) \leq N_2\left(r, \frac{1}{F}\right) + N_2\left(r, \frac{1}{G}\right) + S(r, F) + S(r, G),$$

for  $r \in I$ , contradicting (4.7). Hence  $\Phi(z) \equiv 0$ , that is,

$$(4.14) \quad \frac{F''(z)}{F'(z)} - 2\frac{F'(z)}{F(z)-1} = \frac{G''(z)}{G'(z)} - 2\frac{G'(z)}{G(z)-1}.$$

Solving (4.14), we have

$$(4.15) \quad F(z) = \frac{(b+1)G(z) + (a-b-1)}{bG(z) + (a-b)},$$

where  $a(\neq 0)$  and  $b$  are two constants.

If  $b + 1 \neq 0, a - b - 1 \neq 0$ , then

$$(4.16) \quad \bar{N}\left(r, \frac{1}{F}\right) = \bar{N}\left(r, \frac{1}{G + (a - b - 1)/(b + 1)}\right).$$

By Lemma 2.2 and Lemma 4.1, and (4.15) we deduce that

$$(4.17) \quad T(r, F) = T(r, G) + O(1).$$

Thus by the second fundamental theorem, we get from (4.2), (4.16) and (4.17) that

$$\begin{aligned} T(r) &= T(r, G) + O(1) \\ &\leq \bar{N}(r, G) + \bar{N}\left(r, \frac{1}{G}\right) + \bar{N}\left(r, \frac{1}{G + (a - b - 1)/(b + 1)}\right) + S(r, G) \\ &\leq \bar{N}\left(r, \frac{1}{G}\right) + \bar{N}\left(r, \frac{1}{F}\right) + S(r, G), \end{aligned}$$

which contradicts (4.7). Hence either  $b + 1 = 0$  or  $a - b - 1 = 0$ .

If  $b + 1 = 0$ , then (4.15) becomes

$$F(z) = \frac{a}{-G(z) + a + 1}.$$

Clearly,

$$\bar{N}(r, F) = \bar{N}\left(r, \frac{1}{G - a - 1}\right).$$

Using the same argument as in the former case, we can deduce that  $a = -1$ , which implies  $F(z)G(z) \equiv 1$ .

If  $a - b - 1 = 0$ , then (4.15) becomes

$$F(z) = \frac{aG(z)}{bG(z) + 1}.$$

If  $b \neq 0$ , then we have

$$\bar{N}(r, F) = \bar{N}\left(r, \frac{1}{G + 1/b}\right).$$

Using the former method once more, we can obtain a contradiction. Hence  $b = 0$  and then  $a = 1$  which implies  $F(z) \equiv G(z)$ . Hence we deduce that either  $F(z)G(z) \equiv 1$  or  $F(z) \equiv G(z)$ .

Now we prove  $f(z) \equiv g(z)$ .

If  $G(z)F(z) \equiv 1$ , that is

$$(4.18) \quad f^6(z)(f(z) - 1)g^6(z)(g(z) - 1) \equiv \alpha^2(z),$$

then from (4.18) and the conditions of Theorem 5 we know that any zero or 1-point of  $f(z)$  must be a zero of  $\alpha(z)$ . By the second fundamental theorem, we have

$$\begin{aligned} T(r, f) &\leq \bar{N}(r, f) + \bar{N}\left(r, \frac{1}{f}\right) + \bar{N}\left(r, \frac{1}{f-1}\right) + S(r, f) \\ &\leq N\left(r, \frac{1}{\alpha}\right) + S(r, f) = S(r, f), \end{aligned}$$

which is a contradiction. It shows that  $F(z)G(z) \not\equiv 1$ . Hence  $F(z) \equiv G(z)$ , that is,

$$f^6(z)(f(z) - 1) \equiv g^6(z)(g(z) - 1).$$

If  $f(z) \not\equiv g(z)$ , then  $h(z) = f(z)/g(z) \not\equiv 1$ . Substituting  $h(z)$  into the above equation, we have

$$g(z) = \frac{1 + h + \dots + h^5}{1 + h + \dots + h^6}.$$

If  $h(z)$  is not a constant function, then by Picard's theorem we deduce that  $1 + h + \dots + h^6$  has zeros. Hence  $g(z)$  has poles. Thus we obtain that  $g(z)$  is either a constant or has poles but this is impossible. Hence  $f(z) \equiv g(z)$ . The proof of Theorem 5 is complete.  $\square$

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